# QPASE: Quantum-Resistant Password-Authenticated Searchable Encryption for Cloud Storage

Jingwei Jiang and Ding Wang

*Abstract*—Searchable encryption is a powerful tool that enables secure and private searches of encrypted data. It allows users to outsource their data to cloud servers while maintaining the confidentiality and privacy of their data. Password-authenticated symmetric searchable encryption (PASE) can help users avoid the complexity and security risks associated with key management while maintaining the advantages of searchable encryption. To the best of our knowledge, none of the existing PASE schemes can resist security threats in the post-quantum era, and there is an urgent need to design quantum-resistant solutions. However, postquantum cryptography (e.g., lattice-based cryptography) varies significantly from traditional cryptography, and it is challenging to design a quantum-resistant PASE for cloud storage.

In this work, we take the first step towards this challenge by proposing QPASE, a quantum-resistant password-authenticated symmetric searchable encryption for cloud storage. We employ lattice-based threshold oblivious pseudorandom function (TOPRF) to achieve password re-randomization and formally prove that QPASE is authentication secure and indistinguishability against chosen keyword attacks (IND-CKA) secure under quantum computers. QPASE can be extended to multi-keyword search and allows servers to update keys without affecting the users. The comparison results show that QPASE outperforms its foremost counterparts in security and computation overhead.

Index Terms—Lattice, password authentication, searchable encryption, data outsourcing, cloud storage

#### I. INTRODUCTION

W ITH the continuous development of the Internet of Things, a massive number of devices and objects are being connected to the Internet. Users with limited computing and storage resources can outsource large amounts of data to cloud servers for management [1], which in turn brings about a vast amount of data [2]. The abundance of sensitive information (e.g., personal identification, financial) within outsourced data poses significant challenges to data management [3]. Data encryption is the most direct technique to prevent data leakage [4], however, it complicates user querying [5].

To address this issue, cloud servers (e.g., Google Drive [6]) allow users to control online-key decrypted data via authentication and retrieve items of interest. But such a solution crucially depends on the cloud servers' honesty, as they have the potential to gain access to the plaintext of data [7]. Alternatively, users download all encrypted data and decrypt

data locally to search for the required data but incur heavy communication costs for uploading and downloading.

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Searchable encryption (SE) [8], [9] provides an elegant solution. SE enables users to perform searches on encrypted outsourced data while maintaining the confidentiality of data from the cloud server. Currently, SE can be classified into two main categories based on the structures: 1) Symmetric searchable encryption (SSE) schemes [10], [11] that employ high entropy shared keys; and 2) Public key encryption with keyword search (PEKS) schemes [12], [13] that require a high entropy private-public key pair. In practice, the high entropy keys are difficult to remember and require additional storage devices. This reduces the flexibility of users to outsource data, or retrieve and recover data using multiple different devices unless the high entropy keys are stored in all devices [14].

**Symmetric searchable encryption.** Song et al. [8] propose the first practical SSE based on symmetric primitives. In SSE, data is organized and indexed in a way that allows efficient search while preserving confidentiality [8]. After that, Goh [15] first proposes indistinguishability against chosen keyword attacks (IND-CKA) to characterize the semantic security of SSE. Such these works spark a series of studies on the security [16], [17], [18], [19], performance [20], [21], [22], and functionality [23], [24], [25] of SSE. Overall, SSE employs a masked index table to achieve ciphertext retrieval [26], [27].

At a high level, the user employs a symmetric encryption scheme to encrypt a set of data and output a ciphertext C. Meanwhile, the user creates a masked index Ct based on the message keyword. Then, the user can upload C and Ct on the cloud server. When users need to access data, they can generate a search token based on the encrypted keyword index and request the cloud server to return a C based on Ct.

Another variant of SSE is dynamic SSE (DSSE) [28], [29], [30], [31]. DSSE supports the addition and deletion of outsourced data. Driven by leakage-abuse attacks [32], a series of studies employ padding [33] and secure multiparty computation [34] to achieve forward security [35] (i.e., the added data cannot be associated with the original data), and backward security [17] (i.e., the deleted data cannot be retrieved). SSE allows users to preprocess data by building an index, and subsequent search queries can be performed efficiently, with only a small amount of computation required by both the user and the server [36]. Hence, SSE is a practical scheme for cloud storage where data needs to be searched and accessed frequently, while also being kept confidential.

**Public key encryption with keyword search.** Boneh et al. [37] propose the first PEKS using bilinear maps and trapdoor permutations. PEKS allows users to associate keywords and

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outsourced data without disclosing any data-related information [38], [39]. Baek et al. [40] propose a secure channel-free PEKS and carry out the proof under the random oracle.

Subsequently, a series of variants of PEKS were studied, such as conjunctive keyword search [41], fuzzy keyword search [42], ranked keyword search [43], attribute-based keyword search [44]. However, malicious clouds can obtain underlying keywords by guessing candidate keywords offline. Thus, a line of work [45], [46], [47] has been done against the keyword guessing attacks by the public-key authenticated encryption. Other variants of PEKS include multi-user settings, deterministic searchable encryption [48], and plaintextcheckable encryption [49], enriching the research of PEKS.

Password-authenticated searchable encryption. In practice, SE relies on high entropy keys for encryption and retrieval. Therefore, users need to employ a storage device to hold high-entropy keys, which increases the burden of key management when outsourcing and retrieving data using different devices. Chen et al. [14] present a password-authenticated symmetric searchable encryption (PASE) scheme to avoid costly key management for users, and achieve device-agnostic. Specifically, a user registers a human-memorable password on the server and reuses the password to outsource and retrieve data. PASE transfers the management overhead of users on high entropy keys to servers with strong storage capabilities.

Additionally, Huang et al. [50] propose a passwordauthenticated keyword search (PAKS) scheme. PAKS employs asymmetric primitives to encrypt data and retrieve target ciphertext, resulting in slower encryption and decryption speeds. Hence, PAKS is suitable for many-to-one scenarios (e.g., data sharing), where data owners use secret keys to generate trapdoors for the keywords to be retrieved, instead of outsourcing data of a single user. PASE employs symmetric primitives for encryption and trapdoor generation, making it more suitable for single-user data outsourcing scenarios. Our work aims in the scenario where users outsource data to cloud servers, and subsequently retrieve and recover their data from the cloud servers. Therefore, we focus on the construction of PASE.

However, to the best of our knowledge, none of those schemes [14], [50] mentioned above can resist security threats in the post-quantum world. The reason is that all of them are built on the hardness assumptions of traditional cryptography (e.g., large integer decomposition, discrete logarithms, elliptic curves). Hence, existing PASE is vulnerable after the advent of quantum computers, which are capable of efficiently solving traditional hardness problems using Shor's algorithm [51].

In the realm of quantum-resistant schemes, lattice-based schemes are considered the most promising general-purpose algorithms for public-key encryption by NIST [52], [53]. Numerous quantum-resistant password-based schemes [54], [55], [56], [57], [58], [59] have been proposed over lattices. To the best of our knowledge, there is no quantum-resistant password-authenticated symmetric searchable encryption scheme. The main goal of our scheme is to answer the following question:

Is it possible to construct a lattice-based passwordauthenticated symmetric searchable encryption scheme to satisfy that only a user who knows the password can outsource and retrieve data? Our answer to the above question is affirmative. Next, we show the design challenges and overview of our technique.

# A. Overview of Our Technique

Before elaborating on our results and techniques, we first highlight two crucial observations. On the one hand, PASE is not simply a combination of a password-based authentication scheme and SSE. At a high level, PASE allows users to employ a password to derive strong keys, which are shared in multiple distributed cloud servers, to encrypt data [12]. PASE helps users avoid complex key management while improving the security of outsourced data [14]. Specifically, data encryption on the user side is only related to the correct password. It is independent of the device that stores the key, which increases the usability of the data outsourcing scheme. In addition, the user encrypts data locally which can prevent malicious cloud servers from snooping on outsourced data. Even if the strong key on distributed cloud servers is leaked, the adversary would still need to guess the user's password to retrieve and recover the outsourcing data on cloud server [7], [60], [61], [62].

On the other hand, it is essential to accurately verify the password to prevent the risk of data loss resulting from typographical errors on the part of the user. Specifically, in the recovery phase, PASE [14] allows users to employ the same password used during encryption to retrieve data. However, if the user inputs the wrong password during encryption (e.g., typing error), the "correct" password would lead to decryption failure in the recovery phase. Even requiring users to input the password twice before encryption cannot completely solve this problem [7]. In addition, implicit authentication leads to the server's inability to recognize online password-guessing attacks, which would increase the risk to the system.

Passwords are the most widely used identity authentication mechanism, but their low entropy and vulnerability have raised serious security concerns [63], [64]. Although there is a growing consensus that password-based authentication is likely to retain its status for the foreseeable future [65], [66], how to protect low-entropy passwords remains a challenging problem, especially in the coming post-quantum era [52], [53].

A feasible approach to constructing quantum-resistant PASE (QPASE) is lattice-based cryptography, and the primary issue is the re-randomization of passwords. Jiang et al. [4] proposed a password re-randomization method based on lattice-based fully homomorphic encryption [67], but this method can only provide implicit authentication and is not suitable for our goal. Although the password-authenticated secret sharing [61], [7] has been constructed through an oblivious pseudorandom function (OPRF), we cannot achieve the same goal simply by employing lattice-based threshold OPRF (TOPRF) [68], which can only provide the approximate protocol due to a series of noise interferences. Inspired by Ding et al. [69], we adopt the robust extractor [69] to "rounding" the noise, and propose a variant TOPRF to realize the re-randomization of passwords.

At a high level, QPASE is modeled as an SSE scheme, where the user U can register with the password  $psw_u$  on a set of cloud servers  $S = \{S_1, ..., S_n\}$  and reuse  $psw_u$  for multiple sessions of outsourcing and retrieval protocols. In each

outsourcing session, users can outsource encrypted keywords and data ciphertexts to S. The retrieval protocol implements the search process based on the keywords input by U and provides U with all data related to that keyword. We define binary security to include authentication security and keyword privacy security. Specifically we characterize the authenti-

privacy security. Specifically, we characterize the authentication security of QPASE based on the Bellare-Pointcheval-Rogaway (BPR) model [70] widely used in password-based schemes. Then, we define keyword privacy security based on indistinguishability against chosen keyword attacks (IND-CKA). These two security models are not orthogonal, i.e., authentication security can prevent impersonation attacks and protect for symmetric searchable encryption.

Finally, there are two challenges in constructing QPASE. The first challenge pertains to updating server-side keys. To resist the perpetual leakage [71], server-side keys need to be updated in a fixed period. Although the generation of userspecific keys is closely related to server-side keys, the update of server-side keys should not affect the decryption of outsourcing data. Secondly, it concerns the password distribution model. It is commonly assumed in password-based schemes [61], [62], [72], [7], [73], [14] that the selection of passwords is uniformly distributed. Recent research [74] suggests that human-chosen passwords follow the Zipf distribution. Wang et al. [74], [75] showed that the advantages of the adversary are underestimated in the uniform model. The impact of password distribution assumptions should be fully considered.

**Contributions.** We propose the first quantum-resistant password-authenticated symmetric searchable encryption for cloud storage, named QPASE. Our construction starts with PASE [14] and follows the more general approach to realizing an SSE but employs quantum-secure cryptographic primitives. In summary, our contributions are three-fold:

- QPASE. We design a quantum-resistant PASE for cloud storage, called QPASE, to help users avoid costly and security-risky key management when using cloud storage services. Registered users can perform outsourcing, and retrieval of data via human memorable passwords only. By a password re-randomization method based on the lattice-based TOPRF, QPASE is secure against offline password-guessing attacks. Users can retrieve all data under the same keyword without revealing data. Moreover, QPASE allows servers to actively and independently update keys to resist perpetual leakage.
- Security analysis. We employ the BPR model [70] to characterize the authentication security of QPASE, and define the privacy of QPASE keywords through IND-CKA. On this basis, we make a rigorous security proof based on the Zipf model [74] and formally prove that QPASE is secure and robust under various attacks from both attacks of classical and quantum computers.
- Performance comparison. We evaluate the quantum security level of our QPASE under two parameter settings. The experimental analysis shows QPASE is not only more secure (our implementation can provide 128-bit post-quantum bit-security) but also offers better computation efficiency than the state-of-the-art traditional PASE [14].

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TABLE I: Notations.

| Symbol               | Description        | Symbol                  | Description                                    |
|----------------------|--------------------|-------------------------|--|
| U                    | the user           | S                       | the server                                     |
| w                    | the keyword        | sig                     | the signature                                  |
| $\mu_u$              | the counter        | $\mathcal{A}$           | the adversary                                  |
| $\mathbf{A}$         | random matrices    | $psw_u \\ \mathbf{K}_u$ | the user-specific key                          |
| $ID_u$               | identity of user U | d                       | the plaintext of data                          |
| $\mathcal{X}$<br>Enc | noise distribution | C<br>Dec                | the ciphertext of data<br>symmetric decryption |

#### B. Paper organization

In Section II, we review the related notions and the basic components required for constructing the scheme. In Section III, we formally model the functionality and define the primary security properties of QPASE. In Section IV, we articulate QPASE and provide a formal correctness analysis. In addition, we provide a server key update protocol and an extended version supporting multiple keywords. In Section V, we formally demonstrate that QPASE satisfies authentication security and IND-CKA security, discuss the parameter selection and security level of QPASE, and provide evidence of QPASE's resilience against corruption attacks. In Section VI, we present the results of the experiments and compare the related works with QPASE. Finally, in Section VII, we conclude the paper.

#### **II. PRELIMINARIES**

**Notations.** Let  $\kappa$  be the security parameter.  $\mathcal{Z}$  and  $\mathcal{R}$  denote the set of all integers and the set of real numbers, respectively. For any integer q,  $\mathcal{Z}_q$  is the ring of integer mod q. We write lower-case bold x letter as vectors and upper-case bold letter **A** as matrices. Let  $x \leftarrow \mathcal{D}$  to denote the sampling of x according to distribution  $\mathcal{D}$  and  $x \leftarrow S$  for a finite set S to indicate sample uniformly at random from S. In addition, we employ a series of intuitive notations listed in Table I.

