

# QPASE: Quantum-Resistant Password-Authenticated Searchable Encryption for Cloud Storage

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**Abstract**—Searchable encryption is a powerful tool that enables secure and private searches of encrypted data. It allows users to outsource their data to cloud servers while maintaining the confidentiality and privacy of their data. Password-authenticated symmetric searchable encryption (PASE) can help users avoid the complexity and security risks associated with key management while maintaining the advantages of searchable encryption. To the best of our knowledge, none of the existing PASE schemes can resist security threats in the post-quantum era, and there is an urgent need to design quantum-resistant solutions. However, post-quantum cryptography (e.g., lattice-based cryptography) varies significantly from traditional cryptography, and it is challenging to design a quantum-resistant PASE for cloud storage. In this work, we take the first step towards this challenge by proposing QPASE, a quantum-resistant password-authenticated symmetric searchable encryption for cloud storage. We employ lattice-based threshold oblivious pseudorandom function to achieve password re-randomization and formally prove that QPASE is authentication secure and indistinguishability against chosen keyword attacks secure under quantum computers. QPASE can be extended to multi-keyword search and allows servers to update keys without affecting the users. The comparison results show that QPASE outperforms its foremost counterparts in security and computation overhead.

**Index Terms**—Lattice, password authentication, searchable encryption, data outsourcing, cloud storage.

## I. INTRODUCTION

WITH the continuous development of the Internet of Things, a massive number of devices and objects are being connected to the Internet. Users with limited computing and storage resources can outsource large amounts of data to cloud servers for management [1], which in turn brings about a vast amount of data [2]. The abundance of sensitive information (e.g., personal identification, financial) within outsourced data poses significant challenges to data management [3].

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Data encryption is the most direct technique to prevent data leakage [4], however, it complicates user querying [5].

To address this issue, cloud servers (e.g., Google Drive [6]) allow users to control online-key decrypted data via authentication and retrieve items of interest. But such a solution crucially depends on the cloud servers' honesty, as they have the potential to gain access to the plaintext of data [7]. Alternatively, users download all encrypted data and decrypt data locally to search for the required data but incur heavy communication costs for uploading and downloading.

Searchable encryption (SE) [8], [9] provides an elegant solution. SE enables users to perform searches on encrypted outsourced data while maintaining the confidentiality of data from the cloud server. Currently, SE can be classified into two main categories based on the structures: 1) Symmetric searchable encryption (SSE) schemes [10], [11] that employ high entropy shared keys; and 2) Public key encryption with keyword search (PEKS) schemes [12], [13] that require a high entropy private-public key pair. In practice, the high entropy keys are difficult to remember and require additional storage devices. This reduces the flexibility of users to outsource data, or retrieve and recover data using multiple different devices unless the high entropy keys are stored in all devices [14].

*Symmetric Searchable Encryption:* Song et al. [8] propose the first practical SSE based on symmetric primitives. In SSE, data is organized and indexed in a way that allows efficient search while preserving confidentiality [8]. After that, Goh [15] first proposes indistinguishability against chosen keyword attacks (IND-CKA) to characterize the semantic security of SSE. Such these works spark a series of studies on the security [16], [17], [18], [19], performance [20], [21], [22], and functionality [23], [24], [25] of SSE. Overall, SSE employs a masked index table to achieve ciphertext retrieval [26], [27].

At a high level, the user employs a symmetric encryption scheme to encrypt a set of data and output a ciphertext  $C$ . Meanwhile, the user creates a masked index  $C_t$  based on the message keyword. Then, the user can upload  $C$  and  $C_t$  on the cloud server. When users need to access data, they can generate a search token based on the encrypted keyword index and request the cloud server to return a  $C$  based on  $C_t$ .

Another variant of SSE is dynamic SSE (DSSE) [28], [29], [30], [31]. DSSE supports the addition and deletion of outsourced data. Driven by leakage-abuse attacks [32], a series of studies employ padding [33] and secure multiparty computation [34] to achieve forward security [35] (i.e., the added data cannot be associated with the original data), and backward security [17] (i.e., the deleted data cannot be

retrieved). SSE allows users to preprocess data by building an index, and subsequent search queries can be performed efficiently, with only a small amount of computation required by both the user and the server [36]. Hence, SSE is a practical scheme for cloud storage where data needs to be searched and accessed frequently, while also being kept confidential.

*Public Key Encryption With Keyword Search:* Boneh et al. [37] propose the first PEKS using bilinear maps and trapdoor permutations. PEKS allows users to associate keywords and outsourced data without disclosing any data-related information [38], [39]. Baek et al. [40] propose a secure channel-free PEKS and carry out the proof under the random oracle.

Subsequently, a series of variants of PEKS were studied, such as conjunctive keyword search [41], fuzzy keyword search [42], ranked keyword search [43], attribute-based keyword search [44]. However, malicious clouds can obtain underlying keywords by guessing candidate keywords offline. Thus, a line of work [45], [46], [47] has been done against the keyword guessing attacks by the public-key authenticated encryption. Other variants of PEKS include multi-user settings, deterministic searchable encryption [48], and plaintext-checkable encryption [49], enriching the research of PEKS.

*Password-Authenticated Searchable Encryption:* In practice, SE relies on high entropy keys for encryption and retrieval. Therefore, users need to employ a storage device to hold high-entropy keys, which increases the burden of key management when outsourcing and retrieving data using different devices. Chen et al. [14] present a password-authenticated symmetric searchable encryption (PASE) scheme to avoid costly key management for users, and achieve device-agnostic. Specifically, a user registers a human-memorable password on the server and reuses the password to outsource and retrieve data. PASE transfers the management overhead of users on high entropy keys to servers with strong storage capabilities.

Additionally, Huang et al. [50] propose a password-authenticated keyword search (PAKS) scheme. PAKS employs asymmetric primitives to encrypt data and retrieve target ciphertext, resulting in slower encryption/decryption speeds. Hence, PAKS is suitable for many-to-one scenarios (e.g., data sharing), where data owners use secret keys to generate trapdoors for the keywords to be retrieved, instead of outsourcing data of a single user. PASE employs symmetric primitives for encryption and trapdoor generation, making it more suitable for single-user data outsourcing scenarios. Our work aims in the scenario where users outsource data to cloud servers, and subsequently retrieve and recover their data from the cloud servers. Therefore, we focus on the construction of PASE.

However, to the best of our knowledge, none of those schemes [14], [50] mentioned above can resist security threats in the post-quantum world. The reason is that all of them are built on the hardness assumptions of traditional cryptography (e.g., large integer decomposition, discrete logarithms, elliptic curves). Hence, existing PASE is vulnerable after the advent of quantum computers, which are capable of efficiently solving traditional hardness problems using Shor's algorithm [51].

In the realm of quantum-resistant schemes, lattice-based schemes are considered the most promising general-purpose algorithms for public-key encryption by NIST [52], [53]. Numerous quantum-resistant password-based schemes [54],

[55], [56], [57], [58], [59] have been proposed over lattices. To the best of our knowledge, there is no quantum-resistant password-authenticated symmetric searchable encryption scheme. The main goal of our scheme is to answer the following question:

*Is it possible to construct a lattice-based password-authenticated symmetric searchable encryption scheme to satisfy that only a user who knows the password can outsource and retrieve data?*

Our answer to the above question is affirmative. Next, we show the design challenges and overview of our technique.

#### A. Overview of Our Technique

Before elaborating on our results and techniques, we first highlight two crucial observations. On the one hand, PASE is not simply a combination of a password-based authentication scheme and SSE. At a high level, PASE allows users to employ a password to derive strong keys, which are shared in multiple distributed cloud servers, to encrypt data [12]. PASE helps users avoid complex key management while improving the security of outsourced data [14]. Specifically, data encryption on the user side is only related to the correct password. It is independent of the device that stores the key, which increases the usability of the data outsourcing scheme. In addition, the user encrypts data locally which can prevent malicious cloud servers from snooping on outsourced data. Even if the strong key on distributed cloud servers is leaked, the adversary would still need to guess the user's password to retrieve and recover the outsourcing data on cloud server [7], [60], [61], [62].

On the other hand, it is essential to accurately verify the password to prevent the risk of data loss resulting from typographical errors on the part of the user. Specifically, in the recovery phase, PASE [14] allows users to employ the same password used during encryption to retrieve data. However, if the user inputs the wrong password during encryption (e.g., typing error), the "correct" password would lead to decryption failure in the recovery phase. Even requiring users to input the password twice before encryption cannot completely solve this problem [7]. In addition, implicit authentication leads to the server's inability to recognize online password-guessing attacks, which would increase the risk to the system.

Passwords are the most widely used identity authentication mechanism, but their low entropy and vulnerability have raised serious security concerns [63], [64]. Although there is a growing consensus that password-based authentication is likely to retain its status for the foreseeable future [65], [66], how to protect low-entropy passwords remains a challenging problem, especially in the coming post-quantum era [52], [53].

A feasible approach to constructing quantum-resistant PASE (QPASE) is lattice-based cryptography, and the primary issue is the re-randomization of passwords. Jiang et al. [4] proposed a password re-randomization method based on lattice-based fully homomorphic encryption [67], but this method can only provide implicit authentication and is not suitable for our goal. Although the password-authenticated secret sharing [7], [61] has been constructed through an oblivious pseudorandom function (OPRF), we cannot achieve the same goal simply by employing lattice-based threshold OPRF (TOPRF) [68], which can only provide the approximate protocol due to a

series of noise interferences. Inspired by Ding et al. [69], we adopt the robust extractor [69] to “rounding” the noise, and propose a variant TOPRF to realize the re-randomization of passwords.

At a high level, QPASE is modeled as an SSE scheme, where the user  $U$  can register with the password  $psw_u$  on a set of cloud servers  $S = \{S_1, \dots, S_n\}$  and reuse  $psw_u$  for multiple sessions of outsourcing and retrieval protocols. In each outsourcing session, users can outsource encrypted keywords and data ciphertexts to  $S$ . The retrieval protocol implements the search process based on the keywords input by  $U$  and provides  $U$  with all data related to that keyword. We define binary security to include authentication security and keyword privacy security. Specifically, we characterize the authentication security of QPASE based on the Bellare-Pointcheval-Rogaway (BPR) model [70] widely used in password-based schemes. Then, we define keyword privacy security based on indistinguishability against chosen keyword attacks (IND-CKA). These two security models are not orthogonal, i.e., authentication security can prevent impersonation attacks and protect for SSE.

Finally, there are two challenges in constructing QPASE. The first challenge pertains to updating server-side keys. To resist the perpetual leakage [71], server-side keys need to be updated in a fixed period. Although the generation of user-specific keys is closely related to server-side keys, the update of server-side keys should not affect the decryption of outsourcing data. Secondly, it concerns the password distribution model. It is commonly assumed in password-based schemes [7], [14], [61], [62], [72], [73] that the selection of passwords is uniformly distributed. Recent research [74] suggests that human-chosen passwords follow the Zipf distribution. Wang et al. [74], [75] show that adversary’s advantages are underestimated in the uniform model. The impact of password distribution assumptions should be fully considered.

*Contributions:* We propose the first quantum-resistant password-authenticated symmetric searchable encryption for cloud storage, named QPASE. Our construction starts with PASE [14] and follows the more general approach to realizing an SSE but employs quantum-secure cryptographic primitives. In summary, our contributions are three-fold:

- **QPASE.** We design a quantum-resistant PASE for cloud storage, called QPASE, to help users avoid costly and security-risky key management when using cloud storage services. Registered users can perform outsourcing, and retrieval of data via human memorable passwords only. By a password re-randomization method based on the lattice-based TOPRF, QPASE is secure against offline password-guessing attacks. Users can retrieve all data under the same keyword without revealing data. Moreover, QPASE allows servers to actively and independently update keys to resist perpetual leakage.
- **Security analysis.** We employ the BPR model [70] to characterize the authentication security of QPASE, and define the privacy of QPASE keywords through IND-CKA. On this basis, we make a rigorous security proof based on the Zipf model [74] and formally prove that QPASE is secure and robust under various attacks from both attacks of classical and quantum computers.

TABLE I  
NOTATIONS

Symbol	Description	Symbol	Description
$U$	the user	$S$	the server
$w$	the keyword	<b>sig</b>	the signature
$\mu_u$	the counter	$\mathcal{A}$	the adversary
$Ct$	hidden indexes	$psw_u$	password of user $U$
$\mathbf{A}$	random matrices	$\mathbf{K}_u$	the user-specific key
$ID_u$	identity of user $U$	$d$	the plaintext of data
$\mathcal{X}$	noise distribution	$C$	the ciphertext of data
$Enc$	symmetric encryption	$Dec$	symmetric decryption

- **Performance comparison.** We evaluate the quantum security level of QPASE under two parameter settings. The experimental analysis shows QPASE is not only more secure (our implementation can provide 128-bit quantum security) but also offers better computation efficiency than the state-of-the-art traditional PASE [14].

## B. Paper Organization

In Section II, we review the related notions and the basic components required for constructing the scheme. In Section III, we formally model the functionality and define the primary security properties of QPASE. In Section IV, we articulate QPASE and provide a correctness analysis. We also provide a server key update protocol and an extended version supporting multiple keywords. In Section V, we formally demonstrate that QPASE satisfies authentication and IND-CKA security, discuss the parameter selection and security level of QPASE, and provide evidence of QPASE’s resilience against corruption attacks. In Section VI, we present the results of the experiments and compare the related works with QPASE. Finally, in Section VII, we conclude the paper.

## II. PRELIMINARIES

*Notations:* Let  $\kappa$  be the security parameter.  $\mathcal{Z}$  and  $\mathcal{R}$  denote the set of all integers and the set of real numbers, respectively. For any integer  $q$ ,  $\mathcal{Z}_q$  is the ring of integer mod  $q$ . We write lower-case bold  $\mathbf{x}$  letter as vectors and upper-case bold letter  $\mathbf{A}$  as matrices. Let  $x \leftarrow \mathcal{D}$  to denote the sampling of  $x$  according to distribution  $\mathcal{D}$  and  $x \leftarrow S$  for a finite set  $S$  to indicate sample uniformly at random from  $S$ . In addition, we employ a series of intuitive notations listed in Table I.

### A. Lattices, LWE, and Gaussian Sampling

*Definition 1 [76]:* Define a lattice is  $\Lambda_q(\mathbf{A}) = \{\mathbf{A}\mathbf{s} \mid \mathbf{s} \in \mathcal{Z}_q^n\}$  with  $m$ -dimensional, where the basis  $\mathbf{A} \in \mathcal{Z}_q^{m \times n}$  for  $m \geq n \log q$ , and the determinant of  $\Lambda$  is  $\det(\Lambda) = \sqrt{\det(\mathbf{B}^T \mathbf{B})}$ .

*Definition 2 [76]:* If  $\chi = \chi(\kappa)$  over the integers is a distribution ensemble and it satisfies  $\Pr[x \stackrel{R}{\leftarrow} \chi; |x| \geq B] \leq 2^{-\tilde{\Omega}(n)}$ , then we have  $|\chi| \leq B$  and  $\chi$  is called  $B$ -bounded.

*Definition 3 (Gaussian Distributions, [77]):* For a standard deviation  $\sigma > 0$  and  $\mathbf{c} \in \mathcal{R}^n$  is the center, the discrete Gaussian distribution over  $\Lambda \subseteq \mathcal{Z}^m$  centred at  $\mathbf{c}$  with  $\sigma$  to be:  $D_{\mathcal{R}, \sigma}(\mathbf{z}) = \rho_{\mathbf{c}, \sigma}(\mathbf{z}) / \rho_{\mathbf{c}, \sigma}(\Lambda)$ , where  $\mathbf{x} \in \Lambda$ ,  $\rho_{\mathbf{c}, \sigma}(\mathbf{x}) = e^{-\pi \|\mathbf{x} - \mathbf{c}\|^2 / \sigma^2}$ , and  $\rho_{\mathbf{c}, \sigma}(\Lambda) = \sum_{\mathbf{x} \in \Lambda} \rho_{\mathbf{c}, \sigma}(\mathbf{x})$ .

300 *Definition 4 (Decision-LWE<sub>n,q,χ,m</sub>, [76]):* For a prime  
 301 integer  $q$ , integers  $m, n > 0$ , and a noise distribution  $\mathcal{X}$  over  
 302  $\mathcal{Z}_q$ , sample  $\mathbf{A} \leftarrow \mathcal{Z}_q^{m \times n}$ ,  $\mathbf{s} \leftarrow \mathcal{Z}_q^{n \times 1}$ ,  $\mathbf{e} \leftarrow \mathcal{X}_\sigma^{m \times 1}$ ,  $\mathbf{b} \leftarrow \mathcal{Z}_q^m$ .  
 303 The DLWE<sub>n,q,χ,m</sub> problem is to distinguish between: 1)  $(\mathbf{A}, \mathbf{A} \cdot$   
 304  $\mathbf{s} + \mathbf{e} \bmod q) \in \mathcal{Z}_q^{m \times n} \times \mathcal{Z}_q^{m \times 1}$  and 2)  $(\mathbf{A}, \mathbf{b}) \in \mathcal{Z}_q^{m \times n} \times$   
 305  $\mathcal{Z}_q^m$ . For any PPT adversary  $\mathcal{A}$ , the two distributions above-  
 306 mentioned are computationally indistinguishable. In other  
 307 words, the adversary's advantage in solving DLWE<sub>n,q,χ,m</sub>  
 308 problem is as follow:

$$309 \text{Adv}_{\mathcal{A}}^{\text{DLWE}}(\kappa) = |\Pr[\mathcal{A}(q, m, n, \mathcal{X}_\sigma, \mathbf{A}, \mathbf{s})] \\ 310 - \Pr[\mathcal{A}(q, m, n, \mathcal{X}_\sigma, \mathbf{A}, \mathbf{b})]| \leq \varepsilon(\kappa).$$

311 *Definition 5 (Rounding [78]):* Let  $q, m, n \in \mathcal{Z}^+$ . For  $\mathbf{A} \leftarrow$   
 312  $\mathcal{R}_q^{m \times n}$ , the rounding algorithm  $F$  is deterministic for the  
 313 "rounding" function  $\lfloor \cdot \rfloor : \mathcal{R}_q \rightarrow \mathcal{R}_p$  that enables  $\mathbf{x} \rightarrow \mathbf{u}$   
 314 s.t.  $F(\mathbf{x}) = \mathbf{A} \cdot \mathbf{u}$ .

315 *Definition 6 (LWR [78]):* For  $p, q, m, n \in \mathcal{Z}^+$ , the LWR  
 316 problems state that the two distributions  $(\mathbf{A}, \lfloor \mathbf{A} \cdot \mathbf{s} \rfloor_p)$  and  
 317  $(\mathbf{A}, \lfloor \mathbf{u} \rfloor_p)$  are computationally indistinguishable, where  $\mathbf{A} \leftarrow$   
 318  $\mathcal{R}^{m \times n}$ ,  $\mathbf{s} \leftarrow \mathcal{R}^m$  and  $\mathbf{u} \leftarrow \mathcal{R}^n$ . It means that for any PPT  
 319 adversary  $\mathcal{A}$ , there is

$$320 \text{Adv}^{\text{LWR}} = |\Pr[\mathcal{A}(\mathbf{A}, \lfloor \mathbf{A} \cdot \mathbf{s} \rfloor_p) \rightarrow 1] \\ 321 - \Pr[\mathcal{A}(\mathbf{A}, \lfloor \mathbf{u} \rfloor_p) \rightarrow 1]| \leq \varepsilon(\kappa).$$

322 *Modulus Switching:* As discussed in [78], for any positive  
 323 integers  $p, q$ , the modulus switching function  $\lfloor \cdot \rfloor_q \rightarrow p$  is  
 324 denoted as:  $\lfloor x \rfloor_{p \rightarrow q} = \lfloor (p/q) \cdot x \rfloor \pmod{q}$ . It is easy to show  
 325 that for any  $x \in \mathcal{Z}_q$  and  $p < q \in \mathbb{N}$ ,  $x' = \lfloor \lfloor x \rfloor_{q \rightarrow p} \rfloor_{p \rightarrow q}$  is  
 326 an element near to  $x$ , i.e.,  $|x' - x \pmod{q}| \leq \lfloor q/(2p) \rfloor$ . When  
 327  $\lfloor \cdot \rfloor_q \rightarrow p$  is used to an element  $x \in \mathcal{Z}_q$  or a vector  $\mathbf{x} \in \mathcal{Z}_q^k$ ,  
 328 the procedure is applied to each coefficient individually.

329 *Definition 7 (1D-SIS [79]):* For  $q, m, t \in \mathcal{Z}^+$ , given a  $\mathbf{v} \leftarrow$   
 330  $\mathcal{Z}_q^m$ , the one-dimensional SIS problem (1D-SIS) is to find a  
 331 non-zero  $\mathbf{z} \in \mathcal{Z}^m$  s.t.  $\|\mathbf{z}\|_\infty \leq t$  and  $(\mathbf{v}, \mathbf{z}) \in [-t, t] + q\mathcal{Z}$ .

### 332 B. Threshold Oblivious Pseudorandom Function

333 Threshold oblivious pseudorandom function (TOPRF) is  
 334 widely used in various password-based schemes [7], [62], [72]  
 335 to hide passwords to resist offline dictionary attacks. In Fig. 1,  
 336 we employ the lattice-based TOPRF [68] (where  $k_i$  is the key  
 337 of server  $S_i$  and  $pk_i$  is the public key of  $S_i$ ) to implement  
 338 password re-randomization, and derive a special key for the  
 339 user  $U$  with servers  $\mathcal{S} = \{S_1, \dots, S_n\}$ .

340 Let  $\ell = \lceil \log_2 q \rceil$ . Define  $G : \mathcal{R}_q^{\ell \times \ell} \rightarrow \mathcal{R}_q^{\ell \times \ell}$  to be  
 341 the linear operation corresponding to left multiplication by  
 342  $(1, 2, \dots, 2^{\ell-1})$  and  $G^{-1} : \mathcal{R}_q^{\ell \times \ell} \rightarrow \mathcal{R}_q^{\ell \times \ell}$ . It can be regarded  
 343 as the decomposition of  $G$ . Fix an array of  $\mathbf{a}_0, \mathbf{a}_1 \leftarrow \mathcal{R}_q^{1 \times \ell}$ .  
 344 For any  $\mathbf{x} = (x_1, \dots, x_L) \in \{0, 1\}^L$  subject to  $\mathbf{a}\mathbf{x} := \mathbf{a}_{x_1} \cdot$   
 345  $G^{-1}(\mathbf{a}_{x_2} \cdot G^{-1}(\dots(\mathbf{a}_{x_{L-1}} \cdot G^{-1}(\mathbf{a}_{x_L})))) \in \mathcal{R}_q^{1 \times \ell}$ . Based on  
 346 the Definition 4, a PRF  $F_k(x)$  is defined as follows.

347 *Lemma 1 (PRF, [80]):* Sample  $k \leftarrow \mathcal{Z}_q$  and recursively as  
 348  $\mathbf{a}^F(\mathbf{x}) = \mathbf{a}_x$ , the function  $F_k(x) = \lfloor \frac{p}{q} \cdot \mathbf{a}^F(\mathbf{x}) \cdot k \rfloor$  is a PRF  
 349 over the decision-LWE<sub>n,q,χ,m</sub> if  $q \gg p \cdot \sigma \cdot n \cdot \ell \cdot \sqrt{L}$ .

350 According to Albrecht et al. [79], for a PPT algorithm  $r \leftarrow$   
 351  $\Pi_x(\mathbf{a}_0, \mathbf{a}_1)$  s.t.  $\|r\|_\infty \leq B$  and  $\exists c \in (q/p) \cdot \mathcal{Z} + [-T, T]$  with  
 352 non-negligible probability, where  $B$  is distribution bounded,  
 353  $c$  is the coefficient of  $\mathbf{a}_x \cdot r$ , and  $T = 2\sigma^2 n^2 + \sigma' \sqrt{n}$ . Then  
 354 there is a PPT algorithm that can solve 1D-SIS<sub>q/p,nℓ,max(nℓB,T)</sub>  
 355 with non-negligible probability. We write  $\text{adv}_{\mathcal{A}}^{\text{1D-SIS}}$  as the

**Oblivious Computation of PRF  $F_k(\mathbf{x})$**

1. On input  $\mathbf{x}$ ,  $U$  chooses  $s \leftarrow \mathcal{Z}_q^{n \times 1}$  and  $e \leftarrow \mathcal{X}_\sigma^{m \times 1}$ ;  
Sends  $\mathbf{x}^* = \mathbf{A} \cdot s + e + \mathbf{a}^F(\mathbf{x})$  to at least  $t$ -many  $S_i$ .
2.  $S_i$  chooses  $e'_i \leftarrow \mathcal{X}_{\sigma'_i}^{m \times 1}$  responds with  $\mathbf{x}_{k_i}^* = \mathbf{x}^* \cdot k_i + e'_i$ ;
3. After receiving at least  $t$  responses,  $U$  computes  $PK =$   
 $\sum_{i=1}^t \lambda_{i,j} \cdot pk_i$  and output  $F_K(\mathbf{x}) = \lfloor \frac{p}{q} \cdot \mathbf{a}^F(\mathbf{x}) \cdot msk \rfloor$ .

Fig. 1. The TOPRF algorithm of Jiang et al. [68].

advantage of the adversary. According to Definition 7, we have

$$356 \text{Adv}_{\mathcal{A}}^{\text{1D-SIS}} \leq \varepsilon(\kappa). \quad 357$$

In addition, the amplified noise still causes the same input to  
 358 derive different PRF keys in practice. To tackle this challenge,  
 359 we employ the approach of Ding et al. [69] to eliminate noise.

360 *Definition 8 (Robust Extractors [69]):* Let  $\delta$  be error toler-  
 361 ance. The robust extractor contains a deterministic algorithm  
 362  $E$  and a hint algorithm  $S$ , which are as follows:

- $\sigma \leftarrow S(y)$  is a hint algorithm. When input a  $y \in \mathcal{R}_q$  and  
 364 outputs  $\sigma \in \{0, 1\}$ . Specifically, for prime  $q > 2$ , there  
 365 are two signal  $\sigma_0(x), \sigma_1(x)$  as follows. 366

$$367 \sigma_0(x) = \begin{cases} 0, & x \in [-\lfloor \frac{q}{4} \rfloor, \lfloor \frac{q}{4} \rfloor] \\ 1, & \text{otherwise.} \end{cases} \quad 367$$

$$368 \sigma_1(x) = \begin{cases} 0, & x \in [-\lfloor \frac{q}{4} \rfloor + 1, \lfloor \frac{q}{4} \rfloor + 1] \\ 1, & \text{otherwise.} \end{cases} \quad 368$$

- $k \leftarrow E(x, \sigma)$  is a deterministic algorithm. When input  
 369 an  $x \in \mathcal{R}_q$  and a signal  $\sigma \in \{0, 1\}$ , outputs  $k \in \{0, 1\}$ .  
 370 Specifically, we have  $E(x, \sigma) = (x + \sigma) \cdot (q-1)/2 \pmod{q}$ .  
 371
- For any  $x, y \in \mathcal{Z}_q$  such that  $x - y$  is even and  $|x - y| \leq \delta$ ,  
 372 then it holds that  $E(x, \sigma) = E(y, \sigma)$ , where  $\sigma \leftarrow S(y)$ .  
 373

374 The variant-TOPRF with robust extractors is shown in  
 375 Fig. 2. Next, we analyze the correctness of variant-TOPRF.

376 *Theorem 1 (Correctness):* Let  $q, m, n, \sigma > 0$  depend on  $\kappa$   
 377 and  $\ell = \lceil \log q \rceil$ . The secret input  $\mathbf{x}$  is converted into binary  
 378 by the user  $U$ . The output  $F_K(\mathbf{x})$  of the variant-TOPRF is  
 379 indistinguishable from the PRF  $F_k(x)$  in Definition 1.

380 *Proof:* The explicit expression of  $pk_i$  in Fig. 2 is  $pk_i =$   
 381  $\lfloor \mathbf{a} \cdot k_i \rfloor_p$ . Let  $\lambda_i$  be the Lagrange coefficient s.t.  $K = \sum_{i=1}^t \lambda_i \cdot$   
 382  $k_i \in \mathcal{R}_q$ . According to Fig. 2, we have

$$383 F_K(\mathbf{x}) = \sum_{i=1}^t \lambda_i \cdot \mathbf{b}_{k_i} - \sum_{i=1}^t \lambda_i \cdot pk_i \cdot r \bmod q \quad 383$$

$$384 = \mathbf{a} \cdot r \cdot \sum_{i=1}^t \lambda_i \cdot k_i + \mathbf{a}_x \cdot \sum_{i=1}^t \lambda_i \cdot k_i \quad 384$$

$$385 + 2e \sum_{i=1}^t \lambda_i \cdot k_i + 2 \sum_{i=1}^t \lambda_i \cdot e'_i \quad 385$$

$$386 - \mathbf{a} \cdot r \cdot \sum_{i=1}^t \lambda_i \cdot k_i - 2r \sum_{i=1}^t \lambda_i \cdot e_i \bmod q \quad 386$$

$$387 = \mathbf{a}_x \cdot K + 2e'' \bmod q \quad 387$$

388 where  $e'' = e \cdot K + \sum_{i=1}^t \lambda_i \cdot e'_i + r \sum_{i=1}^t \lambda_i \cdot e_i$ . Thus,

$$389 K_u = \lfloor E(F_K(\mathbf{x}), \sigma) \rfloor_p \quad 389 \\ 390 = \lfloor ((\mathbf{a}_x) \cdot K + \sigma((b_{k_i}) \cdot \frac{q-1}{2}) \bmod q + 2e'') \bmod 2 \rfloor_p \quad 390$$

**Initialization**  
Set  $(t, N)$  as threshold, where  $t, N \in \mathbb{Z}^+$  and  $0 < t < N$ . A subset  $\mathcal{S}$  from  $[N]$  of size  $t$ .  $N$  servers execute the DKG algorithm to generate the key  $k_i \in \mathcal{R}_q$ , and compute  $vk_i$ , recursively as  $\mathbf{a}^F(\mathbf{x}) = \mathbf{a}_x$ . Concretely,  $vk_i = \mathbf{a} \cdot k_i$ . gadgets  $G : \mathbb{R}_q^{\times \ell} \rightarrow \mathbb{R}_q^{\times \ell}$ .  
**TOPRF between the user  $U$  and  $N$  servers  $S_i$**   
 $U$  picks up  $r \leftarrow \mathcal{R}_q$ ,  $e \leftarrow \mathcal{X}$  and then input a secret  $\mathbf{x}$ .  $U$  sends  $\mathbf{b} = \lfloor \mathbf{a} \cdot r + \mathbf{a}^F \mathbf{x} + 2e \rfloor_p$  to each  $S_i$ .  $S_i$  responds with  $(\mathbf{b}_{k_i}, S(vk_i))$ ,  $\mathbf{b}_{k_i} = \lfloor \mathbf{b} \cdot k_i + 2e_i \rfloor_p$ ,  $vk_i = \lfloor \mathbf{a} \cdot k_i + 2e_i \rfloor_p$ . Here,  $U$  outputs  $F_{msk}(\mathbf{x}) = \lfloor ((\mathbf{a}_x) \cdot msk + \sigma((b_{k_i}) \cdot \frac{q-1}{2}) \bmod q) \bmod 2 \rfloor_p$ .