## A. Lattices, LWE, and Gaussian Sampling

**Definition 1** ([76]). Let  $\Lambda_q(\mathbf{A}) = \{\mathbf{As} \mid \mathbf{s} \in \mathbb{Z}_q^n\}$  denotes an *m*-dimensional lattice with the basis  $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$  for  $m \ge n\log q$ , and the determinant of  $\Lambda$  is  $det(\Lambda) = \sqrt{det(\mathbf{B}^T\mathbf{B})}$ .

**Definition 2** ([76]). If  $\chi = \chi(\kappa)$  over the integers is a distribution ensemble and it satisfies  $\Pr[x \xleftarrow{R} \chi; |x| \ge B] \le 2^{-\tilde{\Omega}(n)}$ , then we have  $|\chi| \le B$  and  $\chi$  is called B-bounded.

**Definition 3** (Gaussian distributions, [77]). For a standard deviation  $\sigma > 0$ , define the discrete Gaussian distribution over an integer lattice  $\Lambda \subseteq \mathbb{Z}^m$  centred at  $\mathbf{c} \in \mathbb{R}^n$  with parameter  $\sigma$  to be:  $D_{\mathcal{R},\sigma}(\mathbf{z}) = \rho_{\mathbf{c},\sigma}(\mathbf{x})/\rho_{\mathbf{c},\sigma}(\Lambda)$ , where  $\mathbf{x} \in \Lambda$ ,  $\rho_{\mathbf{c},\sigma}(\mathbf{x}) = e^{\pi ||\mathbf{x}-\mathbf{c}||^2/\sigma^2}$ , and  $\rho_{\mathbf{c},\sigma}(\Lambda) = \sum_{\mathbf{x} \in \Lambda} \rho_{\mathbf{c},\sigma} \mathbf{x}$ .

**Definition 4** (Decision-LWE<sub> $n,q,\chi,m$ </sub>, [76]). For a prime integer q, integers m, n > 0, and a noise distribution  $\mathcal{X}$  over  $\mathcal{Z}_q$ , sample  $\mathbf{A} \leftarrow \mathcal{Z}_q^{m \times n}, \mathbf{s} \leftarrow \mathcal{Z}_q^{n \times 1}, \mathbf{e} \leftarrow \chi_{\sigma}^{m \times 1}, \mathbf{b} \leftarrow \mathcal{Z}_q^m$ . The  $DLWE_{n,q,\chi,m}$  problem is to distinguish between  $(\mathbf{A}, \mathbf{A} \cdot \mathbf{s} + \mathbf{e} \mod q) \in \mathcal{Z}_q^{m \times n} \times \mathcal{Z}_q^{m \times 1}$  and  $(\mathbf{A}, \mathbf{b}) \in \mathcal{Z}_q^{m \times n} \times \mathcal{Z}_q^{m \times 1}$ . For any PPT adversary  $\mathcal{A}$ , the two distinct distributions are

 computationally indistinguishable, i.e.,

$$Adv_{\mathcal{A}}^{\mathsf{DLWE}}(\kappa) = |Pr[\mathcal{A}(q, m, n, \mathcal{X}_{\sigma}, \mathbf{A}, \mathbf{s})] - Pr[\mathcal{A}(q, m, n, \mathcal{X}_{\sigma}, \mathbf{A}, \mathbf{b})]| \le \varepsilon(\kappa).$$

**Definition 5** (Rounding [78]). Let  $q, m, n \in \mathbb{Z}^+$ . For  $\mathbf{A} \leftarrow \mathcal{R}_q^{m \times n}$ , the rounding algorithm F is deterministic for the "rounding" function  $\lfloor \cdot \rceil$  :  $\mathcal{R}_q \to \mathcal{R}_p$  that enables  $\mathbf{x} \to \mathbf{u}$  s.t.  $F(\mathbf{x}) = \mathbf{A} \cdot \mathbf{u}$ .

**Definition 6** (LWR [78]). For  $p, q, m, n \in \mathbb{Z}^+$ , the LWR problems state that the two distributions  $(\mathbf{A}, \lfloor \mathbf{A} \cdot \mathbf{s} \rfloor_p)$  and  $(\mathbf{A}, \lfloor \mathbf{u} \rfloor_p)$  are computationally indistinguishable, where  $\mathbf{A} \leftarrow \mathcal{R}^{m \times n}, \mathbf{s} \leftarrow \mathcal{R}^m$  and  $\mathbf{u} \leftarrow \mathcal{R}^n$ . It means that for any PPT adversary  $\mathcal{A}$ , there is

$$Adv^{LWR} = |Pr[\mathcal{A}(\mathbf{A}, \lfloor \mathbf{A} \cdot \mathbf{s} \rfloor_p) \to 1] - Pr[\mathcal{A}(\mathbf{A}, \lfloor \mathbf{u} \rfloor_p) \to 1]| \le \varepsilon(\kappa).$$

**Modulus Switching.** As discussed in [78], for any positive integers p, q, the modulus switching function  $\lfloor \cdot \rceil q \rightarrow p$  is denoted as:  $\lfloor x \rceil_{p \rightarrow q} = \lfloor (p/q) \cdot x \rceil (\mod q)$ . It is easy to show that for any  $x \in \mathbb{Z}_q$  and  $p < q \in \mathbb{N}$ ,  $x' = \lfloor \lfloor x \rceil_{q \rightarrow p} \rceil_{q \rightarrow p}$  is an element near to x, i.e.,  $|x' - x(mod q)| \leq \lfloor q/(2p) \rceil$ . When  $\lfloor \cdot \rceil q \rightarrow p$  is used to an element  $x \in \mathbb{Z}_q$  or a vector  $\mathbf{x} \in \mathbb{Z}_q^k$ , the procedure is applied to each coefficient individually.

**Definition 7** (1D-SIS [79]). For  $q, m, t \in \mathbb{Z}^+$ , given a  $\mathbf{v} \leftarrow \mathbb{Z}_q^m$ , the one-dimensional SIS problem (1D-SIS) is to find a non-zero  $\mathbf{z} \in \mathbb{Z}^m$  s.t.  $\|\mathbf{z}\|_{\infty} \leq t$  and  $\langle \mathbf{v}, \mathbf{z} \rangle \in [-t, t] + q\mathbb{Z}$ .

## B. Threshold Oblivious Pseudorandom Function

Threshold oblivious pseudorandom function (TOPRF) is widely used in various password-based schemes [62], [72], [7] to hide passwords to resist offline dictionary attacks. In Fig. 1, we employ the lattice-based TOPRF [68] (where  $k_i$  is the key of server  $S_i$  and  $pk_i$  is the public key of  $S_i$ ) to implement password re-randomization, and derive a special key for the user U with servers  $S = \{S_1, ..., S_n\}$ . Let  $\ell = \lceil log_2q \rceil$ . Define  $G : R_q^{\ell \times \ell} \to R_q^{1 \times \ell}$  to be

Let  $\ell = \lceil log_2q \rceil$ . Define  $G : R_q^{\ell \times \ell} \to R_q^{1 \times \ell}$  to be the linear operation corresponding to left multiplication by  $(1, 2, ..., 2^{\ell-1})$  and  $G^{-1} : R_q^{1 \times \ell} \to R_q^{\ell \times \ell}$ . It can be regarded as the decomposition of G. Fix an array of  $\mathbf{a}_0, \mathbf{a}_1 \leftarrow R_q^{1 \times \ell}$ . For any  $\mathbf{x} = (x_1, ..., x_L) \in \{0, 1\}^L$  subject to  $\mathbf{a}\mathbf{x} := \mathbf{a}_{x_1} \cdot G^{-1}(\mathbf{a}_{x_2} \cdot G^{-1}(\dots(\mathbf{a}_{x_{L-1}} \cdot G^{-1}(\mathbf{a}_{x_L})))) \in R_q^{1 \times \ell}$ . Based on the Definition 4, a PRF  $F_k(x)$  is defined as follows.

**Lemma 1** (PRF, [80]). Sample  $k \leftarrow Z_q$  and recursively as  $\mathbf{a}^F(\mathbf{x}) = \mathbf{a}_{\mathbf{x}}$ , the function  $F_k(x) = \lfloor \frac{p}{q} \cdot \mathbf{a}^F(\mathbf{x}) \cdot k \rfloor$  is a PRF over the decision-LWE<sub> $n,q,\chi,m$ </sub> if  $q \gg p \cdot \sigma \cdot n \cdot \ell \cdot \sqrt{L}$ .

According to Albrecht et al. [79], for a PPT algorithm  $r \leftarrow \Pi_x(\mathbf{a}_0, \mathbf{a}_1)$  s.t.  $||r||_{\infty} \leq B$  and  $\exists c \in (q/p) \cdot \mathcal{Z} + [-T, T]$  with non-negligible probability, where B is distribution bounded, c is the coefficient of  $\mathbf{a}_x \cdot r$ , and  $T = 2\sigma^2 n^2 + \sigma' \sqrt{n}$ . Then there is a PPT algorithm that can solve 1D-SIS $_{q/p,n\ell,\max\{n\ell B,T\}}$  with non-negligible probability. We write  $adv_A^{1D-SIS}$  as the advantage of the adversary. According to Definition 7, we have

$$Adv_{\mathcal{A}}^{1D-SIS} \le \varepsilon(\kappa)$$



Fig. 1: The TOPRF algorithm of Jiang et al. [68].

In addition, the amplified noise still causes the same input to derive different PRF keys in practice. To tackle this challenge, we employ the approach of Ding et al. [69] to eliminate noise. **Definition 8** (Robust Extractors [69]). Let  $\delta$  be error tolerance. The robust extractor contains a deterministic algorithm *E* and a hint algorithm *S*, which are as follows:

-  $\sigma \leftarrow S(y)$  is a hint algorithm. When input  $a \ y \in \mathcal{R}_q$  and outputs  $\sigma \in \{0, 1\}$ . Specifically, for prime q > 2, there are two signal  $\sigma_0(x), \sigma_1(x)$  as follows.

$$\sigma_0(x) = \begin{cases} 0, & x \in \left[-\lfloor \frac{q}{4} \rfloor, \lfloor \frac{q}{4} \rfloor\right] \\ 1, & \text{otherwise.} \end{cases}$$
$$\sigma_1(x) = \begin{cases} 0, & x \in \left[-\lfloor \frac{q}{4} \rfloor + 1, \lfloor \frac{q}{4} \rfloor + 1\right] \\ 1, & \text{otherwise.} \end{cases}$$

- $k \leftarrow E(x, \sigma)$  is a deterministic algorithm. When input an  $x \in \mathcal{R}_q$  and a signal  $\sigma \in \{0, 1\}$ , outputs  $k \in \{0, 1\}$ . Specifically, we have  $E(x, \sigma) = (x + \sigma) \cdot (q - 1)/2 \mod 2$ .
- For any  $x, y \in \mathbb{Z}_q$  such that x-y is even and  $|x-y| \leq \delta$ , then it holds that  $E(x, \sigma) = E(y, \sigma)$ , where  $\sigma \leftarrow S(y)$ .

The variant-TOPRF with robust extractors is shown in Fig. 2. Next, we analyze the correctness of variant-TOPRF.

**Theorem 1** (Correctness). Let  $q, m, n, \sigma > 0$  depend on  $\kappa$ and  $\ell = \lfloor \log q \rfloor$ . The secret input **x** is converted into binary by the user U. The output  $F_K(\mathbf{x})$  of the variant-TOPRF is indistinguishable from the PRF  $F_k(x)$  in Definition 1.

*Proof:* The explicit expression of  $pk_i$  in Fig. 2 is  $pk_i = \lfloor \mathbf{a} \cdot k_i \rfloor_p$ . Let  $\lambda_i$  be the Lagrange coefficient s.t.  $K = \sum_{i=1}^t \lambda_i \cdot k_i \in \mathcal{R}_q$ . According to Fig. 2, we have

$$F_{K}(\mathbf{x}) = \sum_{i=1}^{t} \lambda_{i} \cdot \mathbf{b}_{k_{i}} - \sum_{i=1}^{t} \lambda_{i} \cdot pk_{i} \cdot r \mod q$$
$$= \mathbf{a} \cdot r \cdot \sum_{i=1}^{t} \lambda_{i} \cdot k_{i} + \mathbf{a}_{\mathbf{x}} \cdot \sum_{i=1}^{t} \lambda_{i} \cdot k_{i}$$
$$+ 2e \sum_{i=1}^{t} \lambda_{i} \cdot k_{i} + 2 \sum_{i=1}^{t} \lambda_{i} \cdot e'_{i}$$
$$- \mathbf{a} \cdot r \cdot \sum_{i=1}^{t} \lambda_{i} \cdot k_{i} - 2r \sum_{i=1}^{t} \lambda_{i} \cdot e_{i} \mod q$$
$$= \mathbf{a}_{\mathbf{x}} \cdot K + 2e'' \mod q$$

where  $e'' = e \cdot K + \sum_{i=1}^{t} \lambda_i \cdot e'_i + r \sum_{i=1}^{t} \lambda_i \cdot e_i$ . Thus,

$$K_{u} = \lfloor E(F_{K}(\mathbf{x}), \sigma) \rceil_{p}$$
  
=  $\lfloor ((\mathbf{a}_{\mathbf{x}}) \cdot K + \sigma((b_{k_{i}}) \cdot \frac{q-1}{2}) \mod q + 2e'') \mod 2 \rceil_{p}$   
=  $\lfloor ((\mathbf{a}_{\mathbf{x}}) \cdot K + \sigma((b_{k_{i}}) \cdot \frac{q-1}{2}) \mod q) \mod 2 \rceil_{p}$ 

It means that the output  $K_u$  of the variant-TOPRF is indistinguishable from  $F_K(\mathbf{x})$ .

The security analysis of our TOPRF is divided into two parts, i.e., unpredictability and obliviousness [79], [68]. Intuitively, unpredictability refers to the scenario where even the adversary  $\mathcal{A}$  can compromise the client, i.e.,  $\mathcal{A}$  gets  $\mathbf{x}$ , and corrupts t' < t servers,  $\mathcal{A}$  cannot predict the output  $F_K(\mathbf{x})$  of TOPRF. Obliviousness indicates that even  $\mathcal{A}$  can get the output  $F_K(\mathbf{x})$  and corrupt t' < t servers,  $\mathcal{A}$  cannot learn anything about  $\mathbf{x}$ . Together, unpredictability and obliviousness ensure that the output of the TOPRF remains independent of the input. Notably, the use of signals does not undermine the security of underlying intractable problem [69] (e.g.  $\text{DLWE}_{n,q,\chi,m}$  and  $1\text{D-SIS}_{q/p,n\ell,\max\{n\ell B,T\}}$ ). Moreover, robust extractors only reveal the range of noise without affecting the output of TOPRF since the noises are eliminated by rounding operations.