Fig. 2. The variant-TOPRF algorithm  $\Pi_{\text{TOPRF}}$ .

$$391 \quad = \lfloor ((\mathbf{a}_x) \cdot K + \sigma((b_{k_i}) \cdot \frac{q-1}{2}) \bmod q) \bmod 2 \rfloor_p$$

392 It means that the output  $K_u$  of the variant-TOPRF is indistin-  
393 guishable from  $F_K(\mathbf{x})$ .

394 The security analysis of our TOPRF is divided into two  
395 parts, i.e., unpredictability and obliviousness [68], [79]. Intu-  
396 itively, unpredictability refers to the scenario where even the  
397 adversary  $\mathcal{A}$  can compromise the client, i.e.,  $\mathcal{A}$  gets  $\mathbf{x}$ , and  
398 corrupts  $t' < t$  servers,  $\mathcal{A}$  cannot predict the output  $F_K(\mathbf{x})$  of  
399 TOPRF. Obliviousness indicates that even  $\mathcal{A}$  can get the output  
400  $F_K(\mathbf{x})$  and corrupt  $t' < t$  servers,  $\mathcal{A}$  cannot learn anything  
401 about  $\mathbf{x}$ . Together, unpredictability and obliviousness ensure  
402 that the output of the TOPRF remains independent of the input.  
403 Notably, the use of signals does not undermine the security  
404 of underlying intractable problem [69] (e.g. DLWE $_{n,q,\chi,m}$   
405 and 1D-SIS $_{q/p,n\ell,\max\{n\ell B,T\}}$ ). Moreover, robust extractors only  
406 reveal the range of noise without affecting the output of  
407 TOPRF since the noises are eliminated by rounding operations.

### 408 C. Hash Key Derivation Function

409 As a crucial component of the PASE construction, the  
410 hash key derivation function (HKDF) is capable of deriving  
411 a single input into multiple distinct secret values, serving  
412 as encryption keys. This functionality ensures that different  
413 data can be protected by unique symmetric keys, thereby  
414 preventing a scenario where the compromise or loss of one key  
415 would render all data vulnerable. We directly use the password  
416 that has been authenticated to generate the data search key,  
417 which prevents the user from permanently losing data due to  
418 erroneous keystrokes. We can conveniently introduce lattice-  
419 based PRF [80] in the framework proposed by Krawczyk [81]  
420 to construct a quantum-secure HKDF.

421 *Definition 9:* Let  $\text{TOPRF}(1^\kappa, \text{psw}, sk)$  denotes the  
422 algorithm  $\Pi_{\text{TOPRF}}$  in Fig. 1. An HKDF must contain the  
423 following four polynomial algorithms:

- 424 -  $pp \leftarrow \text{Setup}(1^\kappa)$  is a probabilistic algorithm that  
425 generates the set of parameters  $pp$ .
- 426 -  $\{\mathbf{K}_u, pk_i\} \leftarrow \text{TOPRF}(1^\kappa, \text{psw}, K)$  is a deterministic  
427 algorithm that generates a user-special key  $\mathbf{K}_u$  by taking  
428 as input user's password  $\text{psw}$  and server's secret key  $K$ .
- 429 -  $\mathbf{w} \leftarrow \text{Keyword}(1^\kappa, M)$  is a probabilistic algorithm that  
430 generates a keyword  $\mathbf{w}$  by inputting  $1^\kappa$  and message  $M$ .
- 431 -  $\text{dsk} \leftarrow \text{HKDF}(\mathbf{K}_u, \mathbf{w})$  is a deterministic algorithm and  
432 outputs a data search key  $\text{dsk}$  by taking as input a user-  
433 special key  $\mathbf{K}_u$ , and a keyword  $\mathbf{w}$ .

434 *Definition 10 [81]:* Let  $C$  denote the ciphertext of retrieved  
435 information with  $\text{dsk}$ . The HKDF is called  $(T, Q, \varepsilon)$ -secure  
436 if for any PPT algorithm  $\mathcal{A}$  running in time  $T$  with at  
437 most  $Q$  oracle queries the probability  $\text{Adv}_{\mathcal{A}}^{\text{HKDF}}(\kappa) \leq \varepsilon(\kappa)$   
438 or distinguishing the output of  $\text{dsk} \leftarrow \text{HKDF}(\mathbf{K}_u, \mathbf{w})$  from  
439 uniformly random strings of the same length.

### D. Distributed Key Generation

440 Since lattice is an infinite additive group, it can not be  
441 directly combined with Shamir's scheme [82]. Fortunately,  
442 we can share elements of a finite abelian quotient group  $G$   
443 with identity element 0 by  $(t, N)$ -threshold secret sharing  
444 scheme [83]. Let  $e(G)$  denote exponent of  $G$  and  $s \in G$ .  
445

446 *Definition 11:* There is the smallest  $m \in \mathbb{Z}^+$  such that  
447  $ms = s + s + \dots + s = 0$ , i.e.  $s$  is a module over the ring  
448  $R = \mathbb{Z}_e(s)$ . The value  $s$  can be share by a formal polynomial  
449  $f(X) = \sum_{j=0}^t f_j X^j \in S[X]$  of the maximum degree  $t$ ,  
450 where  $f(0) = s$  and the  $f(i) \in G$  for  $i \in [1, n]$  are uniformly  
451 random and independent. At least  $t + 1$  participants can  
452 reconstruct the secret  $s$ .

453 In order to use the above secret sharing over lattices, we also  
454 need to set relevant parameters. Let  $k \geq \log_p(n + 1)$ , where  
455  $p$  is the smallest prime divisor of  $e(G)$ , we can share  $s \in G$   
456 among  $n$  servers using shares in  $G^k$ . By [83], we can use  $R =$   
457  $\mathbb{Z}_e(G)[X]/F(X)$  for any monic degree- $k$  polynomial  $F(X) =$   
458  $\sum_{i=0}^k F_i X^i \in \mathbb{Z}_e(G)$  that is irreducible modulo every prime  
459 dividing  $e(G)$  that is irreducible modulo every prime dividing  
460  $e(G)$ . We write  $[s]^i$  to denote  $i$ -th server's share and the tuple  
461 of all shares by  $[s]$ . By combining the idea of integer sampling  
462 and MPC, we can realize distributed server key generation  
463 without the trusted center as follows:

464 *Definition 12 [83]:* The Distributed Key Generation (DKG)  
465 must contain the following two polynomial algorithms:

- 466 -  $[s_i] \leftarrow \text{Genshare}(\mathbb{Z}_e(G), \mathbb{Z}_q)$  is a probabilistic algo-  
467 rithm that sample  $F_i \leftarrow \mathbb{Z}_e(G)$  and generates  $[s_i] \leftarrow \mathbb{Z}_q$ .
- 468 -  $\mathbf{k}_j \leftarrow \text{Genkey}(i, j, [s_i]^j)$  is a deterministic algorithm  
469 that generates secret key  $k_j = \sum_{i=1}^n [s_i]^j$  by receiving  $n$   
470 tuple of  $(i, j, [s_i]^j)$ . After receiving  $n$  numbers of  $[s_i]^j$ ,  
471  $S_j$  computes  $k_j = \sum_{i=1}^n [s_i]^j$ .

472 An unknown master secret key  $K = \sum_{j=1}^t [s]^j_0$  that cannot  
473 be recovered unless at least  $t + 1$  malicious servers collude.

### E. EUF-CMA Signature

474 In digital signature schemes, existential unforgeability under  
475 chosen-message attacks (EUF-CMA) ensures that signatures  
476 cannot be forged by public keys. Moreover, there are three  
477 security properties of signatures beyond unforgeability: 1)  
478 Exclusive ownership [84] guarantees that a public key can  
479 only verify one corresponding signature; 2) Message-bound  
480 signatures guarantee that a signature is only valid for a  
481 unique message; 3) Non re-signability [85] guarantees that  
482 no signature can be generated with another key given  
483 the signature of a certain unknown message. In the post-  
484 quantum signature scheme of NIST Round 3 candidates  
485 (i.e., CRYSTALS-Dilithium [86], FALCON [87], and Rain-  
486 bow [88]), CRYSTALS-Dilithium is the only signature scheme  
487 that provides EUF-CMA and all three security properties  
488 beyond unforgeability above [89].  
489

490 CRYSTALS-Dilithium [86] is a lattice-based signature  
491 scheme and is designed based on the lattice hardness problem  
492 (i.e., LWE and a variant of the shortest integer solution  
493 problem). In the absence of a secure channel, we employ an  
494 instance of the CRYSTALS-Dilithium to prevent adversaries  
495 from tampering with the information.

*Definition 13* [86]: The CRYSTALS-Dilithium signature scheme for a message space  $\mathcal{M}$  is a tuple of PPT algorithms as follows:

- $(\mathbf{pk}, \mathbf{sk}) \leftarrow \mathbf{Gen}(1^\kappa)$  outputs a verification key  $\mathbf{pk}$  and a signing key  $\mathbf{sk}$ .
- $\mathbf{sig} \leftarrow \mathbf{Sign}(\mathbf{sk}, m)$  outputs a signature  $\mathbf{sig} \in \{0, 1\}^*$  by input  $\mathbf{sk}$  and a message  $m \in \mathcal{M}$ .
- $\{0, 1\} \leftarrow \mathbf{Ver}(\mathbf{pk}, m, \mathbf{sig})$  outputs either 1 (accepts) or 0 (rejects) by input  $\mathbf{pk}$ ,  $m$ , and  $\mathbf{sig}$ .

*Correctness:* For any  $m \in \mathcal{M}$ , the verification algorithm  $\mathbf{Ver}(\mathbf{pk}, m, \sigma)$  outputs 1 with overwhelming probability, if  $(\mathbf{pk}, \mathbf{sk}) \leftarrow \mathbf{Gen}(1^\kappa, pp)$  and  $\mathbf{sig} \leftarrow \mathbf{Sign}(\mathbf{sk}, m)$ .

*Security:* The CRYSTALS-Dilithium signature scheme is EUF-CMA-secure if the advantage of any PPT adversary  $\mathcal{A}$  without knowing  $sk$  to forge a signature  $\mathbf{sig}^*$  is that  $\text{Adv}_{\mathcal{A}}^{\text{Sig}}(\kappa) \leq \varepsilon(\kappa)$ .  $\mathcal{A}$  can output a list of query messages  $m_1, \dots, m_Q$ , and query  $\mathbf{Gen}(1^\kappa, pp)$  and  $\mathbf{Sign}(\mathbf{sk}, m)$ . In addition, according to Proposition 6.1. in [89], CRYSTALS-Dilithium signature scheme can provide the security properties of signatures beyond unforgeability, i.e., exclusive ownership, message-bound, and non re-signability, if CRYSTALS-Dilithium employ a collision-resistant and non-malleable hash.

In QPASE, both the user and servers employ the user's fixed  $\mathbf{pk}$  for verification. Hence, a signature meeting EUF-CMA-secure provides the necessary security and eliminates the need for encryption together with the message.

#### F. Symmetric Encryption

In our QPASE, we employ symmetric encryption to protect the outsourced data and retrieval index. The definition of a symmetric encryption algorithm is as follows:

*Definition 14:* A symmetric encryption for a message space  $\mathcal{M}$  and a key space  $\mathcal{K}$  is a tuple of PPT algorithms as follows:

- $ct \leftarrow \mathbf{Enc}(k, m)$  is a encryption algorithm that picks  $k \leftarrow \mathcal{K}$  and  $m \leftarrow \mathcal{M}$  and outputs a ciphertext  $ct$ .
- $m \leftarrow \mathbf{Dec}(k, ct)$  is a decryption algorithm that employs the same key  $k$  as  $\mathbf{Enc}(k, m)$  and inputs a  $ct$ . Then, the decryption algorithm outputs the plaintext  $m$ .

To the best of our knowledge, the Grover algorithm [90] is currently the most effective algorithm for symmetric cryptosystems under the quantum computing model. The Grover algorithm can reduce the exhaustive search practice of  $2^n$ -bit keys to  $\sqrt{2^n}$ . In other words, AES-256 still has 128-bit security in the exhaustive search of quantum computers.

### III. SCHEME ARCHITECTURE AND SECURITY MODEL

#### A. Scheme Architecture

We first model the functionality and formally define the security of QPASE. QPASE in Fig. 3 comprises two entities.

- **User:** The user  $U$ , acting as the data owner, possesses an identity  $ID_u$  and a human-memorable password  $psw_u$ . To register with a set of servers  $S$ ,  $U$  provides  $(ID_u, psw_u)$  and subsequently log in with the correct password to obtain the user-specific key  $K_u$ . Then,  $U$  can derive the data search key and either outsource or retrieve data using symmetric searchable encryption (SSE).
- **Server:** A set of servers  $S = \{S_1, \dots, S_N\}$  stores the user's registration information. During the outsourcing

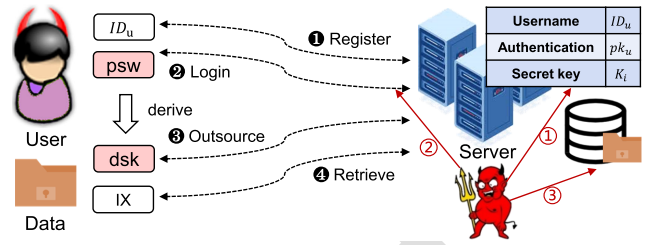


Fig. 3. Exemplary overview of QPASE. For better illustration, the user  $U$  selects the  $(ID_u, psw_u)$ , and ① registers with servers and generates characteristic  $pk_u$ , which is stored on each server. ②  $U$  logs in to the servers using  $psw_u$ , and derives the data search key  $dsk$  with the assistance of secret key  $K_i$ . Now,  $U$  can ③ outsource or ④ retrieve data. The adversary can perform ① offline password-guessing attacks, ② online password-guessing attacks, and ③ chosen keyword attacks to breach the security of QPASE.

phase, at least  $t$  servers assist  $U$  in generating a user-specific key and provide authentication for  $U$ .

The scheme proposed in this paper primarily addresses two key issues. The first issue pertains to the interaction between  $U$  and  $S$ , encompassing the processes of registration and authentication. Given the potential for data loss due to typos, it is crucial to authenticate and verify the correctness of the user's password. In addition, explicit authentication makes  $S$  weaken the impact of online password-guessing attacks through the rate limit. The other issue concerns the SSE. After authentication,  $U$  derives  $K_u$  with the assistance of at least  $t$  servers, and executes SSE. To achieve this, we extend the dual-server PASE of Chen et al. [14] to a multi-server PASE with active updates and quantum resistance. Specifically, we formally define the QPASE functionality as follows.

*Definition 15:* A quantum-resistant password-authenticated symmetric searchable encryption (QPASE) must contain the following five polynomial algorithms:

- $pp \leftarrow \mathbf{Setup}(1^\kappa)$  generates a set of parameters  $pp$  by input  $\kappa$ .  $S_i$  generates the server side key  $k_i$  via the DKG algorithm in Definition 12.
- **Register** is executed between  $U$  (running interactive algorithm **RegisterU**) and  $S$  (running interactive algorithm **RegisterS**) as following specification:  $(\mathbf{pk}, \mathbf{sk}) \leftarrow \mathbf{RegisterU}(pp, ID_u, psw_u, S_i)$ : The user  $U$  chooses a password  $psw_u$  and interacts with  $N$  servers to obtain a key pair  $(\mathbf{pk}, \mathbf{sk})$ .  $U$  sends  $\mathbf{pk}$  to all servers and remembers  $(ID_u, psw_u)$ .  $\{0, 1\} \leftarrow \mathbf{RegisterS}(pp, ID_u, k_i)$ :  $S_i$  assists  $U$  with registration. If the registration fails, outputs 0. Otherwise, it outputs 1 and stores the authentication information.
- **Login** is executed between  $U$  (running interactive algorithm **LoginU**) and  $S$  (running interactive algorithm **LoginS**) according to the following specification:  $(K_u, pk'_u, sk'_u) \leftarrow \mathbf{LoginU}(pp, ID_u, psw_u, S_i)$ :  $U$  interacts with at least  $t$ -many  $S_i$  using a registered password  $psw_u$  to recover  $K_u$ . Then,  $U$  derives a key pair  $(pk'_u, sk'_u)$  with  $K_u$  for check the correctness of  $psw_u$ . If confirming that  $psw_u$  is correct,  $U$  achieves login and can outsource or retrieve data.  $\{0, 1\} \leftarrow \mathbf{LoginS}(pp, ID_u, k_i, pk_u)$ :  $S_i$  assists  $U$  to recovers  $K_u$  and check the valid of user's credentials. If  $U$  is valid,  $S_i$  outputs 1 and provides data outsourcing and retrieval services. Otherwise, outputs 0 and aborts.

597 - **Outsource** is executed between  $U$  (running interac- 598  
 599 tive algorithm **OutsourceU**) and  $S$  (running interactive 600  
 601 algorithm **OutsourceS**) as following specification: 602  
 603  $(Ct, C, i, sig_{ct}) \leftarrow \mathbf{OutsourceU}(pp, K_u, sk_u, w, d, S_i)$ : 604  
 605  $U$  inputs  $K_u, sk_u$ , the outsourced data  $d$ , and the key- 606  
 607 word  $w$  to generate the data search key  $dsk$ .  $U$  output 608  
 609 ciphertext  $Ct$  of searchable index by  $dsk$ , ciphertext  $C$  of 610  
 611  $d$  by  $K_u$  and a signature  $sig_{ct}$  of  $Ct$ . 612  
 613  $\{0, 1\} \leftarrow \mathbf{OutsourceS}(pp, pk_u, k_i)$ :  $S_i$  verifies  $sig_{ct}$  by 614  
 615  $pk_u$ . If  $sig_{ct}$  is valid,  $S_i$  output 1 and receives  $(Ct, C, i)$ . 616  
 617 Otherwise,  $S_i$  outputs 0 and aborts. 618

619 - **Retrieve** is executed between  $U$  (running interac- 620  
 621 tive algorithm **RetrieveU**) and  $S$  (running interactive 622  
 623 algorithm **RetrieveS**) after **Login** as follows: 624  
 625  $(dsk, sig_{dsk}, d) \leftarrow \mathbf{RetrieveU}(pp, K_u, sk_u, pk_u, w, S_i)$ : 626  
 627  $U$  input  $K_u$  and  $w$  to recover the  $dsk$  and retrieve the 628  
 629 outsourced data by interacting with  $S$ . Then,  $U$  decrypts 630  
 631  $C$  to get the plaintext  $d$  of data. 632  
 633  $\{\mathcal{L}_i, \perp\} \leftarrow \mathbf{RetrieveS}(pp, pk_u, Ct, C)$ :  $S$  assists certified 634  
 635 authentic  $U$  to retrieve the outsourced data.  $S$  returns the 636  
 637 search list  $\mathcal{L}_i$ . Otherwise,  $S_i$  aborts. 638

639 **Correctness**: The correctness of the QPASE means that 640  
 641 all data under the keyword can be retrieved whenever the 642  
 643 registered user inputs the correct password in **Login**. 644

645 **Definition 16 (Correctness)**: Let  $\mathbf{IX}$  denote all data under 646  
 647 the keyword  $w$  and  $C \in \mathbf{IX}$ .  $pp \leftarrow \mathbf{Setup}(1^k)$ . The probability 648  
 649  $Pr[C \in \mathbf{IX}] = 1$  iff  $U$  executes the following algorithm: 650

651  $(pk, sk) \leftarrow \mathbf{RegisterU}(pp, ID_u, psw_u, S_i)$ ; 652  
 653  $(K_u, pk'_u, sk'_u) \leftarrow \mathbf{LoginU}(pp, ID_u, psw_u, S_i)$ ; 654  
 655  $(Ct, C, i, sig_{ct}) \leftarrow \mathbf{OutsourceU}(pp, K_u, sk_u, w, d, S_i)$ ; 656  
 657  $(dsk, sig_{dsk}, d) \leftarrow \mathbf{RetrieveU}(pp, K_u, sk_u, pk_u, w, S_i)$ . 658

659 and all servers output 1 by executing the following algorithm: 660

661  $1 \leftarrow \langle \mathbf{RegisterS}(pp, ID_u, k_i), \mathbf{LoginS}(pp, ID_u, k_i, pk_u), 662$   
 663  $\mathbf{OutsourceS}(pp, pk_u, k_i), \mathbf{RetrieveS}(pp, pk_u, Ct, C) \rangle$ . 664

## 665 B. Security Model

666 We consider adversaries with quantum computing capabil- 667  
 668 ities to mount various attacks to capture outsourced data. For 669  
 670 our QPASE scheme, we consider three security goals: quan- 671  
 672 tum resistance, authentication, and indistinguishability against 673  
 674 chosen keyword attacks (IND-CKA). To formally capture 675  
 676 the capabilities of an adversary in our QPASE, and specify 677  
 678 how the adversary interacts with honest parties, we employ 679  
 680 the *Bellare-Pointcheval-Rogaway* (BPR) model [70], where 681  
 682 the adversary's capabilities are modeled through queries and 683  
 684 define a series of security notions. We briefly recall the BPR 685  
 686 model as follows. Recalling Definition 15, each  $U \in \mathbf{User}$  687  
 688 holds a password  $psw$ , while  $S_i \in \mathbf{S}$  holds the server side 689  
 690 key  $k_i$ . Let  $U^i$  and  $S^j$  denote user instances and key server 691  
 692 instances, respectively, where  $i, j \in \mathcal{Z}$ . We denote any kind 693  
 694 of instance by  $I \in \mathbf{User} \cup \mathbf{Server}$ . 695

696 1) **Adversarial Model**: We consider the adversary  $\mathcal{A}$  with 697  
 698 quantum computing capabilities can fully control the external 699  
 700 network, which implies that  $\mathcal{A}$  is free to manipulate messages 701  
 702 and adaptive request any session keys. Moreover, for  $N$  key 703  
 704 servers in the scheme, we define that the adversary can 705  
 706 simultaneously corrupt at most  $t' < t < N$  servers. 707

708 2) **Queries**:  $\mathcal{A}$  interacts with the participants by using oracle 709  
 710 queries that simulate the adversary's capabilities in a real 711  
 712 attack. The query models available to  $\mathcal{A}$  are as follows. 713

- 714 -  $\mathbf{Execute}(U^i, S^j)$  captures a passive attack, such as eaves- 715  
 716 dropping. The output of execution consists of the 717  
 718 messages exchanged during the honest execution. 719
- 720 -  $\mathbf{Send}(I, m)$  captures an active attack, in which  $\mathcal{A}$  sends a 721  
 722 message to instance  $I$  and outputs the response of  $I$  to 723  
 724 handle the message according to the protocol. 725
- 726 -  $\mathbf{Text}(I)$  is used to define the semantic security of the 727  
 728 session key and is only allowed to query once. This 729  
 730 query outputs a random bit  $b$  in the real-or-random flavor. 731  
 732 If  $b = 1$ ,  $\mathcal{A}$  gets the actual session key. Otherwise,  $\mathcal{A}$  733  
 734 obtains a random key of the same size. 735
- 736 -  $\mathbf{Reveal}(I)$  allowed  $\mathcal{A}$  obtains the session key of  $I$ . 737
- 738 -  $\mathbf{Corrupt}(I)$  captures the corrupt attack. If  $I = U$ , it out- 739  
 740 puts the password  $psw_u$ . If  $I = S^i$ , it outputs  $k_i$ . 741

742 3) **Partnering**: Let  $sid$  denotes the session identifier and 743  
 744  $pid$  denotes the partner identifier. For the  $U^i$  and  $S^j$  in 745  
 746 an instance  $I$ , we said they are partnered if the following 747  
 748 conditions are satisfied: 1) Both of them have accepted; 2) 749  
 750  $sid_{U^i} = sid_{S^j} = sid$ ; 3)  $pid_{U^i} = S$  and  $pid_{S^j} = U$ . 751

752 4) **Freshness**:  $I$  is fresh if the following conditions are true: 753  
 754 1)  $I$  has accepted and computed a session key.; 2) Neither  $I$  755  
 756 nor its partner has been asked for a query  $\mathbf{Reveal}(I)$ . 757

758 5) **Semantic Security**: In the sequences of games,  $\mathcal{A}$  can ask 759  
 760 a polynomial number of query  $\mathbf{Execute}(U^i, S^j)$ ,  $\mathbf{Send}(I, m)$ , 761  
 762 and  $\mathbf{Reveal}(I)$ . Finally,  $\mathcal{A}$  asks a query  $\mathbf{Text}(I)$  to get a guess 763  
 764 bit  $b'$  for the bit  $b$  involved. For any PPT  $\mathcal{A}$ , the advantage 765  
 766 holds that  $Adv_{\mathcal{A}}^{\text{QPASE}} = 2Pr[b' = b] - 1$ . In the BPR model, 767  
 768 each entity can execute the PASE with all of the servers 769  
 770 multiple times. Furthermore, the BPR model permits any entity 771  
 772 to instantiate unlimited instances but each instance is used only 773  
 774 once.  $\mathcal{A}$  is capable of accessing different instances of entities. 775

776 Let  $L$  denote a list maintained by the experiment. We define 777  
 778 that the adversary  $\mathcal{A}$  can access the following oracles. 779

- 780 - **Challenge**( $b, sid_i, w_i$ ): The oracle aborts if  $(sid_i^* \geq 781$   
 782  $0) \vee (sid_i \geq sid_j) \vee ((sid_i, w_i) \in L)$ . Otherwise, it set 783  
 784  $sid_i^* \leftarrow sid_i$  and access  $\mathbf{OutU}(sid_i^*, w_b, C^*)$ . 785
- 786 - **Reg**( $i$ ): The experiment first initializes  $D_{i, sid_j}$  as 787  
 788 a database. Then, it randomly picks  $psw$  satisfy 789  
 790  $(sid_i, psw, i) \notin L$ .  $\mathcal{A}$  interacts with the honest user 791  
 792 and server (oracle) as the corrupted server. After access, 793  
 794 the experiment records  $L[sid_i] \leftarrow (i, psw.auth_i, K_u)$ , 795  
 796 delivers  $j$  to  $\mathcal{A}$  and set  $j \leftarrow j + 1$ . 797
- 798 - **LoginU**( $i$ ): The experiment initializes  $D_{i, sid_j}$  as 799  
 800 a database. Then, it randomly picks  $psw$  satisfy 801  
 802  $(sid_i, psw, i) \notin L$ .  $\mathcal{A}$  interacts with the honest user 803  
 804 and server (oracle) as the corrupted server. After access, 805  
 806 the experiment records  $L[sid_i] \leftarrow (i, psw.auth_i, K_u)$ , 807  
 808 delivers  $j$  to  $\mathcal{A}$  and set  $j \leftarrow j + 1$ . 809
- 810 - **LoginS**( $sid_i$ ): The oracle aborts if  $sid_i \geq sid_j$ . Other- 811  
 812 wise, it gets  $(i, psw.auth_i) \leftarrow L[sid_i]$ .  $\mathcal{A}$  interacts with 813  
 814 the honest server as the corrupted server. 815
- 816 - **OutU**( $sid_i, w, C$ ): The oracle aborts if  $sid_i \geq sid_j$ . 817  
 818 Otherwise, it gets  $(i, psw.auth_i, K_u) \leftarrow L[sid_i]$ .  $\mathcal{A}$  819  
 820 interacts with the honest user and server (oracle) as the 821  
 822 corrupted server. In  $Exp_{\text{QPASE}, \mathcal{A}}^{\text{Auth}}(\kappa)$ , the oracle addition- 823  
 824 ally computes  $L \leftarrow L \cup (sid_i, w, C)$ . 825

```

Exp_{PQASE, \mathcal{A}}^{Auth}(\kappa)
  Auth_i \leftarrow \emptyset; sid_j \leftarrow 0; params \leftarrow Setup(1^\kappa);
  (sid_i^*, w^*, ix^*) \leftarrow \mathcal{A}^{oracle(\cdot)}(\mathbf{param})
  IX \leftarrow \mathbf{Retrieve}(sid_i^*, w^*);
  (sid_i^*, w^*, ix^*) \notin L \wedge (ix^* \in IX) \text{ return } 1
  \text{ else return } 0
Exp_{PQASE, \mathcal{A}}^{IND-CKA-b}(\kappa)
  Auth_i \leftarrow \emptyset; sid_i \leftarrow (-1); sid_j \leftarrow 0;
  L \leftarrow \emptyset; \mathbf{param} \leftarrow Setup(1^\kappa);
  b' \leftarrow \mathcal{A}^{oracle(\cdot)}(\mathbf{param});
  \text{ return } b'

```

Fig. 4. PQASE security experiments.

- **OutS**( $sid_i$ ): The oracle aborts if  $sid_i \geq sid_j$ . Otherwise, it gets  $(i, psw.auth_i, K_u) \leftarrow L[sid_i]$ .  $\mathcal{A}$  interacts with the honest server as the corrupted server.
- **RetU**( $sid_i, w$ ): The oracle aborts if  $(sid_i \geq sid_j) \vee (sid_i = sid_i^*) \vee (w \in \{w_i\})$ . Otherwise, it gets  $(i, psw.auth_i, K_u) \leftarrow L[sid_i]$ .  $\mathcal{A}$  interacts with the honest user and server (oracle) as the corrupted server. In the IND-CKA experiment, if  $(sid_i^* = -1)$  the oracle additionally computes  $L \leftarrow L \cup (sid_i, w)$ .
- **RetS**( $sid_i$ ): The oracle aborts if  $sid_i \geq sid_j$ . Otherwise, it gets  $(i, psw.auth_i, K_u) \leftarrow L[sid_i]$ .  $\mathcal{A}$  interacts with the honest server as the malicious entities.

6) *Quantum Resistance*: To mitigate the potential impact of Shor's [51] influential quantum attack algorithms, PQASE is designed based on the learning with errors problem. Shor's algorithm can efficiently solve large integer factorization and discrete logarithm problems on quantum computers. By leveraging the computational hardness of DLWE, the PQASE scheme aims to provide a secure cryptographic solution that is resistant to quantum attacks. Concretely, we construct the PQASE scheme based on Decision-LWE $_{n,q,\chi,m}$  in Definition 4, i.e., for any PPT  $\mathcal{A}$ , the advantage holds that  $Adv_{PQASE}^{DLWE}(\kappa) = |Pr[1 \leftarrow \mathcal{A}(Z_q^{m \times n}, q, n, \chi, A, b)] - Pr[1 \leftarrow \mathcal{A}(Z_q^{m \times n}, q, n, \chi, r_1, r_2)]| \leq \varepsilon(\kappa)$ .