## C. Hash Key Derivation Function

As a crucial component of the PASE construction, the hash key derivation function (HKDF) is capable of deriving a single input into multiple distinct secret values, serving as encryption keys. This functionality ensures that different data can be protected by unique symmetric keys, thereby preventing a scenario where the compromise or loss of one key would render all data vulnerable. We directly use the password that has been authenticated to generate the data search key, which prevents the user from permanently losing data due to erroneous keystrokes. We can conveniently introduce latticebased PRF [80] in the framework proposed by Krawczyk [81] to construct a quantum-secure HKDF.

**Definition 9.** Let  $\mathsf{TOPRF}(1^{\kappa}, psw, sk)$  denotes the algorithm  $\Pi_{TOPRF}$  in Fig. 1. An HKDF must contain the following four polynomial algorithms:

- $pp \leftarrow \mathsf{Setup}(1^{\kappa})$  is a probabilistic algorithm that generates the set of parameters pp.
- $\{\mathbf{K}_u, pk_i\} \leftarrow \mathsf{TOPRF}(1^\kappa, psw, K) \text{ is a deterministic} algorithm that generates a user-special key <math>\mathbf{K}_u$  by taking as input user's password psw and server's secret key K.
- w ← Keyword(1<sup>κ</sup>, M) is a probabilistic algorithm that generates a keyword w by inputting 1<sup>κ</sup> and message M.
- dsk  $\leftarrow$  HKDF( $\mathbf{K}_u, \mathbf{w}$ ) is a deterministic algorithm and outputs a data search key dsk by taking as input a userspecial key  $\mathbf{K}_u$ , and a keyword w.

**Definition 10** ([81]). Let C denote the ciphertext of retrieved information with dsk. The HKDF is called  $(T, Q, \varepsilon)$ -secure if for any PPT algorithm  $\mathcal{A}$  running in time T with at most Q oracle queries the probability  $Adv_{\mathcal{A}}^{\mathsf{HKDF}}(\kappa) \leq \varepsilon(\kappa)$ or distinguishing the output of dsk  $\leftarrow \mathsf{HKDF}(\mathbf{K}_u, \mathsf{w})$  from uniformly random strings of the same length.

#### Initialization

Set (t, N) as threshold, where  $t, N \in Z^+$  and 0 < t < N. A subset S from [N] of size t. N servers execute the DKG algorithm to generate the key  $k_i \in \mathcal{R}_q$ , and compute  $vk_i$ , recursively as  $a^F(\mathbf{x}) = \mathbf{a}_x$ . Concretely,  $vk_i = \mathbf{a} \cdot k_i$ . gadgets  $G : R_q^{\ell \times \ell} \to R_q^{1 \times \ell}$ . **TOPRF between the user** U and N servers  $S_i$  U picks up  $r \leftarrow R_q$ ,  $e \leftarrow X$  and then input a secret  $\mathbf{x}$ . U sends  $\mathbf{b} = [\mathbf{a} \cdot r + \mathbf{a}^F \mathbf{x} + 2e]_p$ to each  $S_i$ .  $S_i$  responds with  $(\mathbf{b}_{k_i}, S(vk_i))$ ,  $\mathbf{b}_{k_i} = [\mathbf{b} \cdot k_i + 2e'_i]_p$ ,  $vk_i = [\mathbf{a} \cdot k_i + 2e_i]_p$ . Here, U outputs  $F_{msk}(\mathbf{x}) = \lfloor ((\mathbf{a}_X) \cdot msk + \sigma((\mathbf{b}_{k_i}) \cdot \frac{q-1}{2}) \mod q) \mod 2]_p$ .

Fig. 2: The variant-TOPRF algorithm  $\Pi_{\text{TOPRF}}$ .

## D. Distributed Key Generation

Since lattice is an infinite additive group, it can not be directly combined with Shamir's scheme [82]. Fortunately, we can share elements of a finite abelian quotient group G with identity element 0 by (t, N)-threshold secret sharing scheme [83]. Let e(G) denote exponent of G and  $s \in G$ .

**Definition 11.** There is the smallest  $m \in \mathbb{Z}^+$  such that ms = s + s + ... + s = 0, i.e. s is a module over the ring  $R = \mathbb{Z}_e(s)$ . The value s can be share by a formal polynomial  $f(X) = \sum_{j=0}^{t} f_j X^j \in S[X]$  of the maximum degree at t, where f(0) = s and the  $f(i) \in G$  for  $i \in [1, n]$  are uniformly random and independent. At least t + 1 participants can reconstruct the secret s.

In order to use the above secret sharing over lattices, we also need to set relevant parameters. Let  $k \ge log_p(n + 1)$ , where p is the smallest prime divisor of e(G), we can share  $s \in G$ among n servers using shares in  $G^k$ . By [83], we can use  $R = \mathcal{Z}_e(G)[X]/F(X)$  for any monic degree-k polynomial  $F(X) = \sum_{i=0}^k F_i X^i \in \mathcal{Z}_e(G)$  that is irreducible modulo every prime dividing e(G) that is irreducible modulo every prime dividing e(G). We write  $[s]^i$  to denote *i*-th server's share and the tuple of all shares by [s]. By combining the idea of integer sampling and MPC, we can realize distributed server key generation without the trusted center as follows:

**Definition 12** ([83]). *The Distributed Key Generation (DKG) must contain the following two polynomial algorithms:* 

- $[s_i] \leftarrow \text{Genshare}(\mathcal{Z}_e(G), \mathcal{Z}_q)$  is a probabilistic algorithm that sample  $F_i \leftarrow \mathcal{Z}_e(G)$  and generates  $[s_i] \leftarrow \mathcal{Z}_q$ .
- $\mathbf{k}_j \leftarrow \text{Genkey}(i, j, [s_i]^j)$  is a deterministic algorithm that generates secret key  $k_j = \sum_{i=1}^n [s_i]^j$  by receiving ntuple of  $(i, j, [s_i]^j)$ . After receiving n numbers of  $[s_i]^j$ ,  $S_j$  computes  $k_j = \sum_{i=1}^n [s_i]^j$ .

An unknown master secret key  $K = \sum_{j=1}^{t} [s]_{j}^{0}$  that cannot be recovered unless at least t + 1 malicious servers collude.

## E. EUF-CMA Signature

In digital signature schemes, existential unforgeability under chosen-message attacks (EUF-CMA) ensures that signatures cannot be forged by public keys. Moreover, there are three security properties of signatures beyond unforgeability: 1) Exclusive ownership [84] guarantees that a public key can only verify one corresponding signature; 2) Message-bound signatures guarantee that a signature is only valid for a unique message; 3) Non re-signability [85] guarantees that no signature can be generated with another key given the signature of a certain unknown message. In the post-quantum signature scheme of

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59 60 NIST Round 3 candidates (i.e., CRYSTALS-Dilithium [86], FALCON [87], and Rainbow [88]), CRYSTALS-Dilithium is the only signature scheme that provides EUF-CMA and all three security properties beyond unforgeability above [89].

CRYSTALS-Dilithium [86] is a lattice-based signature scheme and is designed based on the lattice hardness problem (i.e., LWE and a variant of the shortest integer solution problem). In the absence of a secure channel, we employ an instance of the CRYSTALS-Dilithium to prevent adversaries from tampering with the information.

**Definition 13** ([86]). The CRYSTALS-Dilithium signature scheme for a message space  $\mathcal{M}$  is a tuple of PPT algorithms as follows:

- $(\mathbf{pk}, \mathbf{sk}) \leftarrow \mathbf{Gen}(1^{\kappa})$  outputs a verification key  $\mathbf{pk}$  and a signing key  $\mathbf{sk}$ .
- $sig \leftarrow Sign(sk, m)$  outputs a signature  $sig \in \{0, 1\}^*$ by input sk and a message  $m \in \mathcal{M}$ .
- $\{0,1\} \leftarrow \text{Ver}(\mathbf{pk}, m, \mathbf{sig}) \text{ outputs either } 1 \text{ (accepts) or } 0 \text{ (rejects) by input } \mathbf{pk}, m, \text{ and sig.}$

**Correctness.** For any  $m \in \mathcal{M}$ , the verification algorithm  $\operatorname{Ver}(\mathbf{pk}, m, \sigma)$  outputs 1 with overwhelming probability, if  $(\mathbf{pk}, sk) \leftarrow \operatorname{Gen}(1^{\kappa}, pp)$  and  $\operatorname{sig} \leftarrow \operatorname{Sign}(sk, m)$ .

Security. The CRYSTALS-Dilithium signature scheme is EUF-CMA-secure if the advantage of any PPT adversary  $\mathcal{A}$  without knowing sk to forge a signature  $sig^*$  is that  $Adv_{\mathcal{A}}^{Sig}(\kappa) \leq \varepsilon(\kappa)$ .  $\mathcal{A}$  can output a list of query messages  $m_1, ..., m_Q$ , and query  $Gen(1^{\kappa}, pp)$  and Sign(sk, m). In addition, according to Proposition 6.1. in [89], CRYSTALS-Dilithium signature scheme can provide the security properties of signatures beyond unforgeability, i.e., exclusive ownership, message-bound, and non re-signability, if CRYSTALS-Dilithium employ a collision-resistant and non-malleable hash.

Notably, in QPASE, both the user and servers employ the user's fixed public key for verification. Hence, a signature meeting EUF-CMA-secure provides the necessary security and eliminates the need for encryption together with the message.

## F. Symmetric Encryption

In our QPASE, we employ symmetric encryption to protect the outsourced data and retrieval index. The definition of a symmetric encryption algorithm is as follows:

**Definition 14.** A symmetric encryption for a message space  $\mathcal{M}$  and a key space  $\mathcal{K}$  is a tuple of PPT algorithms as follows:

- $ct \leftarrow \mathbf{Enc}(k,m)$  is a encryption algorithm that picks  $k \leftarrow \mathcal{K}$  and  $m \leftarrow \mathcal{M}$  and outputs a ciphertext ct.
- $m \leftarrow \mathbf{Dec}(k, ct)$  is a decryption algorithm that employs the same key k as  $\mathbf{Enc}(k, m)$  and inputs a ct. Then, the decryption algorithm outputs the plaintext m.

To the best of our knowledge, the Grover algorithm [90] is currently the most effective algorithm for symmetric cryptosystems under the quantum computing model. The Grover algorithm can reduce the exhaustive search practice of  $2^n$ bit keys to  $\sqrt{2^n}$ . In other words, AES-256 still has 128-bit security in the exhaustive search of quantum computers.

## III. SCHEME ARCHITECTURE AND SECURITY MODEL

## A. Scheme Architecture

We first model the functionality and formally define the security of QPASE. QPASE in Fig. 3 comprises two entities.

- User: The user U, acting as the data owner, possesses an identity  $ID_u$  and a human-memorable password  $psw_u$ . To register with a set of servers S, U provides  $(ID_u, psw_u)$  and subsequently log in with the correct password to obtain the user-specific key  $K_u$ . Then, U can derive the data search key and either outsource or retrieve data using symmetric searchable encryption (SSE).
- Server: A set of servers  $S = \{S_1, ..., S_N\}$  stores the user's registration information. During the outsourcing phase, at least t servers assist U in generating a user-specific key and provide authentication for U.

The scheme proposed in this paper primarily addresses two key issues. The first issue pertains to the interaction between U and S, encompassing the processes of registration and authentication. Given the potential for data loss due to typos, it is crucial to authenticate and verify the correctness of the user's password. In addition, explicit authentication makes S weaken the impact of online password-guessing attacks through the rate limit. The other issue concerns the SSE. After authentication, U derives  $K_u$  with the assistance of at least t servers, and executes SSE. To achieve this, we extend the dual-server PASE of Chen et al. [14] to a multi-server PASE with active updates and quantum resistance. Specifically, we formally define the QPASE functionality as follows.

**Definition 15.** A quantum-resistant password-authenticated symmetric searchable encryption (QPASE) must contain the following five polynomial algorithms:

- pp ← Setup(1<sup>κ</sup>) generates a set of parameters pp by input κ. S<sub>i</sub> generates the server side key k<sub>i</sub> via the DKG algorithm in Definition 12.
- **Register** is executed between U (running interactive algorithm **RegisterU**) and S (running interactive algorithm **RegisterS**) according to the following specification: ( $\mathbf{pk}, \mathbf{sk}$ )  $\leftarrow$  **RegisterU**( $pp, ID_u, psw_u, S_i$ ): The user U chooses a password  $psw_u$  and interacts with N servers to obtain a key pair ( $\mathbf{pk}, \mathbf{sk}$ ). U sends  $\mathbf{pk}$  to all servers and remembers ( $ID_u, psw_u$ ).

 $\{0,1\} \leftarrow \text{RegisterS}(pp, ID_u, k_i): S_i \text{ assists } U \text{ with } registration. If the registration fails, outputs 0. Otherwise, it outputs 1 and stores the authentication information.$ 

- Login is executed between U (running interactive algorithm LoginU) and S (running interactive algorithm LoginS) according to the following specification:

 $(K_u, pk'_u, sk'_u) \leftarrow \text{LoginU}(pp, ID_u, psw_u, S_i)$ : U interacts with at least t-many  $S_i$  using a registered password  $psw_u$  to recover  $K_u$ . Then, U derives a key pair  $(pk'_u, sk'_u)$  with  $K_u$  for check the correctness of  $psw_u$ . If confirming that  $psw_u$  is correct, U achieves login and can outsource or retrieve data.

 $\{0,1\} \leftarrow \text{LoginS}(pp, ID_u, k_i, pk_u): S_i \text{ assists } U \text{ to recovers } K_u \text{ and check the valid of user's credentials.}$ If U is valid,  $S_i$  outputs 1 and provides data outsourcing



Fig. 3: An exemplary overview of the QPASE. For better illustration, the user U selects the  $(ID_u, psw_u)$ . Then,  $\mathbf{0}$  U registers with servers and generates characteristic  $pk_u$ , which is stored on each server.  $\mathbf{O}$  U logins to the servers using  $psw_u$ , and derives the data search key dsk with the assistance of server-side key  $k_i$ . Now, U can  $\mathbf{O}$  outsource data or  $\mathbf{O}$  retrieve data. The adversary can perform  $\mathbf{1}$  offline password-guessing attacks,  $\mathbf{2}$  online password-guessing attacks, and  $\mathbf{3}$  chosen keyword attacks to breach the security of QPASE.

and retrieval services. Otherwise, outputs 0 and aborts.

- **Outsource** is executed between U (running interactive algorithm **OutsourceU**) and S (running interactive algorithm **OutsourceS**) as following specification:

 $(Ct, C, i, sig_{ct}) \leftarrow \mathbf{OutsourceU}(pp, K_u, sk_u, w, d, S_i):$ U inputs  $K_u$ ,  $sk_u$ , the outsourced data d, and the keyword w to generate the data search key dsk. U output ciphertext Ct of searchable index by dsk, ciphertext C of d by  $K_u$  and a signature  $sig_{ct}$  of Ct.

 $\{0,1\} \leftarrow \mathbf{OutsourceS}(pp, pk_u, k_i): S_i \text{ verifies } sig_{ct} \text{ by } pk_u. \text{ If } sig_{ct} \text{ is valid, } S_i \text{ output } 1 \text{ and } receives (Ct, C, i). Otherwise, } S_i \text{ outputs } 0 \text{ and aborts.}$ 

*Retrieve* is executed between U (running interactive algorithm RetrieveU) and S (running interactive algorithm RetrieveS) after Login as following specification:

 $(dsk, sig_{dsk}, d) \leftarrow \mathbf{RetrieveU}(pp, K_u, sk_u, pk_u, w, S_i):$ U input  $K_u$  and w to recover the dsk and retrieve the outsourced data by interacting with S. Then, U decrypts C to get the plaintext d of data.

 $\{\mathcal{L}_i, \perp\} \leftarrow \mathbf{RetrieveS}(pp, pk_u, Ct, C): S \text{ assists certi$ fied authentic U to retrieve the outsourced data. S returns $the search list <math>\mathcal{L}_i$ . Otherwise,  $S_i$  aborts.

**Correctness.** The correctness of the QPASE scheme means that all data under the keyword can be retrieved whenever the registered user inputs the correct password in **Login**.

**Definition 16** (Correctness). Let **IX** denote all data under the keyword w and  $C \in \mathbf{IX}$ .  $pp \leftarrow \mathsf{Setup}(1^{\kappa})$ . The probability  $Pr[C \in \mathbf{IX}] = 1$  iff U executes the following algorithm:

$$(\mathbf{pk}, \mathbf{sk}) \leftarrow \mathbf{RegisterU}(pp, ID_u, psw_u, S_i);$$

 $(K_u, pk'_u, sk'_u) \leftarrow \mathbf{LoginU}(pp, ID_u, psw_u, S_i);$ 

 $(Ct, C, i, sig_{ct}) \leftarrow \mathbf{OutsourceU}(pp, K_u, sk_u, w, d, S_i);$ 

 $(dsk, sig_{dsk}, d) \leftarrow \mathbf{RetrieveU}(pp, K_u, sk_u, pk_u, w, S_i).$ 

and all servers output 1 by executing the following algorithm:

 $1 \leftarrow \langle \mathbf{RegisterS}(pp, ID_u, k_i), \mathbf{LoginS}(pp, ID_u, k_i, pk_u), \\ \mathbf{OutsourceS}(pp, pk_u, k_i), \mathbf{RetrieveS}(pp, pk_u, Ct, C) \rangle.$ 

B. Security Model

We consider adversaries with quantum computing capabilities to mount various attacks to capture outsourced data. For our QPASE scheme, we consider three security goals: quantum resistance, authentication, and indistinguishability against chosen keyword attacks (IND-CKA). To formally capture the capabilities of an adversary in our QPASE, and specify how the adversary interacts with honest parties, we employ the *Bellare-Pointcheval-Rogaway* (BPR) model [70], where the adversary's capabilities are modeled through queries and define a series of security notions. We briefly recall the BPR model as follows. Recalling Definition 15, each  $U \in$  User holds a password psw, while  $S_i \in S$  holds the server side key  $k_i$ . Let  $U^i$  and  $S^j$  denote user instances and key server instances, respectively, where  $i, j \in \mathbb{Z}$ . We denote any kind of instance by  $I \in$  User  $\cup$  Server.

Adversarial model. We consider the adversary  $\mathcal{A}$  with quantum computing capabilities can fully control the external network, which implies that  $\mathcal{A}$  is free to manipulate messages and adaptive request any session keys. Moreover, for N key servers in the scheme, we define that the adversary can simultaneously corrupt at most t' < t < N servers.

**Queries.** A interacts with the participants by using oracle queries that simulate the adversary's capabilities in a real attack. The query models available to A are as follows.

- Execute(U<sup>i</sup>, S<sup>j</sup>) captures a passive attack, such as eavesdropping. The output of execution consists of the messages exchanged during the honest execution.
- Send(I, m) captures an active attack, in which A sends a message to instance I and outputs the response of I to handle the message according to the protocol.
- Text(I) is used to define the semantic security of the session key and is only allowed to query once. This query outputs a random bit b in the real-or-random flavor. If b = 1, A gets the actual session key. Otherwise, A obtains a random key of the same size.
- Reveal(I) allowed A obtains the session key of I.
- Corrupt(I) captures the corrupt attack. If I = U, it outputs the password  $psw_u$ . If  $I = S^i$ , it outputs  $k_i$ .

**Partnering.** Let *sid* denotes the session identifier and *pid* denotes the partner identifier. For the  $U^i$  and  $S^j$  in an instance I, we said they are partnered if the following conditions are satisfied: 1) Both of them have accepted; 2)  $sid_{U^i} = sid_{S^j} = sid$ ; 3)  $pid_{U^i} = S$  and  $pid_{S^j} = U$ .

**Freshness.** I is fresh if the following conditions are true: 1) I has accepted and computed a session key.; 2) Neither I nor its partner has been asked for a query Reveal(I).

**Semantic Security**. In the sequences of games,  $\mathcal{A}$  can ask a polynomial number of query Execute $(U^i, S^j)$ , Send(I, m), and Reveal(I). Finally,  $\mathcal{A}$  asks a query Text(I) to get a guess bit b' for the bit b involved. For any PPT  $\mathcal{A}$ , the advantage holds that  $Adv_{\mathcal{A}}^{\mathsf{QPASE}} = 2Pr[b' = b] - 1$ . In the BPR model, each entity can execute the PASE with all of the servers multiple times. Furthermore, the BPR model permits any entity to instantiate unlimited instances but each instance is used only once.  $\mathcal{A}$  is capable of accessing different instances of entities.

Let L denote a list maintained by the experiment. We define

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| $Exp_{PASE,\mathcal{A}}^{Auth}(\kappa)$                                       |
|---|
| $Auth_i \leftarrow \emptyset; sid_j \leftarrow 0; params  Setup(1^{\kappa});$ |
| $(sid_{i^*}, w^*, ix^*) \leftarrow \mathcal{A}^{oracle(1)}(\mathbf{param})$   |
| $IX$ <b>Retrieve</b> $(sid_{i^*}, w^*);$                                      |
| $(sid_{i^*}, w^*, ix^*) \notin L \land (ix^* \in IX)$ return 1                |
| else rutrun 0   |
| $Exp_{PASE,\mathcal{A}}^{IND-CKA-b}(\kappa)$                                  |
| $Auth_i \leftarrow \emptyset; sid_i \leftarrow (-1); sid_i \leftarrow 0;$     |
| $L  \emptyset; \mathbf{param}  Setup(1^{\kappa});$                            |
| $b' \leftarrow \mathcal{A}^{oracle(\cdot)}(\mathbf{param});$                  |
| return $b'$   |

Fig. 4: PASE security experiments.

that the adversary  $\mathcal{A}$  can access the following oracles.

- **Challenge** $(b, sid_i, w_i)$ : The oracle aborts if  $(sid_i^* \ge 0) \lor (sid_i \ge sid_j) \lor ((sid_i, w_i) \in L)$ . Otherwise, it set  $sid_{i^*} \leftarrow sid_i$  and access **OutU** $(sid_{i^*}, w_b, C^*)$ .
- $\operatorname{Reg}(i)$  The experiment first initializes  $D_{i,sid_j}$  as a database. Then, it randomly picks psw satisfy  $(sid_i, psw, i) \notin L$ .  $\mathcal{A}$  interacts with the honest user and server (oracle) as the corrupted server. After access, the experiment records  $L[sid_i] \leftarrow (i, psw.auth_i, K_u)$ , delivers j to  $\mathcal{A}$  and set  $j \leftarrow j + 1$ .
- LoginU(i) The experiment initializes  $D_{i,sid_j}$  as a database. Then, it randomly picks psw satisfy  $(sid_i, psw, i) \notin L$ .  $\mathcal{A}$  interacts with the honest user and server (oracle) as the corrupted server. After access, the experiment records  $L[sid_i] \leftarrow (i, psw.auth_i, K_u)$ , delivers j to  $\mathcal{A}$  and set  $j \leftarrow j + 1$ .
  - LoginS(sid<sub>i</sub>): The oracle aborts if sid<sub>i</sub> ≥ sid<sub>j</sub>. Otherwise, it gets (i, psw.auth<sub>i</sub>) ← L[sid<sub>i</sub>]. A interacts with the honest server as the corrupted server.
- **OutU** $(sid_i, w, C)$ : The oracle aborts if  $sid_i \geq sid_j$ . Otherwise, it gets  $(i, psw.auth_i, K_u) \leftarrow L[sid_i]$ .  $\mathcal{A}$  interacts with the honest user and server (oracle) as the corrupted server. In  $Exp_{PASE,\mathcal{A}}^{Auth}(\kappa)$ , the oracle additionally computes  $L \leftarrow L \cup (sid_i, w, C)$ .
  - **OutS** $(sid_i)$ : The oracle aborts if  $sid_i \ge sid_j$ . Otherwise, it gets  $(i, psw.auth_i, K_u) \leftarrow L[sid_i]$ .  $\mathcal{A}$  interacts with the honest server as the corrupted server.
  - RetU(sid<sub>i</sub>, w): The oracle aborts if (sid<sub>i</sub> ≥ sid<sub>j</sub>) ∨ (sid<sub>i</sub> = sid<sub>i</sub>\*) ∨ (w ∈ {w<sub>i</sub>}). Otherwise, it gets (i, psw.auth<sub>i</sub>, K<sub>u</sub>) ← L[sid<sub>i</sub>]. A interacts with the honest user and server (oracle) as the corrupted server. In the IND-CKA experiment, if (sid<sub>i</sub>\* = -1) the oracle additionally computes L ← L ∪ (sid<sub>i</sub>, w).
  - RetS(sid<sub>i</sub>): The oracle aborts if sid<sub>i</sub> ≥ sid<sub>j</sub>. Otherwise, it gets (i, psw.auth<sub>i</sub>, K<sub>u</sub>) ← L[sid<sub>i</sub>]. A Atteracts with the honest server as the malicious entities.

**Quantum resistance.** To mitigate the potential impact of Shor's [51] influential quantum attack algorithms, QPASE is designed based on the learning with errors problem. Shor's algorithm can efficiently solve large integer factorization and discrete logarithm problems on quantum computers. By leveraging the computational hardness of DLWE, the QPASE scheme aims to provide a secure cryptographic solution that is resistant to quantum attacks. Concretely, we construct the QPASE scheme based on Decision-LWE<sub>n,q,\chi,m</sub> in Definition 4, i.e., for any PPT  $\mathcal{A}$ , the advantage holds that  $Adv_{QPASE}^{DLWE}(\kappa) = |Pr[1 \leftarrow \mathcal{A}(\mathcal{Z}_q^{m \times n}, q, n, \chi, A, b)] - Pr[1 \leftarrow$  8

 $|\mathcal{A}(\mathcal{Z}_q^{m \times n}, q, n, \chi, r_1, r_2)]| \le \varepsilon(\kappa).$ 

Authentication. In the Login of QPASE, we follow part of the experiment  $Exp_{PASE,\mathcal{A}}^{auth}(k)$  outlined by Chen et al. [14], as depicted in Fig. 4, except that we employ more servers in our scenario. Notably,  $\mathcal{A}$  making at most  $q_s$  online attacks, the adversary's advantage Adv is denoted as  $q_s(\kappa)/|\mathcal{D}| + \varepsilon(\kappa)$ for all dictionary sizes  $|\mathcal{D}|$  in the existing uniform-model. Recent research [74], [75] provided a rigorous analysis to constrain the adversary's advantage as  $C' \cdot q_{send}^{s'}(\kappa) + \varepsilon(\kappa)$  for the Zipf parameters C' and s', with considering the password distribution follows the Zipf-distribution. We show that the advantages of the adversary  $\mathcal{A}$  are underestimated in the uniform model in Section VI. For any PPT  $\mathcal{A}$  making at most  $q_{send}$  online attacks, the advantage of  $\mathcal{A}$  holds that

$$Adv_{QPASE}^{\mathbf{Auth}}(\kappa) = Pr[1 \leftarrow Exp_{\mathcal{A}}^{Auth}(k)] \le C' \cdot q_s^{s'}(\kappa) + \varepsilon(\kappa).$$

**IND-CKA**. In the IND-CKA property of QPASE, we follow the part of the experiment  $Exp_{PASE,\mathcal{A}}^{IND-CKA-b}(k)$  in [14] as shown in Fig. 4 except that we prefer the CDF-Zipf distribution [75], [74], and the attacker's advantage can be formulated as  $Adv_{QPASE,\mathcal{A}}^{IND-CKA}(\kappa) = Pr[b' = b : b' \leftarrow Exp_{PASE,\mathcal{A}}^{IND-CKA-b}(k)] - \frac{1}{2}$ . A QPASE scheme is called IND-CKA-secure if the probability  $Adv_{QPASE,\mathcal{A}}^{IND-CKA}(\kappa) \leq C' \cdot q_s^{s'}(\kappa) + \varepsilon(\kappa)$ .

## IV. QPASE: OUR NEW SCHEME

In this section, we present a detailed description of our QPASE including five phases: Setup, Register, Login, Outsource, and Retrieve. Our scheme is secure in a semihonest setting with a secure channel. Specifically, Fig. 5 illustrates the phases Register and Login, and Fig.6 illustrates the phases Outsource and Retrieve. Moreover, we provide a server key update phase to resist perpetual leakage.