7) *Authentication*: In the **Login** of PQASE, we follow part of the experiment  $Exp_{PQASE, \mathcal{A}}^{auth}(k)$  outlined by Chen et al. [14], as depicted in Fig. 4, except that we employ more servers in our scenario. Notably,  $\mathcal{A}$  making at most  $q_s$  online attacks, the adversary's advantage  $Adv$  is denoted as  $q_s(\kappa)/|\mathcal{D}| + \varepsilon(\kappa)$  for all dictionary sizes  $|\mathcal{D}|$  in the existing uniform-model. Recent research [74], [75] provided a rigorous analysis to constrain the adversary's advantage as  $C' \cdot q_{send}^{s'}(\kappa) + \varepsilon(\kappa)$  for the Zipf parameters  $C'$  and  $s'$ , with considering the password distribution follows the Zipf-distribution. We show that the advantages of the adversary  $\mathcal{A}$  are underestimated in the uniform model in Section VI. For any PPT  $\mathcal{A}$  making at most  $q_{send}$  online attacks, the advantage of  $\mathcal{A}$  holds that

$$Adv_{PQASE}^{Auth}(\kappa) = Pr[1 \leftarrow Exp_{\mathcal{A}}^{Auth}(k)] \leq C' \cdot q_s^{s'}(\kappa) + \varepsilon(\kappa).$$

8) *IND-CKA*: In the IND-CKA property of PQASE, we follow the part of the experiment  $Exp_{PQASE, \mathcal{A}}^{IND-CKA-b}(k)$  in [14] as shown in Fig. 4 except that we prefer the CDF-Zipf distribution [74], [75], and the attacker's advantage can be formulated as  $Adv_{PQASE, \mathcal{A}}^{IND-CKA}(\kappa) = Pr[b' = b : b' \leftarrow Exp_{PQASE, \mathcal{A}}^{IND-CKA-b}(k)] - \frac{1}{2}$ . A PQASE scheme is called IND-CKA-secure if the probability  $Adv_{PQASE, \mathcal{A}}^{IND-CKA}(\kappa) \leq C' \cdot q_s^{s'}(\kappa) + \varepsilon(\kappa)$ .

#### IV. PQASE: OUR NEW SCHEME

In this section, we present a detailed description of our PQASE including five phases: **Setup**, **Register**, **Login**, **Outsource**, and **Retrieve**. Our scheme is secure in a semi-honest setting with a secure channel. Specifically, Fig. 5 illustrates the phases **Register** and **Login**, and Fig. 6 illustrates the phases **Outsource** and **Retrieve**. Moreover, we provide a server key update phase to resist perpetual leakage.

##### A. Setup

During the setup phase, execute the algorithm  $pp \leftarrow \mathbf{Setup}(1^\kappa)$ . Specifically, with the security parameter  $\kappa$ , generate public parameters  $\mathbf{a} \in R_q^{1 \times \ell}$ . For the parameter  $\sigma > 0$  and any  $\mathbf{c} \in \mathcal{R}^m$  are defined as Gaussian distributions defined in Definition 3. Choose parameters  $m > cklog(q)$  and  $q \geq poly(k)(\sqrt{\log k})$ . Let  $\mu$  be an upper limit that a user fails to pass  $S_i$  authentication. We set an upper limit  $\mu$  as the number of login requests issued by a user in an era.  $Enc$  is a symmetric encryption algorithm and  $Dec$  denote corresponding decryption algorithm. Set  $N \leq \frac{1}{4} \log_2 \frac{L \cdot \ell \cdot n - \sigma \sqrt{n}}{\sigma \sqrt{n-1}}$  as the total number of servers and  $t$  is the threshold number.  $H : \{0, 1\}^* \rightarrow Z_q^n$  is a collision-resistance hash function. Let  $\mathcal{K}_u$  and  $\mathcal{K}_s$  denote the user and server key spaces, respectively. Each  $S_i$  generates the server-side key  $k_i$  via the DKG algorithm in Definition 12.  $HKDF : R_q \times \mathcal{W} \rightarrow \mathcal{K}_u$ .  $PRF : \mathcal{K}_u \times \{0, 1\}^{\mathcal{K}} \rightarrow \{0, 1\}^{\mathcal{K}}$ . We employ the signature in Definition 13 to prevent  $\mathcal{A}$  from tampering with the information. For conciseness, we do not explicitly show the signature and verification.

##### B. Register

The **Register** phase allows the unregistered user  $U$  to register with a set of servers  $S = \{S_1, \dots, S_N\}$  using the user's  $ID_u$  and a human-memorable password  $psw_u$ .  $U$  need to convert  $psw_u$  to binary  $\mathbf{x} = (x_1, \dots, x_L) \in \{0, 1\}^L$ . The registration phase needs a secure channel.

1.  $U$  inputs  $(ID_u, \mathbf{x})$  and interacts with each  $S_i$  to execute algorithm  $\Pi_{TOPRF}$  in Fig. 1 to get  $K_u = F_K(\mathbf{x})$ . Each  $S_i$  checks whether  $ID_u$  is a duplicate. If yes,  $S_i$  notifies  $U$ . Otherwise,  $S_i$  stores  $ID_u$ .
2.  $U$  executes  $(pk_u, sk_u) \leftarrow Gen(1^\kappa, K_u)$  in Definition 13 and sends  $pk_u$  to  $S_i$ , where  $i \in [1, N]$ .
3.  $S_i$  initiates  $\mu_u = 0$  and securely storage  $ID_u, pk_u, k_i, \mu_u$  for a subsequently authenticating.
4.  $U$  only needs to secure storage  $ID_u$  and  $psw_u$ .

##### C. Login

In the **Login** phase, the user  $U$  executes **LoginU** to recover  $K_u$  with at least  $t$ -many servers and achieve authentication.  $U$  inputs  $ID_u$  and  $psw_u$ , and computes  $\mathbf{x} = (x_1, \dots, x_L) \in \{0, 1\}^L$  from  $psw_u$ .

- L1. For  $i \in [1, t]$ ,  $U$  uses  $(ID_u, \mathbf{x})$  to execute algorithm  $\Pi_{TOPRF}$  with  $S_i$  to get  $K_u = F_K(\mathbf{x})$ .  $S_i$  checks whether  $\mu_u < \mu$ . If no,  $S_i$  aborts. Otherwise,  $S_i$  set  $\mu_u := \mu_u + 1$  and assists  $U$  to recover  $K_u$ . Then,  $S_i$  returns  $pk_u$  to  $U$ .
- L2.  $U$  computes  $(pk'_u, sk'_u) \leftarrow Gen(1^\kappa, K_u)$  checks whether  $pk'_u = pk_u$ . If  $pk'_u \neq pk_u$ ,  $U$  re-inputs the



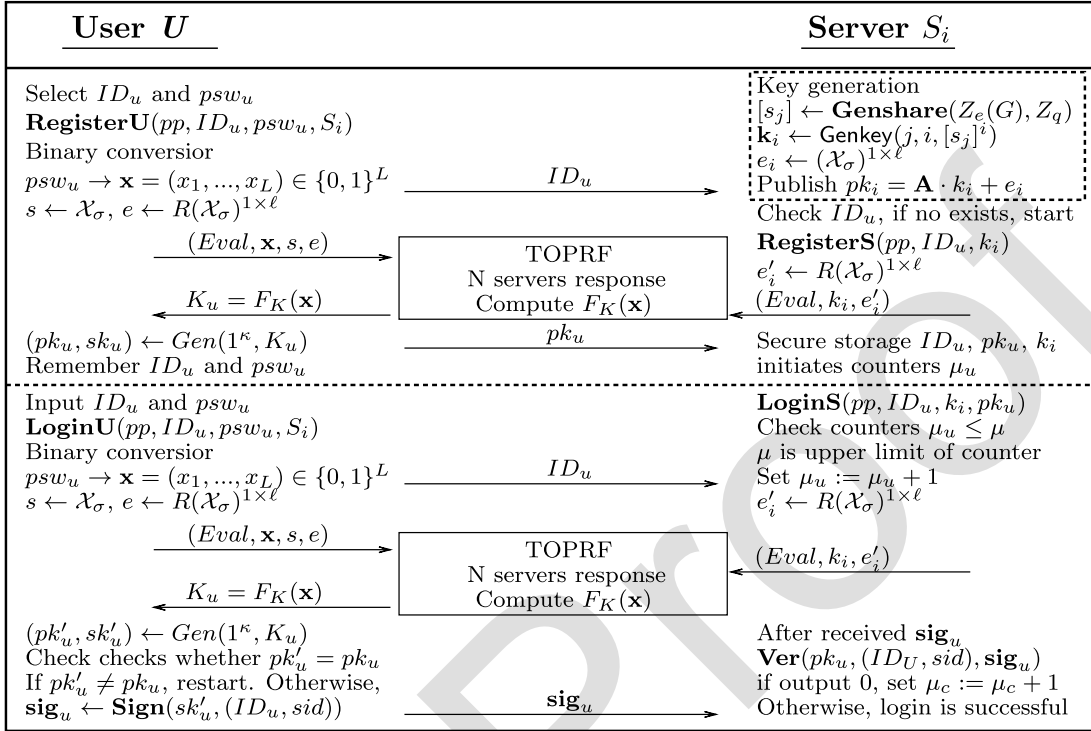


Fig. 5. The Register and Login of the QPASE.

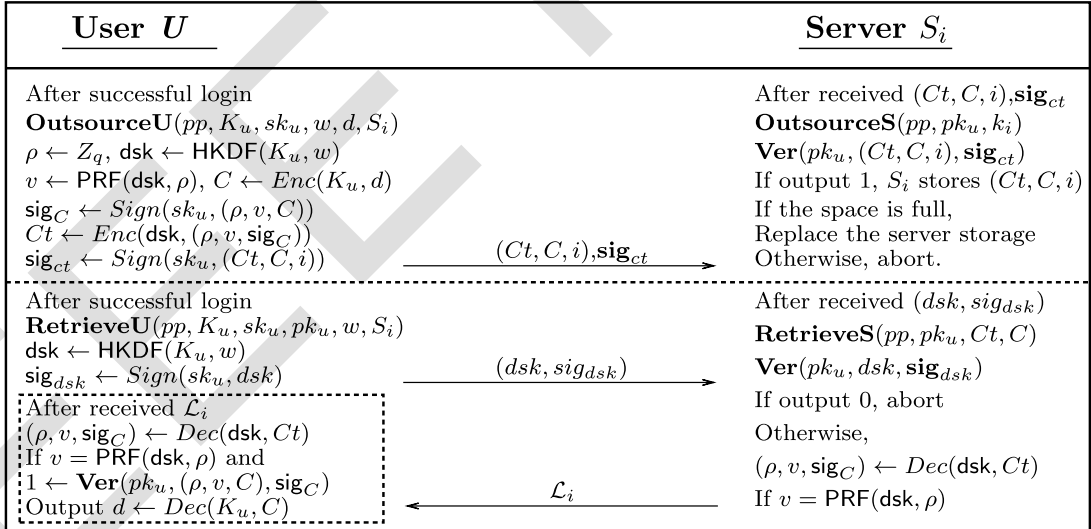


Fig. 6. The Outsource and Retrieve of the QPASE.

812 password and executes L1. Otherwise,  $U$  executes  $\mathbf{sig}_u \leftarrow$   
 813 **Sign**( $sk'_u, (ID_u, sid)$ ) and sends  $\mathbf{sig}_u$  to  $S_i$ .  
 814 - L3.  $S_i$  verify  $\mathbf{sig}$  with  $\mathbf{Ver}(pk_u, m, \mathbf{sig}_u)$ . If output 1,  $S_i$   
 815 allows  $U$  to upload outsourcing data or retrieval data.  
 816 Otherwise,  $S_i$  aborts and set  $\mu_u := \mu_u + 1$ .

#### 817 D. Outsource

818 In the **Outsource** phase, the authenticated user executes  
 819 **OutsourceU** to upload the outsourcing data  $d$ .

820 - O1.  $U$  selects  $\rho \leftarrow Z_q$  and computes the data search  
 821 key  $\text{dsk} \leftarrow \text{HKDF}(K_u, w)$ ,  $v \leftarrow \text{PRF}(\text{dsk}, \rho)$ ,  $C \leftarrow$

$\text{Enc}(K_u, d)$   $\text{sig}_C \leftarrow \mathbf{Sign}(sk_u, (\rho, v, C))$ , and  $Ct \leftarrow$  822  
 $\text{Enc}(\text{dsk}, (\rho, v, \text{sig}_C))$ ,  $\text{sig}_{Ct} \leftarrow \mathbf{Sign}(sk_u, (Ct, C, i))$ . 823  
 $U$  sends  $(Ct, C, i)$  and  $\text{sig}_{Ct}$  to arbitrary  $S_i$ . 824  
 - O2.  $S_i$  executes  $\mathbf{Ver}(pk_u, (Ct, C, i), \text{sig}_{Ct})$ . If output 1, 825  
 $S_i$  stores  $(Ct, C, i)$  in its database. Notably, each  $S_i$  826  
 provides  $M$  storage space for users. 827

#### E. Retrieve

828 In the **Retrieve** phase, the authenticated user executes  
 829 **RetrieveU** to retrieve and recover  $d$ . 830

- 831 - R1. After **Login**,  $U$  has  $K_u = F_K(\mathbf{x})$  and  $(pk_u, sk_u)$ .  
832  $U$  computes  $dsk \leftarrow \text{HKDF}(K_u, w)$ ,  $\mathbf{sig}_{dsk} \leftarrow$   
833  $\mathbf{Sign}(sk_u, dsk)$  and sends them to  $S_i$ , where  $i \in [1, N]$ .
- 834 - R2.  $S_i$  executes  $\mathbf{Ver}(pk_u, dsk, \mathbf{sig}_{dsk})$ . If outputs 1,  $S_i$   
835 computes  $(\rho, v, \mathbf{sig}_C) \leftarrow \text{Dec}(dsk, Ct)$ .  $S_i$  initializes a  
836 set  $\mathcal{L}_i \leftarrow \emptyset$ . If  $1 \leftarrow \text{Ver}(pk_u, (\rho, v, C), \mathbf{sig}_C)$  and  $v =$   
837  $\text{PRF}(dsk, \rho)$ ,  $S_i$  adds  $(Ct, C, i)$  to  $\mathcal{L}_i$ . When **Retrieve**  
838 is complete,  $S_i$  sends  $\mathcal{L}_i$  to  $U$ .
- 839 - R3.  $U$  receives all  $\mathcal{L}_i$  and executes  $(\rho, v, \mathbf{sig}_C) \leftarrow$   
840  $\text{Dec}(dsk, Ct)$ . If  $1 \leftarrow \text{Ver}(pk_u, (\rho, v, C), \mathbf{sig}_C)$  and  
841  $v = \text{PRF}(dsk, \rho)$ ,  $U$  decrypts the corresponding  $C$ , i.e.,  
842  $d \leftarrow \text{Dec}(K_u, C)$ , to obtain data.