Setup. During the setup phase, the execution of the algorithm  $pp \leftarrow \mathbf{Setup}(1^{\kappa})$ . Specifically, with the security parameter  $\kappa$ , generate public parameters  $\mathbf{a} \in R_q^{1 \times \ell}$ . For the parameter  $\sigma > 0$  and any  $\mathbf{c} \in \mathcal{R}^m$  are defined as Gaussian distributions defined in Definition 3. Choose parameters params m > cklog(q) and  $q \ge poly(k)(\sqrt{logk})$ . Let  $\mu$  be an upper limit that a user fails to pass  $S_i$  authentication.

We set an upper limit  $\mu$  as the number of login requests issued by a user in an era. *Enc* is a symmetric key encryption algorithm and *Dec* denote corresponding decryption algorithm. Set  $N \leq \frac{1}{4}log_2 \frac{L \cdot \ell \cdot n - \sigma \sqrt{n}}{\sigma \sqrt{n-1}}$  as the a total number of servers and t is the threshold number.  $H : \{0,1\}^* \to \mathbb{Z}_q^n$  is a collision-resistance hash function. Let  $\mathcal{K}_u$  and  $\mathcal{K}_s$  denote the user and server key spaces, respectively. Each  $S_i$  generates the server-side key  $k_i$  via the DKG algorithm in definition 12. HKDF :  $R_q \times \mathcal{W} \to \mathcal{K}_u$ . PRF :  $\mathcal{K}_u \times \{0,1\}^{\mathcal{K}} \to \{0,1\}^{\mathcal{K}}$ . We employ the signature in Definition 13 to prevent adversaries from tampering with the information. For conciseness, we do not explicitly show the signature and verification.

**Register**. The **Register** phase allows the unregistered user U to register with a set of servers  $S = \{S_1, ..., S_N\}$  using the user's  $ID_u$  and a human-memorable password  $psw_u$ . U need to convert  $psw_u$  to binary  $\mathbf{x} = (x_1, ..., x_L) \in \{0, 1\}^L$ . The registration phase needs a secure channel.

| User U   |  | Server $S_i$   |
|--|--|--|
| Select $ID_u$ and $psw_u$<br><b>Register</b> $\mathbf{U}(pp, ID_u, psw_u, S_i)$<br>Binary conversior<br>$psw_u \to \mathbf{x} = (x_1,, x_L) \in \{0, 1\}^L$<br>$s \leftarrow \mathcal{X}_\sigma, e \leftarrow R(\mathcal{X}_\sigma)^{1 \times \ell}$ | ID <sub>u</sub>  | Key generation<br>$\begin{bmatrix} s_j \end{bmatrix}  \mathbf{Genshare}(Z_e(G), Z_q)$ $\mathbf{k}_i  \mathbf{Genkey}(j, i, [s_j]^i)$ $e_i  (\mathcal{X}_\sigma)^{1 \times \ell}$ Publish $pk_i = \mathbf{A} \cdot k_i + e_i$ Check $ID_u$ , if no exists, start      |
| $(Eval, \mathbf{x}, s, e) \longrightarrow \begin{bmatrix} K_u = F_K(\mathbf{x}) \end{bmatrix}$   | TOPRF<br>N servers response<br>Compute $F_K(\mathbf{x})$<br>$pk_n$ | $ \begin{array}{c} \mathbf{RegisterS}(pp, ID_u, k_i) \\ e'_i  R(\mathcal{X}_{\sigma})^{1 \times \ell} \\ \underline{(Eval, k_i, e'_i)} \\ \hline \end{array} $   |
| $(p\kappa_u, s\kappa_u) \leftarrow Gen(\Gamma, \kappa_u)$<br>Remember $ID_u$ and $psw_u$   | <b>&gt;</b>  | Secure storage $D_u$ , $p\kappa_u$ , $\kappa_i$<br>initiates counters $\mu_u$  |
| Input $ID_u$ and $psw_u$<br><b>LoginU</b> $(pp, ID_u, psw_u, S_i)$<br>Binary conversior<br>$psw_u \rightarrow \mathbf{x} = (x_1,, x_L) \in \{0, 1\}^L$<br>$s \leftarrow \mathcal{X}_\sigma, e \leftarrow R(\mathcal{X}_\sigma)^{1 \times \ell}$      | $ID_u$   | <b>LoginS</b> ( <i>pp</i> , <i>ID</i> <sub>u</sub> , <i>k</i> <sub>i</sub> , <i>pk</i> <sub>u</sub> )<br>Check counters $\mu_u \leq \mu$<br>$\mu$ is upper limit of counter<br>Set $\mu_u := \mu_u + 1$<br>$e'_i \leftarrow R(\mathcal{X}_{\sigma})^{1 \times \ell}$ |
| $(Eval, \mathbf{x}, s, e) \longrightarrow K_u = F_K(\mathbf{x})$   | TOPRF<br>N servers response<br>Compute $F_K(\mathbf{x})$           | $\leftarrow (Eval, k_i, e'_i)$   |
| $(pk'_u, sk'_u) \leftarrow Gen(1^{\kappa}, K_u)$<br>Check checks whether $pk'_u = pk_u$<br>If $pk'_u \neq pk_u$ , restart. Otherwise,  |  | After received $\mathbf{sig}_u$<br>$\mathbf{Ver}(pk_u, (ID_U, sid), \mathbf{sig}_u)$<br>if output 0, set $\mu_c := \mu_c + 1$  |

Fig. 5: The Register and Login of the QPASE.

 $sig_u$ 

- 1. U inputs  $(ID_u, \mathbf{x})$  and interacts with each  $S_i$  to execute algorithm  $\Pi_{TOPRF}$  in Fig. 1 to get  $K_u = F_K(\mathbf{x})$ . Each  $S_i$  checks whether  $ID_u$  is a duplicate. If yes,  $S_i$  notifies U. Otherwise,  $S_i$  stores  $ID_u$ .

 $\operatorname{sig}_{u} \leftarrow \operatorname{Sign}(sk'_{u}, (ID_{u}, sid))$ 

- 2. U executes  $(pk_u, sk_u) \leftarrow Gen(1^{\kappa}, K_u)$  in Definition 13 and sends  $pk_u$  to  $S_i$ , where  $i \in [1, N]$ .
- 3.  $S_i$  initiates  $\mu_u = 0$  and securely storage  $ID_u$ ,  $pk_u$ ,  $k_i$ ,  $\mu_u$  for a subsequently authenticating.
- 4. U only needs to secure storage  $ID_u$  and  $psw_u$ .

**Login**. In the Login phase, the user U executes LoginU to recover  $K_u$  with at least *t*-many servers and achieve authentication. U inputs  $ID_u$  and  $psw_u$ , and computes  $\mathbf{x} = (x_1, ..., x_L) \in \{0, 1\}^L$  from  $psw_u$ .

- L1. For  $i \in [1, t]$ , U uses  $(ID_u, \mathbf{x})$  to execute algorithm  $\Pi_{TOPRF}$  with  $S_i$  to get  $K_u = F_K(\mathbf{x})$ .  $S_i$  checks whether  $\mu_u < \mu$ . If no,  $S_i$  aborts. Otherwise,  $S_i$  set  $\mu_u := \mu_u + 1$  and assists U to recover  $K_u$ . Then,  $S_i$  returns  $pk_u$  to U.
- L2. U computes  $(pk'_u, sk'_u) \leftarrow Gen(1^{\kappa}, K_u)$  checks whether  $pk'_u = pk_u$ . If  $pk'_u \neq pk_u$ , U re-inputs the password and executes L1. Otherwise, U executes  $sig_u \leftarrow Sign(sk'_u, (ID_u, sid))$  and sends  $sig_u$  to  $S_i$ .
- L3.  $S_i$  verify sig with  $\operatorname{Ver}(pk_u, m, \operatorname{sig}_u)$ . If output 1,  $S_i$  allows U to upload outsourcing data or retrieval data. Otherwise,  $S_i$  aborts and set  $\mu_u := \mu_u + 1$ .

**Outsource**. In the **Outsource** phase, the authenticated user executes **OutsourceU** to upload the outsourcing data d.

- O1. U selects  $\rho \leftarrow Z_q$  and computes the data search key dsk  $\leftarrow$  HKDF $(K_u, w)$ ,  $v \leftarrow$  PRF (dsk,  $\rho$ ),  $C \leftarrow$  $Enc(K_u, d)$  sig<sub>C</sub>  $\leftarrow$  Sign $(sk_u, (\rho, v, C))$ , and  $Ct \leftarrow$  $Enc(dsk, (\rho, v, sig_C))$ , sig<sub>Ct</sub>  $\leftarrow$  Sign $(sk_u, (Ct, C, i))$ . U sends (Ct, C, i) and sig<sub>Ct</sub> to arbitrary  $S_i$ . O2. S<sub>i</sub> executes Ver(pk<sub>u</sub>, (Ct, C, i), sig<sub>Ct</sub>). If output
 1, S<sub>i</sub> stores (Ct, C, i) in its database. Notably, each S<sub>i</sub> provides M storage space for users.

Otherwise, login is successful

**Retrieve**. In the **Retrieve** phase, the authenticated user executes **RetrieveU** to retrieve and recover d.

- R1. After Login, U has  $K_u = F_K(\mathbf{x})$  and  $(pk_u, sk_u)$ . U computes dsk  $\leftarrow$  HKDF $(K_u, w)$ ,  $\mathbf{sig}_{dsk} \leftarrow$  $\mathbf{Sign}(sk_u, dsk)$  and sends them to  $S_i$ , where  $i \in [1, N]$ .
- R2.  $S_i$  executes  $\operatorname{Ver}(pk_u, dsk, \operatorname{sig}_{dsk})$ . If outputs 1,  $S_i$ computes  $(\rho, v, \operatorname{sig}_C) \leftarrow Dec(\operatorname{dsk}, Ct)$ .  $S_i$  initializes a set  $\mathcal{L}_i \leftarrow \emptyset$ . If  $1 \leftarrow Ver(pk_u, (\rho, v, C), \operatorname{sig}_C)$  and  $v = PRF(\operatorname{dsk}, \rho)$ ,  $S_i$  adds (Ct, C, i) to  $\mathcal{L}_i$ . When Retrieve is complete,  $S_i$  sends  $\mathcal{L}_i$  to U.
- R3. U receives all  $\mathcal{L}_i$  and executes  $(\rho, v, \operatorname{sig}_C) \leftarrow Dec(\operatorname{dsk}, Ct)$ . If  $1 \leftarrow Ver(pk_u, (\rho, v, C), \operatorname{sig}_C)$  and  $v = PRF(\operatorname{dsk}, \rho)$ , U decrypts the corresponding C, i.e.,  $d \leftarrow Dec(K_u, C)$ , to obtain data.

Server key update. It is necessary to update the server key to resist perpetual leakage [71]. The server key update phase is performed internally by the server without user participation, and the update does not affect the server's authentication and searchable encryption. Specifically, each server  $S_i$  updates the server-sid key  $k_i$  within a fixed period called an epoch. According to the Definition 12 and the server key update scheme of Jiang et al. [68], the specific operations during the server key update phase are as follows:

- 1. Let q = e(S),  $S_j$  randomly chooses a polynomial  $[F] = \sum_{k=1}^{t-1} \alpha_k X^k$ , where  $[F]^0 = 0$ .
- 2. At least t-many  $S_j$  computes  $h = \{H_j(\alpha_k)\}, F_j^i = [F]_j^i \mod q$ , where  $k \in [1, t-1], i \in [1, N], j \in [1, t].$
- 3.  $S_j$  broadcast the message  $F_v^{(\omega)} = \{j, \omega, h, E(i, F_j^i)\}$

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59 60 - 4.  $S_i$  decrypts the shares intended  $\{F_j^i\}_{j\in[1,t]}$  for  $S_i$ and verifies the correctness of the share by checking the equivalent  $H(F_j^i) = \sum_{k=1}^{t-1} H(\alpha_k)^{i^k}$  and executing  $\operatorname{Ver}(pk_i, (id, [F]_j^i), \operatorname{sig}_j)$ . If Ver output 1 and the equation holds, each  $S_i$  computes a new  $k'_i = k_i + \sum_{j=1}^t \lambda_{i,j} [F]_j^i \mod q$ . After receiving  $[F]_j^i \mod q$ from  $S_j$ , each  $S_i$  executes  $\operatorname{Ver}(pk_i, (id, [F]_j^i), \operatorname{sig}_j)$ . If Ver output 1, each  $S_i$  computes a new  $k'_i = k_i + \sum_{j=1}^t \lambda_{i,j} [U]_j^i \mod q$ .

5. S<sub>i</sub> recalculates pk'<sub>i</sub> = A · k'<sub>i</sub> + e<sub>i</sub>, where A ∈ R<sup>1×ℓ</sup><sub>q</sub> is a public matrix and e<sub>i</sub> ∈ R<sup>1×ℓ</sup><sub>q</sub>, and resets μ<sub>u</sub> to begin (ω + 1)-th epoch.

**Lemma 2.** Let IX denote all data under the keyword w and  $C \in IX$ .  $pp \leftarrow Setup(1^{\kappa})$ . The probability  $Pr[C \in IX] = 1$  iff the quantum-secure HKDF, the EUF-CMA signature, and the symmetric encryption Enc is correctness.

**Proof:** In **OutsourceU**, there are dsk  $\leftarrow$ HKDF $(K_u, w)$ ,  $v \leftarrow$  HKDF $(dsk, \rho)$ ,  $sig_C \leftarrow$ **Sign** $(sk_u, (\rho, v, C))$ , and  $Ct \leftarrow Enc(dsk, (\rho, v, sig_C))$ . In **Retrieve**, iff the symmetric encryption Enc is correctness, there is  $(\rho, v, sig_C) \leftarrow Dec(dsk, Ct)$ . Similarly, iff the EUF-CMA signature is correctness, there are  $1 \leftarrow Ver(pk_u, (\rho, v, C), sig_C)$ . Each  $S_i$  adds (Ct, C, i) to  $\mathcal{L}_i$ and  $Pr[C \in IX] = 1$ . Vice versa.

**Lemma 3.** Let [F] and [G] denote the master key polynomial and the update polynomial, respectively. At the end of the era, each  $S_i$  executes the server key update protocol to renew its secret key  $k_i$  without changing the master secret key K.