#### 843 F. Server Key Update

844 It is necessary to update the server key to resist perpetual  
845 leakage [71]. The server key update phase is performed inter-  
846 nally by the server without user participation, and the update  
847 does not affect the server's authentication and searchable  
848 encryption. Specifically, each server  $S_i$  updates the server-side  
849 key  $k_i$  within a fixed period called an epoch. According to  
850 the Definition 12 and the server key update scheme of Jiang  
851 et al. [68], the specific operations during the server key update  
852 phase are as follows:

- 853 - 1. Let  $q = e(S)$ ,  $S_j$  randomly chooses a polynomial  
854  $[F] = \sum_{k=1}^{t-1} \alpha_k X^k$ , where  $[F]^0 = 0$ .
- 855 - 2. At least  $t$ -many  $S_j$  computes  $h = \{H_j(\alpha_k)\}$ ,  $F_j^i =$   
856  $[F]_j^i \bmod q$ , where  $k \in [1, t-1]$ ,  $i \in [1, N]$ ,  $j \in [1, t]$ .
- 857 - 3.  $S_j$  broadcast the message  $F_v^{(\omega)} = \{j, \omega, h, E(i, F_j^i)\}$   
858 and  $\mathbf{sig}_j \leftarrow \mathbf{Sign}(sk_j, (id, F_v^{(\omega)}))$ .  $S_j$  sends  $F_j^i$  and  $\mathbf{sig}_j$   
859 to  $S_i$ , where  $i \in [1, N]$ ,  $j \in [1, t]$ .
- 860 - 4.  $S_i$  decrypts the shares intended  $\{F_j^i\}_{j \in [1, t]}$  for  $S_i$   
861 and verifies the correctness of the share by checking  
862 the equivalent  $H(F_j^i) = \sum_{k=1}^{t-1} H(\alpha_k) i^k$  and execut-  
863 ing  $\mathbf{Ver}(pk_i, (id, [F]_j^i), \mathbf{sig}_j)$ . If  $\mathbf{Ver}$  output 1 and the  
864 equation holds, each  $S_i$  computes a new  $k'_i = k_i +$   
865  $\sum_{j=1}^t \lambda_{i,j} [F]_j^i \bmod q$ . After receiving  $[F]_j^i \bmod q$   
866 from  $S_j$ , each  $S_i$  executes  $\mathbf{Ver}(pk_i, (id, [F]_j^i), \mathbf{sig}_j)$ .  
867 If  $\mathbf{Ver}$  output 1, each  $S_i$  computes a new  $k'_i = k_i +$   
868  $\sum_{j=1}^t \lambda_{i,j} [U]_j^i \bmod q$ .
- 869 - 5.  $S_i$  recalculates  $pk'_i = \mathbf{A} \cdot k'_i + e_i$ , where  $\mathbf{A} \in R_q^{1 \times \ell}$  is  
870 a public matrix and  $e_i \in R_q^{1 \times \ell}$ , and resets  $\mu_u$  to begin  
871  $(\omega + 1)$ -th epoch.

872 *Lemma 2:* Let  $\mathbf{IX}$  denote all data under the keyword  $w$  and  
873  $C \in \mathbf{IX}$ .  $pp \leftarrow \text{Setup}(1^\kappa)$ . The probability  $\Pr[C \in \mathbf{IX}] =$   
874 1 iff the quantum-secure HKDF, the EUF-CMA signature, and  
875 the symmetric encryption  $\text{Enc}$  is correctness.

876 *Proof:* In **OutsourceU**, there are  $dsk \leftarrow \text{HKDF}(K_u, w)$ ,  
877  $v \leftarrow \text{HKDF}(dsk, \rho)$ ,  $\mathbf{sig}_C \leftarrow \mathbf{Sign}(sk_u, (\rho, v, C))$ , and  $Ct \leftarrow$   
878  $\text{Enc}(dsk, (\rho, v, \mathbf{sig}_C))$ . In **Retrieve**, iff the symmetric encryp-  
879 tion  $\text{Enc}$  is correctness, there is  $(\rho, v, \mathbf{sig}_C) \leftarrow \text{Dec}(dsk, Ct)$ .  
880 Similarly, iff the EUF-CMA signature is correctness, there are  
881  $1 \leftarrow \text{Ver}(pk_u, (\rho, v, C), \mathbf{sig}_C)$ . Each  $S_i$  adds  $(Ct, C, i)$  to  $\mathcal{L}_i$   
882 and  $\Pr[C \in \mathbf{IX}] = 1$ . Vice versa.

883 *Lemma 3:* Let  $[F]$  and  $[G]$  denote the master key poly-  
884 nomial and the update polynomial, respectively. At the end of the  
885 era, each  $S_i$  executes the server key update protocol to renew  
886 its secret key  $k_i$  without changing the master secret key  $K$ .

887 *Proof:* According to definition 12, we know that  $K =$   
888  $\sum_{i=1}^n [F]_i^0 = \sum_{i=1}^n f_i(0)$ . Suppose that  $K' = \sum_{i=1}^n f'_i(0)$ .  
889 Since  $f'_i(x) = f_i(x) + G_i(x)$ , we have

$$\begin{aligned} K' &= \sum_{i=1}^n f'_i(0) = \sum_{i=1}^n f_i(0) + U_i(0) = \sum_{i=1}^n [S]_i^0 + [U]_i^0 \\ &= \sum_{i=1}^n [s]_i^0 + 0 = \sum_{i=1}^n [s]_i^0 = K. \end{aligned}$$

#### 892 G. Multiple Keywords

893 Notice that the QPASE construction we gave uses only one  
894 keyword in the **Outsource** and **Retrieve** phases. Our scheme  
895 can be extended to multiple keywords to construct associative  
896 data. Let  $\mathbf{w} = (w_1, \dots, w_k)$  be a set of keywords for a series  
897 of  $C$ . In the **Outsource** phase, O1.  $U$  selects  $\rho \leftarrow \mathcal{Z}_q$  and  
898 computes  $dsk_j \leftarrow \text{HKDF}(K_u, w_j)$ ,  $v_j \leftarrow (dsk_j, \rho)$ ,  $\mathbf{sig}_x \leftarrow$   
899  $\mathbf{Sign}(sk_u, (\rho, v, C))$ , and  $Ct \leftarrow \text{Enc}(dsk, (\rho, v, \mathbf{sig}_C))$ , where  
900  $\mathbf{v} = (v_1, \dots, v_k)$ .  $U$  sends  $(Ct, C, i)$  to  $S_i$ . Inspired by  
901 Chen et al. [14], we use a similar method to construct the  
902 query. Let  $\mathbf{w}' = (w'_1, \dots, w'_p)$ ,  $p \leq k$ .

903 In **Retrieve**, R1.  $U$  sends  $dsk_j \leftarrow \text{HKDF}(K_u, w'_j)$  to  $S_i$ ,  
904 where  $i \in [1, N]$ ,  $j \in [1, p]$ . R2.  $S_i$  computes  $(\rho, \mathbf{v}, \mathbf{sig}_C) \leftarrow$   
905  $\text{Dec}(Ct)$ . Initialize a set  $\mathcal{L}_i \leftarrow \emptyset$ . If  $\text{Ver}(pk_u, (\rho, \mathbf{v}, C), \mathbf{sig}_C)$   
906 and  $\mathbf{v} = \text{PRF}(dsk_j, \rho)$ ,  $S_i$  adds  $(Ct, C, i)$  from the database  
907 to  $\mathcal{L}_i$ . The search query includes three cases:

- 908 - Conjunctive queries  $w'_1 \wedge \dots \wedge w'_p$  if  $\mathbf{v} = \mathbf{v}'$ .
- 909 - Disjunctive queries  $w'_1 \vee \dots \vee w'_p$  if  $|\mathbf{v} \cap \mathbf{v}'| > 0$ .
- 910 - Subset queries  $(w'_1, \dots, w'_p) \subseteq \mathbf{w}$  if  $\mathbf{v}' \subseteq \mathbf{v}$ .

#### 911 V. SECURITY ANALYSIS

912 In the following, we prove the security of our scheme  
913 within the formal model defined in Section III, assuming the  
914 Decision-LWE $_{n,q,\chi,m}$  problem is intractable.

#### 915 A. Formal Security Analysis of QPASE

916 We prove the security of our lattice-based PASE scheme  
917 based on subsection III-B with the standard game-based proof.

918 *Theorem 2 (Authentication):* In QPASE, let  $\mathcal{A}$  get  $pp$  and  
919 access  $q_s$  times query. The frequency distribution of password  
920 dictionary  $\mathcal{D}$  follows Zipf's law [74], [75]. For any PPT  $\mathcal{A}$ ,  
921 the advantage of disrupting authentication that

$$\begin{aligned} \text{Adv}_{QPASE, \mathcal{A}}^{\text{Auth}}(\kappa) &\leq 2C' \cdot q_s^{s'}(\kappa) + \text{Adv}_{\mathcal{A}}^{\text{DLWE}}(\kappa) \\ &\quad + \text{Adv}_{\mathcal{A}}^{\text{1D-SIS}} + \text{Adv}_{\mathcal{A}}^{\text{Sig}}(\kappa) + \varepsilon(\kappa). \end{aligned}$$

924 We employ the Zipf model of the Taobao password distribution  
925 in Fig. 7, where  $|\mathcal{D}| = 15,072,667$ ,  $C' = 0.0166957$ , and  
926  $s' = 0.194179$ .

927 *Proof: Game  $G_0^{\text{Auth}}$ .* This game simulates the real envi-  
928 ronment between the protocol challenger and the passive  
929 adversary  $\mathcal{A}$ .  $\mathcal{A}$  obtains  $\mathbf{A}, \mathbf{x}^*, \mathbf{x}_{k_i}^*, \mathbf{pk}_u, S(\mathbf{pk}_i)$ . The simu-  
930 lator initializes  $\text{Auth}_i, \text{sid}_j, L$ , and  $pp$  as defined in the  
931 real security game  $G_{QPASE, \mathcal{A}}^{\text{Auth}}(\kappa)$ .  $\mathcal{A}$  access oracle including  
932  $\mathbf{Reg}(i)$ ,  $\mathbf{LoginU}(i)$ , and  $\mathbf{LoginS}(\text{sid}_i)$ , which is defined in  
933 Section III-B. Specifically, the simulator  $\mathcal{S}$  initializes  $\mathcal{L}_{\text{sid}_j}^i \leftarrow$   
934  $\emptyset$  and plays  $U$  and  $S_i$ .  $\mathcal{A}$  interacts with the honest user  
935 and server (oracle) as the corrupted server. After access,  $\mathcal{S}$

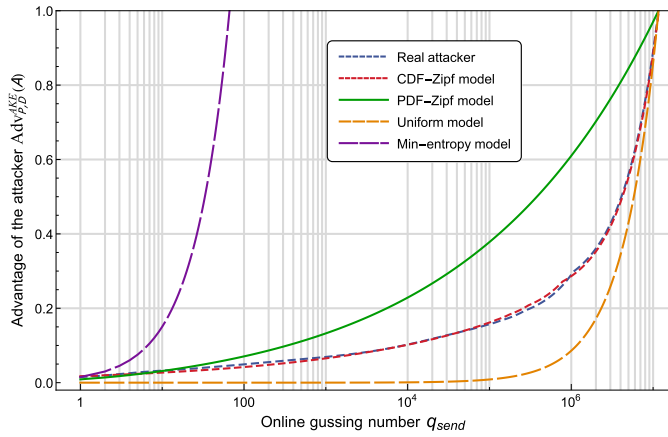


Fig. 7. Online guessing advantages of the real attacker and attackers modeled by the CDF-Zipf, PDF-Zipf, uniform and min-entropy distributions, respectively (using the 15,072,667 passwords leaked from Taobao). The overlap of the CDF-Zipf attacker with the real one indicates well prediction.

936 records  $L[sid_j] \leftarrow (i, psw.auth_i)$ , delivers  $sid_j$  to  $\mathcal{A}$  and set  
937  $j \leftarrow j + 1$ . We have

$$938 \quad Adv_{QPASE,\mathcal{A}}^{Auth}(\kappa) = Pr[succ_0^{Auth}].$$

939 *Game  $G_1^{Auth}$* : This game is similar to  $G_0^{Auth}$  except that  $S$   
940 executes the oracles **Login**( $i$ ) and **LoginS**( $sid_i$ ) and  $s$  is fresh  
941 in every session. Thus, we have

$$942 \quad Pr[succ_1^{Auth}] = Pr[succ_0^{Auth}].$$

943 *Game  $G_2^{Auth}$* : This game is similar to  $G_1^{Auth}$  except that  $\mathcal{A}$   
944 access **Reg**( $i$ ), **Login**( $i$ ), **LoginS**( $sid_i$ ).  $\mathcal{A}$  sets  $\mathbf{b} = [\mathbf{a} \cdot r +$   
945  $\mathbf{a}^F \mathbf{x} + 2e]_p$  and  $\mathbf{b}_{k_i} = [\mathbf{b} \cdot k_i + 2e'_i]_p$ . According to Lemma 4,  
946 the advantage of  $\mathcal{A}$  is  $Adv_{\mathcal{A}}^{DLWE}(\kappa)$ . Thus, the views in  $G_0^{Auth}$   
947 and  $G_1^{Auth}$  are computationally indistinguishable for any PPT  
948  $\mathcal{A}$ , and there is

$$949 \quad Pr[succ_2^{Auth}] - Pr[succ_1^{Auth}] = Adv_{\mathcal{A}}^{DLWE}(\kappa).$$

950 *Game  $G_3^{Auth}$* : This game is identical to  $G_2^{Auth}$  except that  
951  $\mathcal{A}$  sets  $\mathbf{b}_{k_i} = [\mathbf{b} \cdot k_i + 2e'_i]_p$  and  $K_u = [\sum_{i=1}^t \lambda_i \cdot \mathbf{b}_{k_i} -$   
952  $\sum_{i=1}^t \lambda_i \cdot pk_i \cdot r]_p$ . In the setup phase,  $\mathcal{A}$  plays malicious server  
953  $S^*$ .  $S^*$  computes  $pk^*$  from  $k^*$  and publishes it, where  $k_i \leq$   
954  $\sigma \cdot \sqrt{n}$ . In the query phase, the simulator randomly selected  
955  $r \xleftarrow{R} R_q^{1 \times \ell}$  and send to  $S^*$ . Waiting for a response of  $\mathbf{x}_{k_i}^*$   
956 from  $S^*$ . Finally, the honest user  $U$  send  $F_K(\mathbf{x})$  to  $\mathcal{A}$ . In real  
957 protocol,  $\mathbf{x}^*$  generated by the honest user  $U$ . The secret value  
958  $\mathbf{x}$  is hidden by the encryption algorithm based on Decision-  
959  $LWE_{n,q,\chi,m}$ . Therefore,  $\mathcal{A}$  cannot distinguish a real  $\mathbf{x}^*$  from  
960  $r$ . Let  $\mathbf{x} \xleftarrow{R} R(\chi_\sigma)$  and  $e \xleftarrow{R} R(\chi_\sigma)^{1 \times \ell}$  are sampled by  $U$ . For  
961  $U$  executes  $\Pi_{\text{TOPRF}}$ , and computes

$$962 \quad F_K(\mathbf{x}) = \lfloor \sum_{i=1}^t \lambda_i \cdot \mathbf{b}_{k_i} - \sum_{i=1}^t \lambda_i \cdot pk_i \cdot r \bmod q \rfloor_p$$

$$963 \quad = \lfloor \mathbf{a} \cdot r \cdot \sum_{i=1}^t \lambda_i \cdot k_i + \mathbf{a}_x \cdot \sum_{i=1}^t \lambda_i \cdot k_i + 2e \sum_{i=1}^t \lambda_i \cdot k_i$$

$$964 \quad + 2 \sum_{i=1}^t \lambda_i \cdot e'_i - \mathbf{a} \cdot r \cdot \sum_{i=1}^t \lambda_i \cdot k_i$$

$$- 2r \sum_{i=1}^t \lambda_i \cdot e_i \bmod q \rfloor_p \quad 965$$

$$= \lfloor \mathbf{a}_x \cdot K + 2e'' \bmod q \rfloor_p \quad 966$$

967 where  $e'' = e \cdot K + \sum_{i=1}^t \lambda_i \cdot e'_i - r \sum_{i=1}^t \lambda_i \cdot e_i$ . Accord-  
968 ing to Definition 1, set  $\sigma' \gg \max\{L \cdot \ell \cdot \sigma n^{3/2}, \sigma^2 n^2\}$ .  
969 The coefficient of  $\frac{p}{q} \cdot (\mathbf{a}^F(\mathbf{x}) \cdot K + 2e'')$  is further than  $T$   
970 away from  $\mathcal{Z} + \frac{1}{2}$ . According to Definition 1, set  $T =$   
971  $\frac{p}{q} (\sigma' \cdot \sqrt{n} + L \cdot \ell \cdot \sigma n^{3/2}) \ll 1$  such that  $T \leq \frac{p}{q} \cdot |e''|_\infty$ .  
972 Therefore,  $G_2^{Auth}$  and  $G_1^{Auth}$  are computationally indistin-  
973 guishable. According to Definition 7, we have

$$974 \quad Pr[succ_2^{Auth}] - Pr[succ_1^{Auth}] = Adv_{\mathcal{A}}^{1D-SIS}.$$

975 *Game  $G_4^{Auth}$* : This game is similar to  $G_3^{Auth}$  except that  $\mathcal{A}$   
976 sets  $\mathbf{b} = [\mathbf{a} \cdot r + \mathbf{a}^F \mathbf{x} + 2e]_p$ . Concretely, in the setup phase,  
977  $\mathcal{A}$  and uniform  $pk_{\mathcal{A}} \leftarrow \mathcal{Z}^{1 \times \ell}$  are generated. Send  $pk_i$  to  $\mathcal{A}$ .  
978 Initialize an empty list  $\mathbf{Q}$ . During the query stage, for each  
979 message  $pk_i$ ,  $\mathcal{A}$  extracts  $\mathbf{x}_{\mathcal{A}}, e_{\mathcal{A}}$ , and queries  $\mathbf{x}$ . If returns  
980  $F_K(\mathbf{x}) \in R_p^{1 \times \ell}$  and  $F_K(\mathbf{x}) \notin \mathbf{Q}$ , sample  $\mathbf{F}_q \leftarrow R_q^{1 \times \ell} \cap$   
981  $(\frac{q}{p} \mathbf{y} + R_{\leq \frac{q}{2p}}^{1 \times \ell})$  and add  $(\mathbf{x}, \mathbf{F}_q)$  into  $\mathbf{Q}$ . Return  $\mathbf{F}_q$  to  $\mathcal{A}$ .  
982 If returns  $F_K(\mathbf{x}) \in R_p^{1 \times \ell}$  and  $F_K(\mathbf{x}) \in \mathbf{Q}$ , set  $\mathbf{F}_q = F_K(\mathbf{x}) \in$   
983  $R_p^{1 \times \ell}$ . Choose  $e_i^* \xleftarrow{R} \chi_{\sigma'}$  and send  $\mathbf{x}_{k_i}^* = pk_u \cdot k_i + e_i^* + \mathbf{F}_q$  to  
984  $\mathcal{A}$ . Each round of queries uses different errors sampled from  
985  $R(\chi_{\sigma'}^{1 \times \ell})$ . In a real protocol, if  $\mathcal{A}$  can calculate the correct  $\mathbf{F}_q$ ,  
986 it can perform the same operation on the message received  
987 from the simulator.  $\mathbf{F}_q$  is sampled by the simulator and the  
988 corresponding value  $\mathbf{x}_{k_i}^*$ . Let  $e_{[1]} := \mathbf{y}_q - (q/p) \cdot \mathbf{y} \in R_{\leq \frac{q}{2p}}^{1 \times \ell}$ ,  
989 we have  $F_K(\mathbf{x}) = \lfloor \frac{p}{q} (\mathbf{a}^F(\mathbf{x}) \cdot K + e_{[1]} + e'') \rfloor$ , where  $e'' \leq$   
990  $L \cdot \ell \cdot \sigma \cdot n^{3/2}$ . Let  $T = L \cdot \ell \cdot \sigma \cdot n^{3/2}$ , there is  $\|e_{[1]}\| < q/(2p) - T$ .  
991 Thus,  $\|K \cdot e + \sum_{i=1}^N \lambda_i e'_i - \sum_{i=1}^N e_i\| \leq \frac{1}{2}$ .  $G_3^{Auth}$  and  $G_2^{Auth}$   
992 are computationally indistinguishable except guessing the  $\mathbf{x}$   
993 (i.e.  $psw_u$ ). Hence, there is

$$994 \quad Pr[succ_3^{Auth}] - Pr[succ_2^{Auth}] = C' \cdot q_s^{s'}(\kappa).$$

995 *Game  $G_5^{Auth}$* : This game is similar to  $G_4^{Auth}$  except that  
996  $\mathcal{A}$  gets  $(pk_u, \mathbf{sig}_u)$  and accesses the oracle **LoginS**( $sid_i$ ),  
997 **OutS**( $sid_i$ ), and **RetS**( $sid_i$ ). According to Section II-E,  $\mathcal{A}$   
998 cannot forge a signature  $\mathbf{sig}^*$  with  $(pk_u, \mathbf{sig}_u)$  since EUF-CMA  
999 signature is secure. Therefore, we have

$$1000 \quad Pr[succ_3^{Auth}] - Pr[succ_2^{Auth}] = Adv_{\mathcal{A}}^{Sig}(\kappa).$$

1001 *Game  $G_6^{Auth}$* : This game is similar to  $G_5^{Auth}$  except that  
1002 that  $\mathcal{A}$  queries  $q_s$  times and guesses  $psw_u$ . According to  
1003 Section III-B, the  $\mathcal{A}$ 's advantage is  $C' \cdot q_s^{s'}(\kappa)$ . Therefore

$$1004 \quad Pr[succ_5^{Auth}] - Pr[succ_4^{Auth}] = C' \cdot q_s^{s'}(\kappa).$$

1005 In summary, the advantage of disrupting authentication  
1006 is that:  $Adv_{QPASE,\mathcal{A}}^{Auth}(\kappa) \leq 2C' \cdot q_s^{s'}(\kappa) + Adv_{\mathcal{A}}^{DLWE}(\kappa) +$   
1007  $Adv_{\mathcal{A}}^{1D-SIS} + Adv_{\mathcal{A}}^{Sig}(\kappa) + \varepsilon(\kappa)$ .  $\square$

1008 *Theorem 3 (IND-CKA)*: QPASE construction provides  
1009 authentication based on the hardness of the decision  
1010 Decision-LWE $_{n,q,\chi,m}$  and 1D-SIS problem and security of

1011 *HKDF and EUF-MCA. For any PPT  $\mathcal{A}$ , the advantage of*  
 1012 *disrupting IND-CKA security that*

$$1013 \text{Adv}_{\text{QPASE},\mathcal{A}}^{\text{IND}}(\kappa) \leq 2C' \cdot q_s^s(\kappa) + \text{Adv}_{\mathcal{A}}^{\text{DLWE}}(\kappa) + \text{Adv}_{\mathcal{A}}^{\text{1D-SIS}} \\ 1014 + \text{Adv}_{\mathcal{A}}^{\text{Sig}}(\kappa) + \text{Adv}_{\mathcal{A}}^{\text{HKDF}}(\kappa) + \varepsilon(\kappa).$$

1015 *We employ the Zipf model of the Taobao password distribution*  
 1016 *in Fig. 7, where  $|\mathcal{D}| = 15,072,667$ ,  $C' = 0.0166957$ , and*  
 1017  *$s' = 0.194179$ .*

1018 *Proof: Game  $G_0^{\text{IND}}$ : The game initializes  $\text{sid}_i^*$ ,  $\text{sid}_j$ ,*  
 1019  *$\mathcal{L}$ , and  $pp$  as defined in the real security experiment*  
 1020  *$G_{\text{QPASE},\mathcal{A}}^{\text{IND-CKA}}(\kappa)$ .  $\mathcal{A}$  accesses oracle **Challenge**( $b, \text{sid}_i, w_i$ ),*  
 1021 ***OutU**( $\text{sid}_i, w, C$ ), and **RetU**( $\text{sid}_i, w$ ), which are defined*  
 1022 *in subsection III-B. Specifically, the simulator  $\mathcal{S}$  initializes*  
 1023  *$\mathcal{L}_{\text{sid}_j}^i \leftarrow \emptyset$  and plays  $U$  and  $S_i$ .  $\mathcal{A}$  interacts with the honest*  
 1024 *user and server (oracle) as the corrupted server. After access,*  
 1025  *$\mathcal{S}$  records  $L[\text{sid}_j] \leftarrow (i, K_u)$ , delivers  $\text{sid}_j$  to  $\mathcal{A}$  and sets*  
 1026  *$j \leftarrow j + 1$ . We have  $\text{Adv}_{\text{QPASE},\mathcal{A}}^{\text{IND-CKA}}(\kappa) = \text{Pr}[\text{succ}_0^{\text{IND}}] - \frac{1}{2}$ .*

1027 *Game  $G_1^{\text{IND}}$ : This game is similar to  $G_0^{\text{IND}}$  except that  $\mathcal{A}$*   
 1028 *executes **Login** to pass the authentication and obtain  $K_u$  with*  
 1029 *the  $psw_{\mathcal{A}}$ . By Theorem 2, we have*

$$1030 \text{Pr}[\text{succ}_1^{\text{IND}}] - \text{Pr}[\text{succ}_0^{\text{IND}}] = \text{Adv}_{\text{QPASE},\mathcal{A}}^{\text{Auth}}(\kappa).$$

1031 *Game  $G_2^{\text{IND}}$ : This game is similar to  $G_1^{\text{IND}}$  except that in*  
 1032 *each session  $\text{sid}_i$  of the oracle **OutU**( $\text{sid}_i, w, C$ ) and oracle*  
 1033 ***RetU**( $\text{sid}_i, w$ ),  $\mathcal{A}$  cannot distinguish the search key  $\text{dsk} \leftarrow$*   
 1034 ***HKDF**( $K_u, W$ ) and  $\text{dsk}'$ , which is a uniform-random value.*  
 1035 *By the uniform distribution of  $K_u$  and the security of HKDF*  
 1036 *in Definition 10. We have*

$$1037 \text{Pr}[\text{succ}_2^{\text{IND}}] - \text{Pr}[\text{succ}_1^{\text{IND}}] \leq \text{Adv}_{\mathcal{A}}^{\text{HKDF}}(\kappa).$$

1038 *Game  $G_3^{\text{IND}}$ : This game is similar to  $G_2^{\text{IND}}$  except*  
 1039 *that  $\mathcal{A}$  can forge EUF-CMA signature to be verified by*  
 1040 ***OutU**( $\text{sid}_i, w, C$ ), **RetU**( $\text{sid}_i, w$ ) and **RetS**( $\text{sid}_i$ ). According*  
 1041 *to Section II-E and CRYSTALS-Dilithium [86], we have*

$$1042 \text{Pr}[\text{succ}_3^{\text{IND}}] - \text{Pr}[\text{succ}_2^{\text{IND}}] \leq \text{Adv}_{\mathcal{A}}^{\text{Sig}}(\kappa).$$

1043 *Game  $G_4^{\text{IND}}$ : This game is similar to  $G_3^{\text{IND}}$  except that*  
 1044  *$\mathcal{A}$  cannot distinguish the key  $v \leftarrow \text{PRF}(\text{dsk}, \rho)$  and  $v' \leftarrow$*   
 1045  *$\text{PRF}(r_1, r_2)$  where  $r_1$  and  $r_2$  are random picked. According*  
 1046 *to  $G_3^{\text{Auth}}$  and Fig 2, the coefficient of  $\frac{p}{q} \cdot \mathbf{a}^F(\mathbf{x}) \cdot K$  is further*  
 1047 *than  $e''$  away from  $\mathcal{Z} + \frac{1}{2}$ . Therefore, we have*

$$1048 \text{Pr}[\text{succ}_4^{\text{IND}}] - \text{Pr}[\text{succ}_3^{\text{IND}}] \leq \text{Adv}_{\mathcal{A}}^{\text{DLWE}}(\kappa) + \frac{1}{2}.$$

1049 *In summary, for any PPT  $\mathcal{A}$ , the advantage of disrupting*  
 1050 *IND-CKA security that:  $\text{Adv}_{\text{QPASE},\mathcal{A}}^{\text{IND}}(\kappa) \leq 2C' \cdot q_s^s(\kappa) +$*   
 1051  *$\text{Adv}_{\mathcal{A}}^{\text{DLWE}}(\kappa) + \text{Adv}_{\mathcal{A}}^{\text{Sig}}(\kappa) + \text{Adv}_{\mathcal{A}}^{\text{HKDF}}(\kappa) + \varepsilon(\kappa)$ .  $\square$*

## 1052 B. Further Security Discussion

1053 *The main goal of the **Login** phase of QPASE is to allow*  
 1054 *a user to recover high entropy keys with a correct password.*  
 1055 ***Login** calls include a lattice-based TOPRF (see Section II-B)*  
 1056 *and a EUF-CMA signature scheme (see Section II-E). The*  
 1057 *construction of lattice-based TOPRF starts from the lattice-*  
 1058 *based OPRF of Albrecht et al. [79], which is reduced to*  
 1059  *$\text{DLWE}_{n,q,\chi,m}$  and  $\text{1D-SIS}_{q/p,n\ell,\max\{n\ell B,T\}}$ . On this basis,*  
 1060 *Jiang et al. [68] extend the lattice-based OPRF to the lattice-*  
 1061 *based TOPRF and provide a threshold constraint.*

1062 *In this work, we employ the robust extractor [69] to achieve*  
 1063 *a deterministic user-specific key generation for QPASE. The*

TABLE II  
SECURITY LEVEL OF OUR SCHEME

Parameter	Description	PARAM I	PARAM II
$n$	dimension	512	595
$q$	original modulus	$2^{28} - 57$	$2^{28} - 57$
$\beta$	the BKZ block	342	478
$\delta_0$	Hermite factor	1.0044	1.0035
Classical cost	$2^{0.292 \times \beta}$	100-bit	140-bit
Quantum cost	$2^{0.268 \times \beta}$	92-bit	128-bit

1064 use of a robust extractor does not undermine the security  
 1065 of underlying intractable problem [69] (e.g.  $\text{DLWE}_{n,q,\chi,m}$   
 1066 and  $\text{1D-SIS}_{q/p,n\ell,\max\{n\ell B,T\}}$ ). Moreover, robust extractors only  
 1067 reveal the range of noise without affecting the output of  
 1068 TOPRF since the noises are eliminated by rounding operations.

1069 We follow the security parameter settings of Albrecht et al.  
 1070 [79] to ensure the quantum security of our lattice-based  
 1071 TOPRF, and also carefully consider parameter settings for  
 1072 other components. For the instantiation of QPASE, we employ  
 1073 CRYSTALS-Dilithium [86] to achieve authentication and  
 1074 thwart adversaries from tampering with the information. In  
 1075 both **Outsource** and **Retrieve**, we employ an HKDF [81] and  
 1076 PRF [80] for deriving the search key.