*Proof:* According to definition 12, we know that  $K = \sum_{i=1}^{n} [F]_{i}^{0} = \sum_{i=1}^{n} f_{i}(0)$ . Suppose that  $K' = \sum_{i=1}^{n} f'_{i}(0)$ . Since  $f'_{i}(x) = f_{i}(x) + G_{i}(x)$ , we have

$$K' = \sum_{i=1}^{n} f'_{i}(0) = \sum_{i=1}^{n} f_{i}(0) + U_{i}(0) = \sum_{i=1}^{n} [S]_{i}^{0} + [U]_{i}^{0}$$
$$= \sum_{i=1}^{n} [s]_{i}^{0} + 0 = \sum_{i=1}^{n} [s]_{i}^{0} = K.$$

**Multiple keywords**. Notice that the QPASE construction we gave uses only one keyword in the **Outsource** and **Retrieve** phases. Our scheme can be extended to multiple keywords to construct associative data. Let  $\mathbf{w} = (w_1, ..., w_k)$  be a set of keywords for a series of C. In the **Outsource** phase, O1. U selects  $\rho \leftarrow \mathcal{Z}_q$  and computes  $dsk_j \leftarrow HKDF(K_u, w_j)$ ,  $v_j \leftarrow (dsk_j, \rho)$ ,  $sig_x \leftarrow Sign(sk_u, (\rho, \mathbf{v}, C))$ , and  $Ct \leftarrow Enc(dsk, (\rho, \mathbf{v}, sig_C)$ , where  $\mathbf{v} = (v_1, ..., v_k)$ . U sends (Ct, C, i) to  $S_i$ . Inspired by Chen et al. [14], we use a similar method to construct the query. Let  $\mathbf{w}' = (w'_1, ..., w'_p)$ ,  $p \leq k$ .

In Retrieve, R1. U sends  $dsk_j \leftarrow HKDF(K_u, w'_j)$  to  $S_i$ , where  $i \in [1, N], j \in [1, p]$ . R2.  $S_i$  computes  $(\rho, \mathbf{v}, sig_C) \leftarrow Dec(Ct)$ . Initialize a set  $\mathcal{L}_i \leftarrow \emptyset$ . If  $Ver(pk_u, (\rho, \mathbf{v}, C), sig_C)$ and  $\mathbf{v} = PRF(dsk_j, \rho), S_i$  adds (Ct, C, i) from the database to  $\mathcal{L}_i$ . The search query includes three cases:

- Conjunctive queries 
$$w'_1 \wedge ... \wedge w'_p$$
 if  $\mathbf{v} = \mathbf{v}'$ .

- Disjunctive queries  $w'_1 \vee ... \vee w'_p$  if  $|\mathbf{v} \cap \mathbf{v}'| > 0$ .
- Subset queries  $(w'_1, ..., w'_p) \subseteq \mathbf{w}$  if  $\mathbf{v}' \subseteq \mathbf{v}$ .

# V. SECURITY ANALYSIS

In the following, we prove the security of our scheme within the formal model defined in Section III, assuming the Decision-LWE<sub> $n,q,\chi,m$ </sub> problem is intractable.

## A. Formal Security Analysis of QPASE

We prove the security of our lattice-based PASE scheme based on subsection III-B with the standard game-based proof.

**Theorem 2** (Authentication). In QPASE, let A get pp and access  $q_s$  times query. The frequency distribution of password dictionary D follows Zipf's law [74], [75]. For any PPT A, the advantage of disrupting authentication that

$$\begin{aligned} Adv_{QPASE,\mathcal{A}}^{\text{Auth}}(\kappa) \leq & 2C' \cdot q_s^{s'}(\kappa) + Adv_{\mathcal{A}}^{\text{DLWE}}(\kappa) \\ & + Adv_{\mathcal{A}}^{1D-SIS} + Adv_{\mathcal{A}}^{Sig}(\kappa) + \varepsilon(\kappa). \end{aligned}$$

We employ the Zipf model of the Taobao password distribution in Fig. 7, where  $|\mathcal{D}| = 15,072,667, C' = 0.0166957$ , and s' = 0.194179.

**Proof:** Game  $G_0^{Auth}$ . This game simulates the real environment between the protocol challenger and the passive adversary  $\mathcal{A}$ .  $\mathcal{A}$  obtains  $\mathbf{A}, \mathbf{x}^*, \mathbf{x}^*_{k_i}, \mathbf{pk}_u, S(\mathbf{pk}_i)$ . The simulator initializes  $Auth_i, sid_j, L$ , and pp as defined in the real security game  $G_{QPASE,\mathcal{A}}^{Auth}(\kappa)$ .  $\mathcal{A}$  access oracle including  $\mathbf{Reg}(i)$ ,  $\mathbf{LoginU}(i)$ , and  $\mathbf{LoginS}(sid_i)$ , which is defined in Section III-B. Specifically, the simulator  $\mathcal{S}$  initializes  $\mathcal{L}^i_{sid_j} \leftarrow \emptyset$  and plays U and  $S_i$ .  $\mathcal{A}$  interacts with the honest user and server (oracle) as the corrupted server. After access,  $\mathcal{S}$  records  $L[sid_j] \leftarrow (i, psw.auth_i)$ , delivers  $sid_j$  to  $\mathcal{A}$  and set  $j \leftarrow j + 1$ . We have

$$Adv_{QPASE,\mathcal{A}}^{\mathbf{Auth}}(\kappa) = Pr[succ_0^{Auth}].$$

**Game**  $G_1^{Auth}$ . This game is similar to  $G_0^{Auth}$  except that S executes the oracles Login(i) and  $\text{LoginS}(sid_i)$  and s is fresh in every session. Thus, we have

$$Pr[succ_1^{Auth}] = Pr[succ_0^{Auth}].$$

**Game**  $G_2^{Auth}$ . This game is similar to  $G_1^{Auth}$  except that  $\mathcal{A}$  access  $\operatorname{Reg}(i)$ ,  $\operatorname{Login}(i)$ ,  $\operatorname{LoginS}(sid_i)$ .  $\mathcal{A}$  sets  $\mathbf{b} = \lfloor \mathbf{a} \cdot r + \mathbf{a}^F \mathbf{x} + 2e \rceil_p$  and  $\mathbf{b}_{k_i} = \lfloor \mathbf{b} \cdot k_i + 2e'_i \rceil_p$ . According to Lemma 4, the advantage of  $\mathcal{A}$  is  $Adv_{\mathcal{A}}^{DLWE}(\kappa)$ . Thus, the views in  $G_0^{Auth}$  and  $G_1^{Auth}$  are computationally indistinguishable for any PPT  $\mathcal{A}$ , and there is

$$Pr[succ_2^{Auth}] - Pr[succ_1^{Auth}] = Adv_{\mathcal{A}}^{\mathsf{DLWE}}(\kappa).$$

**Game**  $G_3^{Auth}$ . This game is identical to  $G_2^{Auth}$  except that  $\mathcal{A}$  sets  $\mathbf{b}_{k_i} = \lfloor \mathbf{b} \cdot k_i + 2e'_i \rceil_p$  and  $K_u = \lfloor (\sum_{i=1}^t \lambda_i \cdot \mathbf{b}_{\mathbf{k}_i} - \sum_{i=1}^t \lambda_i \cdot pk_i \cdot r \rceil_p$ . In the setup phase,  $\mathcal{A}$  plays malicious server  $S^*$ .  $S^*$  computes  $pk^*$  from  $k^*$  and publishes it, where  $k_i \leq \sigma \cdot \sqrt{n}$ . In the query phase, the simulator randomly selected  $r \stackrel{R}{\leftarrow} R_q^{1 \times \ell}$  and send to  $S^*$ . Waiting for a response of  $\mathbf{x}_{k_i}^*$  from  $S^*$ . Finally, the honest user U send  $F_K(\mathbf{x})$  to  $\mathcal{A}$ . In real protocol,  $\mathbf{x}^*$  generated by the honest user U. The secret value

| 1<br>2 | User U  |  |
|--------|---|--|
| 3      |   |  |
| 4      | After successful login  |  |
| 5      | $\mathbf{OutsourceU}(pp, K_u, sk_u, w, d, S_i)$   |  |
| 6      | $ \rho \leftarrow Z_q,  dsk \leftarrow HKDF(K_u, w) $   |  |
| 7      | $v \leftarrow PRF(dsk, \rho), C \leftarrow Enc(K_u, d)$   |  |
| ,<br>8 | $sig_C \leftarrow Sign(sk_u, (\rho, v, C))$   |  |
| 9<br>9 | $Ct \leftarrow Enc(dsk, (\rho, v, sig_C))$<br>$\operatorname{sig}_{\mathcal{C}} (Ct, C, \mathcal{C}) = Ct(Ct, C, \mathcal{C})$                        | (i).sig <sub>at</sub>                          |
| 10     | $\operatorname{sig}_{ct} \leftarrow \operatorname{Sign}(\operatorname{sk}_u, (\operatorname{Cl}, \operatorname{C}, t))$                               |  |
| 10     | After successful login  |  |
| 11     | <b>RetrieveU</b> $(pp, K_u, sk_u, pk_u, w, S_i)$  |  |
| 12     | $dsk \leftarrow HKDF(K_u, w) \qquad (dsk, s)$   | iadek)   |
| 13     | $sig_{dsk} \leftarrow Sign(s\kappa_u, us\kappa)$  | Jush   |
| 14     | After received $\mathcal{L}_i$  |  |
| 15     | $(p, v, \operatorname{sig}_C) \leftarrow Dec(\operatorname{dsk}, Ov)$<br>If $v = PRF(\operatorname{dsk}, o)$ and                                      |  |
| 16     | $1 \leftarrow \operatorname{Ver}(pk_u, (\rho, v, C), \operatorname{sig}_C)$   |  |
| 17     | Output $d \leftarrow Dec(K_u, C)$   | <u>ı</u>                                       |
| 18     | Fig. 6: The Outgourse and   | Detrious                                       |
| 19     | rig. 0. The Outsource and   | netrieve                                       |
| 20     |   |  |
| 21     | x is hidden by the encryption algorithm based on Decision-  | the adversa                                    |
| 22     | LWE <sub><math>n,q,\chi_{2}m</math></sub> . Therefore, $\mathcal{A}$ cannot distinguish a real $\mathbf{x}^{*}$ from                                  | the same of                                    |
| 23     | r. Let $\mathbf{x} \stackrel{R}{\leftarrow} R(\chi_{\sigma})$ and $e \stackrel{R}{\leftarrow} R(\chi_{\sigma})^{1 \times \ell}$ are sampled by U. For | tor. $\boldsymbol{F}_q$ is                     |
| 24     | U executes $\Pi_{TOPRF}$ , and computes   | value $\mathbf{x}_{k_i}^*$ .                   |
| 25     | -<br>t t  | $F_K(\mathbf{x}) =  $                          |
| 26     | $F_{tr}(\mathbf{x}) = \lfloor \sum_{i=1}^{r} \lambda_{i} \cdot \mathbf{b}_{i} \rfloor = \sum_{i=1}^{r} \lambda_{i} \cdot nk \cdot r \mod a \rfloor$   | Let $T - L$                                    |
| 27     | $\Gamma_{\mathbf{K}}(\mathbf{x}) = \bigsqcup_{i=1}^{n} \lambda_i  \mathbf{b}_{k_i}  \sum_{i=1}^{n} \lambda_i  p \in [n],  i = 1$                      | $\ K\  = L$                                    |
| 28     | t $t$ $t$ $t$   | $\ \mathbf{n} \cdot \mathbf{e} + \mathbf{v}\ $ |
|        |   | vannullatio                                    |

$$F_{K}(\mathbf{x}) = \left\lfloor \sum_{i=1}^{t} \lambda_{i} \cdot \mathbf{b}_{k_{i}} - \sum_{i=1}^{t} \lambda_{i} \cdot pk_{i} \cdot r \mod q \right\rfloor_{p}$$
$$= \left\lfloor \mathbf{a} \cdot r \cdot \sum_{i=1}^{t} \lambda_{i} \cdot k_{i} + \mathbf{a}_{\mathbf{x}} \cdot \sum_{i=1}^{t} \lambda_{i} \cdot k_{i} + 2e \sum_{i=1}^{t} \lambda_{i} \cdot k_{i} \right.$$
$$+ 2 \sum_{i=1}^{t} \lambda_{i} \cdot e_{i}' - \mathbf{a} \cdot r \cdot \sum_{i=1}^{t} \lambda_{i} \cdot k_{i}$$
$$- 2r \sum_{i=1}^{t} \lambda_{i} \cdot e_{i} \mod q \right\rfloor_{p}$$
$$= \left\lfloor \mathbf{a}_{\mathbf{x}} \cdot K + 2e'' \mod q \right\rfloor_{p}$$