1077 Let the lattice dimension of DLWE  $n = \kappa^c$ , where  $c > 2$  is  
 1078 a constant, and the lattice dimension of 1D-SIS  $n' = \kappa$ . Set  
 1079 the bit-length of  $\mathbf{x}$   $L = \kappa$ , the secret and error distribution  
 1080  $\sigma = \text{poly}(n)$ , and  $\sigma' = \sigma^2 n^2 \cdot \kappa^{\omega(1)}$ . let  $q = p \cdot \prod_{i=1}^{n'} p_i$ ,  
 1081 where  $p_i = \sigma' \cdot \omega(\sqrt{nn' \log q \log n'})$ . There is  $q = p \cdot \sigma' \cdot \kappa^{\omega(1)}$   
 1082 [79]. According to CRYSTALS-Dilithium [86], there is  $q =$   
 1083  $56(n\sqrt{n\kappa}/\log n)^2/n\sqrt{\kappa n}/\log n = 56(n\kappa/\log n)^{3/2} \geq 2^{23}$ .

1084 We employ the “lwe-estimator”<sup>1</sup> with the quantum cost  
 1085 model [91] to achieve the security estimates of QPASE. In  
 1086 order to acquire more conservative parameters, we utilize the  
 1087 core-SVP methodology following [4], employing the classical  
 1088 cost  $2^{0.292 \times \beta}$  and quantum cost  $2^{0.268 \times \beta}$ . Specifically, we set  
 1089  $q = 2^{28} - 57$ ,  $n = 512$  following Bai et al. [86]. Our QPASE  
 1090 can provide 100-bit classical security and 92-bit quantum  
 1091 security with the BKZ block  $\beta = 342$ . To provide 128-bit  
 1092 quantum security for QPASE, we adjust the parameters to  
 1093  $q = 2^{28} - 57$ ,  $n = 595$  with  $\beta = 478$ . Table II shows more  
 1094 details on two sets of parameters.

1095 Next, we consider the impact of  $\mathcal{A}$  executing corruption  
 1096 attacks on servers. The form of the user-specific key  $K_u =$   
 1097  $\lfloor \frac{p}{q} \mathbf{a}^F(\mathbf{x}) \cdot K \rfloor$  shows that the key is only related to the user’s  
 1098 password  $psw(\mathbf{x}$  is the binary form of the password) and  $K$ .  
 1099 Even if  $\mathcal{A}$  has corrupted  $t'$  ( $t' < t$ ) servers can not launch  
 1100 disclose attacks and impersonation attacks since the lattice-  
 1101 based TOPRF has observability and unpredictability [68].  
 1102 If  $\mathcal{A}$  can corrupt more than  $t$  servers,  $\mathcal{A}$  can generate the  
 1103 user-specific key by collecting data from the first phase of  
 1104 the TOPRF interaction. Therefore, we assume that  $\mathcal{A}$  cannot  
 1105 corrupt more than  $t$  servers in the same epoch again (which  
 1106 is consistent with the idea of the  $(t, N)$  threshold scheme).

1107 *Lemma 4: Let  $[F]$  and  $[G]$  denote the master secret key*  
 1108 *polynomial and the update polynomial, respectively.  $\lambda_{i,j}$  is*  
 1109 *the Lagrangian coefficient.  $\mathcal{A}$  cannot obtain the master secret*  
 1110 *key  $K$  of the servers, if  $\mathcal{A}$  cannot corrupt more than  $t^{\text{prime}} < t$*   
 1111 *servers in an era.*

<sup>1</sup><https://bitbucket.org/malb/lwe-estimator/raw/HEAD/estimator.py>

TABLE III

RUNNING TIMES OF RELATED OPERATIONS (IN MS)					
Operations	$T_G$	$T_{ou}$	$T_S$	$T_E$	$T_P$
Time	0.354	0.641	0.241	15	0.554
Operations	$T_H$	$T_{os}$	$T_V$	$T_D$	$T_{exe}$
Time	0.02	0.049	0.133	15	3.8

*Proof:* Suppose that  $\mathcal{A}$  has corrupted  $t$  servers and obtained more than  $t$  private keys in two consecutive eras, which is denoted by  $k_1, \dots, k_{t'}, k_{t'+1}^*, \dots, k_t^*$ , ( $a < t' < t < n$ ). At this point, the adversary calculates:  $K^* = \sum_{i=1}^{t'} \lambda_{i,j} \cdot k_i + \sum_{i=t'+1}^t \lambda_{i,j} \cdot k_i^* = \sum_{i=1}^{t'} \lambda_{i,j} \sum_{j=1}^n [S]_j^i + \sum_{i=t'+1}^t \lambda_{i,j} \sum_{j=1}^n [F]_j^i = K + \sum_{i=t'+1}^t \lambda_{i,j} \sum_{j=1}^n [G]_j^i$ .

Since the update key generated by 0-sharing still satisfied the threshold security requirements. That is, the adversary can obtain at most  $t' < t$  update keys. In other words, there are  $t - t'$  update keys here that the adversary cannot obtain. Therefore, the adversary can not compute:  $K = \sum_{i=1}^{t'} \lambda_{ij} sk_i + \sum_{i=t'+1}^t \lambda_{ij} sk_i^* - \sum_{i=t'+1}^t \lambda_{ij} \sum_{j=1}^n [G]_j^i$ .

## VI. EXPERIMENTS

In this section, we evaluate the overheads and functions of our QPASE and compare our work with related works.

### A. Overheads

We calculate the computation cost in terms of basic cryptographic operations. Specifically,  $T_G$ ,  $T_H$ , and  $T_P$  denote the key generation, HKDF, and PRF, respectively.  $T_{ou}$  and  $T_{os}$  denote the execution of the TOPRF algorithm by the user and the server, respectively.  $T_S$  and  $T_V$  denote the signature and verification, respectively.  $T_E$  and  $T_D$  denote the symmetric encryption and decryption of 100KB files, respectively. In addition, we use  $T_{exe}$  to denote the exponential operation, which is the main overhead of the PASE scheme of Chen et al. [14]. Our implementation is in C++ language and complies with the NTL version 11.5.1, and the measurement is obtained on a LAPTOP with an AMD Ryzen 7 5800H with Radeon Graphics running at 3.20 GHz. The computation cost of basic cryptographic operations is shown in Table III.

Let the dimension  $m = n$ , an odd prime  $q \approx n^c$ , where  $c$  is constant, and the noise rate  $\alpha \approx n^{1/2-c}$ , we can get an LWE instance by  $n, q, \alpha, m$ . To ensure a 128-bit quantum security level, we employ the PARAM II in Table II as the parameter set. Specifically, set  $n = 595$  and  $q = 2^{28} - 57 = 268,435,399$ . The practical parameters for implementing our QPASE can be found in the scripts of LWE-Frodo<sup>2</sup> and Dilithium.<sup>3</sup> To facilitate comparison with Chen et al.'s scheme [14], we set  $N = t = 2$  and employ the same evaluation setup in [92]. The test object of the outsourcing and recovery operation is a 100 KB file, just like PASE [14].

In Table IV, we compare each phase of QPASE with its foremost counterpart i.e., Chen et al.'s PASE [14]. Set security parameters  $\kappa = 128$  for both schemes. Table IV illustrates that our QPASE incurs lower computational costs than PASE [14]. On the one hand, our lattice-based scheme eliminates the need for exponentiation operations and only uses relatively lightweight operations like matrix multiplication and addition,

which reduces computational overhead. On the other hand, we employ TOPRF to re-randomize passwords, which allows the user to achieve authentication simultaneously with the reconstruction of user-specific keys. This avoids the additional computational cost of commitments and further improves the computational efficiency of our QPASE.

We note that our QPASE has more communication costs than PASE [14], and this is a limitation of our scheme. Therefore, it may be not suitable for scenarios with low network bandwidth. We emphasize that the communication cost during the login phase is fixed. Thus, The communication cost gap between our scheme and PASE [14] decreases as the size of outsourced files increases. Specifically, the instance of LWE in  $\Pi_{TOPRF}$  takes about 0.5 MB [79]. The communication cost increases linearly as the number of servers grows. Besides, the public key transmitted during the registration phase imposes a communication overhead of at least 2.2 MB [86].

Still, compared to PASE [14], our scheme offers more robust security attributes and higher threshold settings. On the one hand, to the best of our knowledge, our scheme is the first password-authenticated symmetric searchable encryption with *quantum-resistance*. On the other hand, in our scheme, the server-side stores users' outsourced data *in a distributed manner*. In the **Retrieve** phase, multiple servers can retrieve data in parallel to further improve efficiency. *In summary, our QPASE outperforms its foremost counterparts (i.e., Chen et al.'s scheme [14]) in security and computation overhead.*

### B. Function

Although the password-authenticated secret sharing (PASS) scheme and QPASE scheme have different design ideas and components, both schemes share the same goal of enabling users to recover high-entropy encryption keys through passwords. Therefore, we have compared the functionality and computational overhead of the QPASE scheme with various PASS schemes [7], [60], [61], [62], [72], [73], [93], [94] and PASE schemes [14] in the phase of recovering the high entropy encryption key, as shown in Table V. We measure the computation overhead of schemes in terms of the number of exponential power operations. Randomization of the password through the lattice-based TOPRF [68] can avoid the high computational overhead of the power exponential operation. Moreover, users do not need to perform complex encryption and secret sharing at the registration phase.

It can be seen that Roy et al. [73], Jiang et al. [4], and our QPASE has a more obvious advantage in terms of efficiency, which is based on  $DLWE_{n,q,\chi,m}$ . It does not require exponential power operations to hide secrets. In terms of security, the universally composable (UC) model is widely used [62], [72], [94] and can ignore the distribution of passwords. However, it is difficult to measure resistance to quantum attacks within the UC model [95]. Thus, we employ the ROM model to characterize security. Notably, the impact of password distribution on security analysis is crucial in the ROM model.

Fig. 7 shows that in the ROM model, assuming that the password follows a uniform random distribution leads to a "relaxation" of the security reduction. More specifically, the adversary's advantages are drastically underestimated in the uniform random password distribution model. The CDF-Zipf based formulation [74], [75]  $C' \cdot q_{send}^{s'}(\kappa) + \varepsilon(\kappa)$  well approximates the real attacker's  $Adv : q_{send} \in [1, |\mathcal{D}|]$  (Here

<sup>2</sup><https://nvlpubs.nist.gov/nistpubs/ir/2020/NIST.IR.8309.pdf>

<sup>3</sup><https://github.com/GMUCERG/Dilithium>

TABLE IV  
COMPARISON OF THE PERFORMANCE EVALUATION BETWEEN CHEN ET AL. [14] AND OUR WORK AT EACH PHASE

Scheme		User		Server		Communication cost	Rounds
		Computation cost	Total Time	Computation cost	Total Time		
Register	Chen et al. [14]	$6T_{exe}$	$\approx 22.81$ ms	0	0	$\approx 1280$ bit	1
	Our QPASE	$T_{ou} + T_G$	$\approx 0.99$ ms	$T_{os}$	$\approx 0.05$ ms	$\approx 4,404,019$ bit	1
Recover K_u	Chen et al. [14]	$3T_{exe}$	$\approx 11.41$ ms	$8T_{exe}$	$\approx 30.41$ ms	$\approx 1792$ bit	1
	Our QPASE	$T_{ou}$	$\approx 0.64$ ms	$T_{os}$	$\approx 0.05$ ms	$\approx 2,097,152$ bit	1
Outsource	Chen et al. [14]	$3T_{exe}+T_E$	$\approx 26.41$ ms	$8T_{exe}$	$\approx 30.41$ ms	$\approx 102,656$ bit	2
	Our QPASE	$T_H + T_P + T_E + 2T_S$	$\approx 16.05$ ms	$T_V$	$\approx 0.13$ ms	$\approx 111,332$ bit	1
Retrieve	Chen et al. [14]	$3T_{exe}+2T_D$	$\approx 41.41$ ms	$8T_{exe}+T_D$	$\approx 45.52$ ms	$\approx 102,912$ bit	2
	Our QPASE	$T_H + T_S + T_P + T_V + 2T_D$	$\approx 30.95$ ms	$T_P + T_V + T_D$	$\approx 15.69$ ms	$\approx 119,050$ bit	1

TABLE V  
COMPARISON AMONG RECENT PASS [4], [7], [60], [61], [62], [72], [73], [93], [94] AND PASE [14] WITH OUR WORK

	Threshold	Password distribution	Security model	Technology	Quantum security	Data retrieval	Round	Computation overhead	
								Server	User
Bagherzandi et al. (CCS'11) [60]	$(t, N)$	UR	ROM	HE	×	×	2	$16T_{exe}$	$33T_{exe}$
Camenisch et al. (CCS'12) [93]	$(2, 2)$	-	UC	HE	×	×	1	$26T_{exe}$	$19T_{exe}$
Jarecki et al. (ASIACRYPT'14) [61]	$(t, N)$	UR	ROM	OPRF	×	×	1	$4T_{exe}$	$11T_{exe}$
Yi et al. (ESORICS'15) [94]	$(t, N)$	UR	UC	HE	×	×	1	$12T_{exe}$	$7T_{exe}$
Jarecki et al. (EuroS&P'16) [62]	$(t, N)$	UR	UC	OPRF	×	×	1	$1T_{exe}$	$4T_{exe}$
Jarecki et al. (ACNS'17) [72]	$(t, N)$	UR	UC	OPRF	×	×	1	$1T_{exe}$	$2T_{exe}$
Das et al. (ASIACCS'20) [7]	$(N, N)$	UR	UC	OPRF	×	×	2	$4T_{exe}$	$10T_{exe}$
Chen et al.(IJIS'21) [14]	$(2, 2)$	UR	ROM	HE	×	✓	1	$8T_{exe}$	$3T_{exe}$
Roy et al. (ACNS'21) [73]	$(t, N)$	UR	ROM	FHE	✓	×	1	0	0
Jiang et al. (TSC'23) [4]	$(t, N)$	Zipf	ROM	FHE	✓	×	1	0	0
Our work	$(t, N)$	Zipf	ROM	OPRF	✓	✓	1	0	0

† UR=Uniform random; - means not to consider; Zipf=Zipf distribution; HE=Homomorphic encryption; OPRF=Oblivious pseudorandom function; FHE=Fully homomorphic encryption; For efficiency, we count the most expensive operations, i.e., exponentiations (denoted by  $T_{exe}$ ).

we use the Zipf model of Taobao, where  $|D| = 15, 072, 667$ ,  $C' = 0.0166957$  and  $s' = 0.194179$ , the maximum deviation is less than 0.491%. This CDF-Zipf-based formulation is more accurate than previously used formulations such as the Min-entropy model [96]. Thus, we use the CDF-Zipf based formulation for our QPASE to achieve tighter security than other PASS [61], [62], [72] and PASE schemes [14].

## VII. CONCLUSION

The major goal of this paper is to construct a quantum-resistant password-authenticated symmetric searchable encryption scheme based on the lattice to satisfy that only a user who knows the correct password can outsource, search, and retrieve data. To achieve this goal, we employ a lattice-based TOPRF to re-randomize the password that enables the user to generate a user-specific key via a human-memorable password and can resist offline guessing attacks. Then, we propose the first quantum-resistant password-authenticated symmetric searchable encryption for cloud storage, called QPASE.

QPASE offers users a solution to circumvent costly and error-prone key management practices when utilizing cloud storage services. Passwords not only serve as an authentication mechanism but also grant legitimate users access to powerful cloud server keys, enabling the derivation of user-specific keys. This liberates users from device constraints, significantly enhancing data outsourcing flexibility. Our scheme is extendable to support multi-keyword search and enables cloud servers to update keys without disrupting user data retrieval. We show that authentication and searchable encryption are not orthogonal, i.e., authentication security can prevent impersonation attacks and protect searchable encryption. Searchable encryption can also extend the functions and security of password-based authentication schemes. The security analysis

confirms that QPASE achieves authentication security and IND-CKA security. Comparative evaluations against related schemes highlight the practicality of our QPASE.

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