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where  $e'' = e \cdot K + \sum_{i=1}^{t} \lambda_i \cdot e'_i - r \sum_{i=1}^{t} \lambda_i \cdot e_i$ . According to Definition 1, set  $\sigma' \gg \max \{L \cdot \ell \cdot \sigma n^{3/2}, \sigma^2 n^2\}$ . The coefficient of  $\frac{p}{q} \cdot (\mathbf{a}^F(\mathbf{x}) \cdot K + 2e'')$  is further than T away from  $\mathcal{Z} + \frac{1}{2}$ . According to Definition 1, set T = $\frac{p}{a}\left(\sigma'\cdot\sqrt{n}+L\cdot\ell\cdot\sigma n^{3/2}\right) \ll 1$  such that  $T \leq \frac{p}{a}\cdot|e''|_{\infty}$ . Therefore,  $G_2^{Auth}$  and  $G_1^{Auth}$  are computationally indistinguishable. According to Definition 7, we have

$$Pr[succ_2^{Auth}] - Pr[succ_1^{Auth}] = Adv_{\mathcal{A}}^{1D-SIS}$$

**Game**  $G_4^{Auth}$ . This game is similar to  $G_3^{Auth}$  except that  $\mathcal{A}$  sets  $\mathbf{b} = [\mathbf{a} \cdot r + \mathbf{a}^F \mathbf{x} + 2e]_p$ . Concretely, in the setup phase,  $\mathcal{A}$  and uniform  $pk_{\mathcal{A}} \leftarrow \mathcal{Z}_q^{1 imes \ell}$  are generated. Send  $pk_i$  to  $\mathcal{A}$ . Initialize an empty list **Q**. During the query stage, for each message  $pk_i$ ,  $\mathcal{A}$  extracts  $\mathbf{x}_{\mathcal{A}}, e_{\mathcal{A}}$ , and queries **x.** If returns  $F_K(\mathbf{x}) \in R_p^{1 \times \ell}$  and  $F_K(\mathbf{x}) \notin \mathbf{Q}$ , sample  $F_q \leftarrow R_q^{1 \times \ell} \cap \left(\frac{q}{p} \boldsymbol{y} + R_{\leq \frac{q}{2p}}^{1 \times \ell}\right)$  and add  $(\mathbf{x}, \boldsymbol{F}_q)$  into **Q**. Return  ${m F}_q$  to  ${\mathcal A}.$  If returns  $F_K({f x}) \in R_p^{1 imes \ell}$  and  $F_K({f x}) \in {f Q},$ set  $F_q = F_K(\mathbf{x}) \in R_p^{1 \times \ell}$ . Choose  $e_i^* \stackrel{R}{\leftarrow} \chi_{\sigma'}$  and send  $\mathbf{x}_{k_i}^* = pk_u \cdot k_i + e_i^* + F_q$  to  $\mathcal{A}$ . Each round of queries uses different errors sampled from  $R(\chi_{\sigma'}^{1 \times \ell})$ . In a real protocol, if

ry  $\mathcal{A}$  can calculate the correct  $\boldsymbol{F}_q$ , it can perform peration on the message received from the simulasampled by the simulator and the corresponding Let  $e_{\lfloor \rceil} := \boldsymbol{y}_q - (q/p) \cdot \boldsymbol{y} \in R^{1 \times \ell}_{< \frac{q}{2}}$ , we have  $\frac{p}{\sigma}(\mathbf{a}^F(\mathbf{x})\cdot K + e_{||} + e'')]$ , where  $e'' \leq L \cdot \ell \cdot \sigma \cdot n^{3/2}$ .  $\begin{array}{l} \sum_{i=1}^{N} \lambda_i e'_i - \sum_{i=1}^{N} e_i \| \leq \frac{1}{2}. \ G_3^{Auth} \ \text{and} \ G_2^{Auth} \ \text{are} \end{array}$ ionally indistinguishable except guessing the  $\mathbf{x}$  (i.e. the password  $psw_u$ ). Hence, there is

Server  $S_i$ 

If the space is full, Replace the server storage

Otherwise, abort. .....

If  $v = \mathsf{PRF}(\mathsf{dsk}, \rho)$ 

of the QPASE.

After received (Ct, C, i),  $sig_{ct}$ **OutsourceS** $(pp, pk_u, k_i)$  $\mathbf{Ver}(pk_u, (Ct, C, i), \mathbf{sig}_{ct})$ If output 1,  $S_i$  stores (Ct, C, i)

After received  $(dsk, sig_{dsk})$ **RetrieveS** $(pp, pk_u, Ct, C)$  $\mathbf{Ver}(pk_u, dsk, \mathbf{sig}_{dsk})$ If output 0, abort Otherwise,

 $(\rho, v, \operatorname{sig}_C) \leftarrow Dec(\operatorname{dsk}, Ct)$ 

$$Pr[succ_3^{Auth}] - Pr[succ_2^{Auth}] = C' \cdot q_s^{s'}(\kappa).$$

**Game**  $G_5^{Auth}$ . This game is similar to  $G_4^{Auth}$  except that  $\mathcal{A}$  gets  $(pk_u, \mathbf{sig}_u)$  and accesses the oracle  $\mathbf{LoginS}(sid_i)$ ,  $\mathbf{OutS}(sid_i)$ , and  $\mathbf{RetS}(sid_i)$ . According to Section II-E,  $\mathcal{A}$ cannot forge a signature  $sig^*$  with  $(pk_u, sig_u)$  since EUF-CMA signature is secure. Therefore, we have

$$Pr[succ_3^{Auth}] - Pr[succ_2^{Auth}] = Adv_{\mathcal{A}}^{Sig}(\kappa).$$

**Game**  $G_6^{Auth}$ . This game is similar to  $G_5^{Auth}$  except that A queries  $q_s$  times and guesses  $psw_u$ . According to Section III-B, the advantage of  $\mathcal{A}$  is  $C' \cdot q_s^{s'}(\kappa)$ . Thus, we have

$$Pr[succ_5^{Auth}] - Pr[succ_4^{Auth}] = C' \cdot q_s^{s'}(\kappa).$$

In summary, the advantage of disrupting authentication is that:  $Adv_{QPASE,\mathcal{A}}^{\operatorname{Auth}}(\kappa) \leq 2C' \cdot q_s^{s'}(\kappa) + Adv_{\mathcal{A}}^{\operatorname{DLWE}}(\kappa) + Adv_{\mathcal{A}}^{1D-SIS} + Adv_{\mathcal{A}}^{Sig}(\kappa) + \varepsilon(\kappa).$ 

**Theorem 3** (IND-CKA). QPASE construction provides authentication based on the hardness of the decision Decision- $LWE_{n,q,\chi,m}$  and 1D-SIS problem and security of HKDF and EUF-MCA. For any PPT A, the advantage of disrupting IND-CKA security that

$$\begin{aligned} Adv_{QPASE,\mathcal{A}}^{\mathbf{IND}}(\kappa) \leq & 2C' \cdot q_s^{s'}(\kappa) + Adv_{\mathcal{A}}^{\mathsf{DLWE}}(\kappa) + Adv_{\mathcal{A}}^{1D-SIS} \\ & + Adv_{\mathcal{A}}^{Sig}(\kappa) + Adv_{\mathcal{A}}^{HKDF}(\kappa) + \varepsilon(\kappa). \end{aligned}$$

We employ the Zipf model of the Taobao password distribution in Fig. 7, where  $|\mathcal{D}| = 15,072,667, C' = 0.0166957$ , and s' = 0.194179.

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59 60 **Proof:** Game  $G_0^{IND}$ . The game initializes  $sid_i^*$ ,  $sid_j$ ,  $\mathcal{L}$ , and pp as defined in the real security experiment  $G_{QPASE,\mathcal{A}}^{IND-CKA}(\kappa)$ .  $\mathcal{A}$  accesses oracle Challenge $(b, sid_i, w_i)$ , **OutU** $(sid_i, w, C)$ , and **RetU** $(sid_i, w)$ , which are defined in subsection III-B. Specifically, the simulator  $\mathcal{S}$  initializes  $\mathcal{L}_{sid_j}^i \leftarrow \emptyset$  and plays U and  $S_i$ .  $\mathcal{A}$  interacts with the honest user and server (oracle) as the corrupted server. After access,  $\mathcal{S}$  records  $L[sid_j] \leftarrow (i, K_u)$ , delivers  $sid_j$  to  $\mathcal{A}$  and sets  $j \leftarrow j + 1$ . We have  $Adv_{QPASE,\mathcal{A}}^{IND-CKA}(\kappa) = Pr[succ_0^{IND}] - \frac{1}{2}$ . **Game**  $G_1^{IND}$ . This game is similar to  $G_0^{IND}$  except that  $\mathcal{A}$ executes **Login** to pass the authentication and obtain  $K_u$  with the  $psw_{\mathcal{A}}$ . By Theorem 2, we have

$$Pr[succ_1^{IND}] - Pr[succ_0^{IND}] = Adv_{QPASE,\mathcal{A}}^{Auth}(\kappa).$$

**Game**  $G_2^{IND}$ . This game is similar to  $G_1^{IND}$  except that in each session  $sid_i$  of the oracle  $\mathbf{OutU}(sid_i, w, C)$  and oracle  $\mathbf{RetU}(sid_i, w)$ ,  $\mathcal{A}$  cannot distinguish the search key dsk  $\leftarrow$  $\mathbf{HKDF}(K_u, W)$  and dsk', which is a uniform-random value. By the uniform distribution of  $K_u$  and the security of HKDF in Definition 10. We have

$$Pr[succ_2^{IND}] - Pr[succ_1^{IND}] \le Adv_{\mathcal{A}}^{HKDF}(\kappa).$$

**Game**  $G_3^{IND}$ . This game is similar to  $G_2^{IND}$  except that  $\mathcal{A}$  can forge EUF-CMA signature to be verified by  $\mathbf{OutU}(sid_i, w, C)$ ,  $\mathbf{RetU}(sid_i, w)$  and  $\mathbf{RetS}(sid_i)$ . According to Section II-E and CRYSTALS-Dilithium [86], we have

$$Pr[succ_3^{IND}] - Pr[succ_2^{IND}] \le Adv_{\mathcal{A}}^{Sig}(\kappa)$$

**Game**  $G_4^{IND}$ . This game is similar to  $G_3^{IND}$  except that  $\mathcal{A}$  cannot distinguish the key  $v \leftarrow PRF(dsk, \rho)$  and  $v' \leftarrow PRF(r_1, r_2)$  where  $r_1$  and  $r_2$  are random picked. According to  $G_3^{Auth}$  and Fig 2, the coefficient of  $\frac{p}{q} \cdot \mathbf{a}^F(\mathbf{x}) \cdot K$  is further than e'' away from  $\mathcal{Z} + \frac{1}{2}$ . Therefore, we have

$$Pr[succ_4^{IND}] - Pr[succ_3^{IND}] \le Adv_{\mathcal{A}}^{\mathsf{DLWE}}(\kappa) + \frac{1}{2}.$$

In summary, for any PPT  $\mathcal{A}$ , the advantage of disrupting IND-CKA security that:  $Adv_{QPASE,\mathcal{A}}^{\text{IND}}(\kappa) \leq 2C' \cdot q_s^{s'}(\kappa) + Adv_{\mathcal{A}}^{\text{DLWE}}(\kappa) + Adv_{\mathcal{A}}^{Sig}(\kappa) + Adv_{\mathcal{A}}^{HKDF}(\kappa) + \varepsilon(\kappa).$ 

## B. Further Security Discussion

The main goal of the Login phase of QPASE is to allow a user to recover high entropy keys with a correct password. Login calls include a lattice-based TOPRF (see Section II-B) and a EUF-CMA signature scheme (see Section II-E). The construction of lattice-based TOPRF starts from the lattice-based OPRF of Albrecht et al. [79], which is reduced to  $DLWE_{n,q,\chi,m}$  and 1D-SIS $_{q/p,n\ell,\max\{n\ell B,T\}}$ . On this basis, Jiang et al. [68] extend the lattice-based OPRF to the lattice-based TOPRF and provide a threshold constraint.

In this work, we employ the robust extractor [69] to achieve a deterministic user-specific key generation for QPASE. The use of a robust extractor does not undermine the security of underlying intractable problem [69] (e.g.  $DLWE_{n,q,\chi,m}$  and 1D-SIS $_{q/p,n\ell,\max\{n\ell B,T\}}$ ). Moreover, robust extractors only reveal the range of noise without affecting the output of TOPRF since the noises are eliminated by rounding operations.

TABLE II: Security level of our scheme.

| Parameter                            | Description  | PARAM I                               | PARAM II                              |
|--------------------------------------|--|---------------------------------------|---------------------------------------|
| $\frac{1}{n}$ $q$ $\beta$ $\delta_0$ | dimension<br>original modulus<br>the BKZ block<br>Hermite factot | $512 \\ 2^{28} - 57 \\ 342 \\ 1.0044$ | $595 \\ 2^{28} - 57 \\ 478 \\ 1.0035$ |
| Classical cost<br>Quantum cost       | $2^{0.292 \times \beta}$<br>$2^{0.268 \times \beta}$             | 100-bit<br>92-bit                     | 140-bit<br>128-bit                    |

TABLE III: Running times of related operations (in ms).

| Operations | $T_G$ | $T_{ou}$ | $T_S$ | $T_E$ | $T_P$     |
|------------|-------|----------|-------|-------|-----------|
| Time       | 0.354 | 0.641    | 0.241 | 15    | 0.554     |
| Operations | $T_H$ | $T_{os}$ | $T_V$ | $T_D$ | $T_{exe}$ |
| Time       | 0.02  | 0.049    | 0.133 | 15    | 3.8       |

We follow the security parameter settings of Albrecht et al. [79] to ensure the quantum security of our lattice-based TOPRF, and also carefully consider parameter settings for other components. For the instantiation of QPASE, we employ CRYSTALS-Dilithium [86] to achieve authentication and thwart adversaries from tampering with the information. In phases of both **Outsource** and **Retrieve**, we employ an HKDF [81] and PRF [80] for deriving the search key.

Let the lattice dimension of DLWE  $n = \kappa^c$ , where c > 2is a constant, and the lattice dimension of 1D-SIS  $n' = \kappa$ . Set the bit-length of  $\mathbf{x} \ L = \kappa$ , the secret and error distribution  $\sigma = \operatorname{poly}(n)$ , and  $\sigma' = \sigma^2 n^2 \cdot \kappa^{\omega(1)}$ . let  $q = p \cdot \prod_{i=1}^{n'} p_i$ , where  $p_i = \sigma' \cdot \omega \left(\sqrt{nn' \log q \log n'}\right)$ . There is  $q = p \cdot \sigma' \cdot \kappa^{\omega(1)}$ [79]. According to CRYSTALS-Dilithium [86], there is  $q = 56(n\sqrt{n\kappa/\log n})^2/n\sqrt{\kappa n/\log n} = 56(n\kappa/\log n)^{3/2} \ge 2^{23}$ .

We employ the "lwe-estimator"<sup>1</sup> with the quantum cost model [91] to achieve the security estimates of QPASE. To obtain more conservative parameters, we adopt the core-SVP methodology using the classical cost  $2^{0.292\times\beta}$  and quantum cost  $2^{0.268\times\beta}$ . Specifically, we set  $q = 2^{28} - 57$ , n = 512following [86]. Our QPASE can provide 100-bit classical security and 92-bit quantum security with the BKZ block  $\beta = 342$ . To provide 128-bit quantum security for QPASE, we adjust the parameters to  $q = 2^{28} - 57$ , n = 595 with  $\beta = 478$ . Table II shows more details on two sets of parameters.

Next, we consider the impact of  $\mathcal{A}$  executing corruption attacks on servers. The form of the user-specific key  $K_u = \lfloor \frac{p}{q} \mathbf{a}^F(\mathbf{x}) \cdot K \rfloor$  shows that the key is only related to the user's password psw ( $\mathbf{x}$  is the binary form of the password) and K. Even if  $\mathcal{A}$  has corrupted t' (t' < t) servers can not launch disclose attacks and impersonation attacks since the lattice-based TOPRF has observability and unpredictability [68]. If  $\mathcal{A}$  can corrupt more than t servers,  $\mathcal{A}$  can generate the user-specific key by collecting data from the first phase of the TOPRF interaction. Therefore, we assume that  $\mathcal{A}$  cannot corrupt more than t servers in the same epoch again (which is consistent with the idea of the (t, N) threshold scheme).

**Lemma 4.** Let [F] and [G] denote the master key polynomial and the update polynomial, respectively.  $\lambda_{i,j}$  is the Lagrangian coefficient. A cannot obtain the master private key K of the servers, if A cannot corrupt more than t' servers in an era.

**Proof:** Suppose that  $\mathcal{A}$  has corrupted t servers and obtained more than t private keys in two consecutive eras, which is denoted by  $k_1, ..., k_{t'}, k_{t'+1}^*, ..., k_t^*$ , (a < t' < t < n). At this point, the adversary calculates:  $K^* = \sum_{i=1}^{t'} \lambda_{i,j} \cdot k_i + \sum_{i=t'+1}^{t} \lambda_{i,j} \cdot k_i^* = \sum_{i=1}^{t'} \lambda_{i,j} \sum_{j=1}^{n} [S]_j^i + \sum_{i=1}^{t} \lambda_{i,j} \sum_{j=1}^{n} [F]_j^i = K + \sum_{i=t'+1}^{t} \lambda_{i,j} \sum_{j=1}^{n} [G]_j^i$ .

Since the update key generated by 0-sharing still satisfied the threshold security requirements. That is, the adversary can obtain at most t' < t update keys. In other words, there are t - t' update keys here that the adversary cannot obtain. Therefore, the adversary can not compute: K = $\sum_{i=1}^{t'} \lambda_{ij} s k_i + \sum_{i=t'+1}^{t} \lambda_{ij} s k_i^* - \sum_{i=t'+1}^{t} \lambda_{ij} \sum_{j=1}^{n} [G]_j^i$ .

## VI. EXPERIMENTS

In this section, we evaluate the overheads and functions of our QPASE and compare our work with related works.

**Overheads.** We calculate the computation cost in terms of basic cryptographic operations. Specifically,  $T_G$ ,  $T_H$ , and  $T_p$  denote the key generation, HKDF, and PRF, respectively.  $T_{ou}$  and  $T_{os}$  denote the execution of the TOPRF algorithm by the user and the server, respectively.  $T_s$  and  $T_v$  denote the signature and verification, respectively.  $T_E$  and  $T_D$  denote the symmetric encryption and decryption of 100KB files, respectively. In addition, we use  $T_{exe}$  to denote the exponential operation, which is the main overhead of the PASE scheme of Chen et al. [14]. Our implementation is in C++ language and complies with the NTL version 11.5.1, and the measurement is obtained on a LAPTOP with an AMD Ryzen 7 5800H with Radeon Graphics running at 3.20 GHz. The computation cost of basic cryptographic operations is shown in Table III.

Let the dimension m = n, an odd prime  $q \approx n^c$ , where c is constant, and the noise rate  $\alpha \approx n^{1/2-c}$ , we can get an LWE instance by  $n, q, \alpha, m$ . To ensure a 128bit quantum security level, we employ the PARAM II in Table II as the parameter set. Specifically, set n = 595 and  $q = 2^{28} - 57 = 268, 435, 399$ . The practical parameters for implementing our QPASE can be found in the scripts of LWE-Frodo<sup>2</sup> and Dilithium<sup>3</sup>. To facilitate comparison with Chen et al.'s scheme [14], we set N = t = 2 and employ the same evaluation setup in [92]. The test object of the outsourcing and recovery operation is a 100 KB file, just like PASE [14].

In Table IV, we compare each phase of QPASE with its foremost counterpart i.e., Chen et al.'s PASE [14]. Set security parameters  $\kappa = 128$  for both schemes. Table IV illustrates that our QPASE incurs lower computational costs than PASE [14]. On the one hand, our lattice-based scheme eliminates the need for exponentiation operations and only uses relatively lightweight operations like matrix multiplication and addition, which reduces computational overhead. On the other hand, we employ TOPRF to re-randomize passwords, which allows the user to achieve authentication simultaneously with the reconstruction of user-specific keys. This avoids the additional

<sup>2</sup>https://nvlpubs.nist.gov/nistpubs/ir/2020/NIST.IR.8309.pdf

<sup>3</sup>https://github.com/GMUCERG/Dilithium



Fig. 7: Online guessing advantages of the real attacker and attackers modeled by the CDF-Zipf, PDF-Zipf, uniform and min-entropy distributions, respectively (using the 15,072,667 passwords leaked from Taobao). The overlap of the CDF-Zipf attacker with the real one indicates well prediction.

computational cost of commitments and further improves the computational efficiency of our QPASE.

We note that our QPASE has more communication costs than PASE [14], and this is a limitation of our scheme. Therefore, it may be not suitable for scenarios with low network bandwidth. We emphasize that the communication cost during the login phase is fixed. Thus, The communication cost gap between our scheme and PASE [14] decreases as the size of outsourced files increases. Specifically, the instance of LWE in  $\Pi_{TOPRF}$  takes about 0.5 MB [79]. The communication cost increases linearly as the number of servers grows. Besides, the public key transmitted during the registration phase imposes a communication overhead of at least 2.2 MB [86].

Still, compared to PASE [14], our scheme offers more robust security attributes and higher threshold settings. On the one hand, to the best of our knowledge, our scheme is the first password-authenticated symmetric searchable encryption with *quantum-resistance*. On the other hand, in our scheme, the server-side stores users' outsourced data *in a distributed manner*. In the **Retrieve** phase, multiple servers can retrieve data in parallel to further improve efficiency. *In summary, our QPASE outperforms its foremost counterparts (i.e., Chen et al.'s scheme [14]) in security and computation overhead.* 

**Function.** Although the password-authenticated secret sharing (PASS) scheme and QPASE scheme have different design ideas and components, both schemes share the same goal of enabling users to recover high-entropy encryption keys through passwords. Therefore, we have compared the functionality and computational overhead of the QPASE scheme with various PASS schemes [60], [93], [62], [61], [72], [94], [73], [7] and PASE schemes [14] in the phase of recovering the high entropy encryption key, as shown in Table V. We measure the computation overhead of schemes in terms of the number of exponential power operations. Randomization of the password through the lattice-based TOPRF [68] can avoid the high computational overhead of the power exponential operation. Moreover, users do not need to perform complex encryption and secret sharing at the registration phase.

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|             | Scheme           | User                           | User                       |                             |                            | Communication           | Rounds |
|-------------|------------------|--------------------------------|----------------------------|-----------------------------|----------------------------|-------------------------|--------|
| Scheme .    |                  | Computation cost Total Time    |                            | Computation cost Total Time |                            | cost                    | Rounds |
| Desister    | Chen et al. [14] | $6T_{exe}$                     | $\approx 22.81$ ms         | 0                           | 0                          | $\approx 1280$ bit      | 1      |
| Register    | Our QPASE        | $T_{ou} + T_G$                 | $\approx 0.99$ ms          | $T_{os}$                    | $\approx 0.05 \text{ ms}$  | $\approx$ 4,404,019 bit | 1      |
| D 17        | Chen et al. [14] | $3T_{exe}$                     | $\approx 11.41$ ms         | $8T_{exe}$                  | $\approx 30.41$ ms         | pprox 1792 bit          | 1      |
| Recover K_u | Our QPASE        | $T_{ou}$                       | $\approx 0.64$ ms          | $T_{os}$                    | $\approx 0.05 \text{ ms}$  | $\approx$ 2,097,152 bit | 1      |
| Outcourse   | Chen et al. [14] | $3T_{exe}+T_E$                 | $\approx 26.41 \text{ ms}$ | $8T_{exe}$                  | $\approx 30.41 \text{ ms}$ | $\approx$ 102,656 bit   | 2      |
| Outsource   | Our QPASE        | $T_H + T_P + T_E + 2T_S$       | $\approx 16.05 \text{ ms}$ | $T_V$                       | $\approx 0.13 \text{ ms}$  | $\approx$ 111,332 bit   | 1      |
| Retrieve    | Chen et al. [14] | $3T_{exe}+2T_D$                | $\approx$ 41.41 ms         | $8T_{exe}+T_D$              | $\approx 45.52 \text{ ms}$ | $\approx$ 102,912 bit   | 2      |
|             | Our QPASE        | $T_H + T_S + T_P + T_V + 2T_D$ | $\approx$ 30.95 ms         | $T_P + T_V + T_D$           | $\approx 15.69 \text{ ms}$ | $\approx$ 119,050 bit   | 1      |

TABLE IV: Comparison of the performance evaluation between Chen et al. [14] and our work at each phase.

TABLE V: Comparison among recent PASS [60], [93], [62], [61], [72], [94], [7], [73], [4] and PASE [14] with our work.

|                                    | Threshold | Password     | Security<br>model | Technology | Quantum<br>security | Data<br>retrieval | Round | Computation overhead |             |
|------------------------------------|-----------|--------------|-------------------|------------|---------------------|-------------------|-------|----------------------|-------------|
|                                    |           | distribution |                   |            |                     |                   |       | Server               | User        |
| Bagherzandi et al. (CCS'11) [60]   | (t, N)    | UR           | ROM               | HE         | ×                   | ×                 | 2     | $16T_{exe}$          | $33T_{exe}$ |
| Camenisch et al. (CCS'12) [93]     | (2,2)     | -            | UC                | HE         | ×                   | ×                 | 1     | $26T_{exe}$          | $19T_{exe}$ |
| Jarecki et al. (ASIACRYPT'14) [61] | (t, N)    | UR           | ROM               | OPRF       | ×                   | ×                 | 1     | $4T_{exe}$           | $11T_{exe}$ |
| Yi et al. (ESORICS'15) [94]        | (t, N)    | UR           | UC                | HE         | ×                   | ×                 | 1     | $12T_{exe}$          | $7T_{exe}$  |
| Jarecki et al. (EuroS&P'16) [62]   | (t, N)    | UR           | UC                | OPRF       | ×                   | ×                 | 1     | $1T_{exe}$           | $4T_{exe}$  |
| Jarecki et al. (ACNS'17) [72]      | (t, N)    | UR           | UC                | OPRF       | ×                   | ×                 | 1     | $1T_{exe}$           | $2T_{exe}$  |
| Das et al. (ASIACCS'20)[7]         | (N, N)    | UR           | UC                | OPRF       | ×                   | ×                 | 2     | $4T_{exe}$           | $10T_{exe}$ |
| Chen et al.(IJIS'21)[14]           | (2, 2)    | UR           | ROM               | HE         | ×                   | $\checkmark$      | 1     | $8T_{exe}$           | $3T_{exe}$  |
| Roy et al. (ACNS'21) [73]          | (t, N)    | UR           | ROM               | FHE        | $\checkmark$        | ×                 | 1     | 0                    | 0           |
| Jiang et al. (TSC'23) [4]          | (t, N)    | Zipf         | ROM               | FHE        | $\checkmark$        | ×                 | 1     | 0                    | 0           |
| Our work                           | (t, N)    | Zipf         | ROM               | OPRF       | $\checkmark$        | $\checkmark$      | 1     | 0                    | 0           |

 $\dagger$  UR=Uniform random; - means not to consider; Zipf=Zipf distribution; HE=Homomorphic encryption; OPRF=Oblivious pseudorandom function; FHE=Fully homomorphic encryption; For efficiency. we count the most expensive operations, i.e., exponentiations (denoted by  $T_{exe}$ ).

It can be seen that Roy et al.[73], Jiang et al. [4], and our QPASE has a more obvious advantage in terms of efficiency, which is based on DLWE<sub>*n*,*q*, $\chi$ ,*m*</sub>. It does not require exponential power operations to hide secrets. In terms of security, the universally composable (UC) model is widely used [94], [62], [72] and can ignore the distribution of passwords. However, it is difficult to measure resistance to quantum attacks within the UC model [95]. Thus, we employ the ROM model to characterize security. Notably, the impact of password distribution on security analysis is crucial in the ROM model.

Fig. 7 shows that in the ROM model, assuming that the password follows a uniform random distribution leads to a "relaxation" of the security reduction. More specifically, the adversary's advantages are drastically underestimated in the uniform random password distribution model. The CDF-Zipf based formulation  $C' \cdot q_{send}^{s'}(\kappa) + \varepsilon(\kappa)$  well approximates the real attacker's  $Adv : q_{send} \in [1, |\mathcal{D}|]$  (Here we use the Zipf model of Taobao, where  $|\mathcal{D}| = 15,072,667, C' = 0.0166957$  and s' = 0.194179, the maximum deviation is less than 0.491%). This CDF-Zipf-based formulation is more accurate than previously used formulations such as the Min-entropy model [96]. Thus, we use the CDF-Zipf based formulation for our QPASE to achieve tighter security than other PASS [62], [61], [72] and PASE schemes [14].

## VII. CONCLUSION

The major goal of this paper is to construct a quantumresistant password-authenticated symmetric searchable encryption scheme based on the lattice to satisfy that only a user who knows the correct password can outsource, search, and retrieve data. To achieve this goal, we employ a lattice-based TOPRF to re-randomize the password that enables the user to generate a user-specific key via a human-memorable password and can resist offline guessing attacks. Then, we propose the first quantum-resistant password-authenticated symmetric searchable encryption for cloud storage, called QPASE.

QPASE offers users a solution to circumvent costly and error-prone key management practices when utilizing cloud storage services. Passwords not only serve as an authentication mechanism but also grant legitimate users access to powerful cloud server keys, enabling the derivation of user-specific keys. This liberates users from device constraints, significantly enhancing data outsourcing flexibility. Our scheme is extendable to support multi-keyword search and enables cloud servers to update keys without disrupting user data retrieval. We show that authentication and searchable encryption are not orthogonal, i.e., authentication security can prevent impersonation attacks and protect searchable encryption. Searchable encryption can also extend the functions and security of password-based authentication schemes. The security analysis confirms that QPASE achieves authentication security and IND-CKA security. Comparative evaluations against related schemes highlight the practicality of our QPASE.

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